

### General Checklist of Permits, Licenses, and Filings

LOAN APPLICANT NAME
DATE PREPARED

**INSTRUCTIONS:** Mark (✓ or X) appropriate box on each line below. All lines must be completed. Note: *This list is not all-inclusive. Loan applicant must list other critical permits/licenses/filings not identified below. Submit copies of the permits/licenses/filings with this form.*

Loan Applicant currently holds this valid permit/license/filing				
Loan Applicant will modify and/or obtain this permit/license/filing				
This permit/license/filing is not applicable for this loan project				
			LOCAL PERMITS, LICENSES & FILINGS	
			REGULATOR OR ISSUER	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Authority to Construct/Permit to Operate	Air Quality Management District
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Building Construction Permit	City or County
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Business License	City or County
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Fictitious Business Name Filing	County
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Fire Department Permit	City or County
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Land Use Permit/Zoning Clearance/Conditional Use Permit	City or County
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Permit By Rule (PBR) for Permanent HHW Facilities or Temporary Collection Events	City, County or Cal/EPA-DTSC
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Planning Department Permit	City or County
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Waste Hauler Permit	City or County
			STATE PERMITS, LICENSES & FILINGS	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Antifreeze, Battery, Oil & Paint (ABOP) Notification	CUPA or Cal/EPA-DTSC
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Corporate, Company or Partnership Filings	Ca. Secretary of State
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Hazardous Waste Haulers Permit	Cal/EPA – DTSC
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Industrial Activities Storm Water General Permit	Cal/EPA – SWRCB
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Non-Profit Organization 501 (C) (3)	Ca. Secretary of State
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Prop. 65 Safe Drinking Water & Toxic Enforcement Act	Cal/EPA – OEHHA
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Solid Waste Facilities Permit	Cal/EPA – CalRecycle
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	State EPA Identification Number	Cal/EPA – DTSC
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Waste and Used Tire Hauler Registration	Cal/EPA – CalRecycle
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Waste Discharge Requirements	Cal/EPA – SWRCB
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Waste Tire Facilities Permit	Cal/EPA – CalRecycle

			<b>FEDERAL PERMITS, LICENSES &amp; FILINGS</b>	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	US EPA – Identification Number	US EPA
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	US EPA – NPDES and/or NSR Permits	US EPA
			<b>OTHER PERMITS, LICENSES &amp; FILINGS</b>	
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Air Permit – Diesel engine	Air Quality Management District
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		

*Comments/Notes:*

Mark (✓ or X) appropriate box below.

<input type="checkbox"/>	<p><b>PRIVATE ENTITY CERTIFICATION:</b> I declare under penalty of perjury under the laws of the State of California that the proposed borrower: 1) is in good standing and qualified to do business in the State; and 2) has or will comply with all applicable state, federal, and local laws, ordinances, regulations, license and permit requirements necessary for the proper performance of this loan; and 3) where compliance has not been met, I have attached a letter describing what has been done to achieve full compliance.</p>
<input type="checkbox"/>	<p><b>PUBLIC ENTITY CERTIFICATION:</b> I declare under penalty of perjury under the laws of the State of California that the proposed borrower: 1) has or will comply with all applicable state, federal, and local laws, ordinances, regulations, license and permit requirements necessary for the proper performance of this loan; and 2) where compliance has not been met, have attached a letter describing what has been done to achieve full compliance.</p>

Executed at: \_\_\_\_\_ City and State \_\_\_\_\_ on \_\_\_\_\_ Date \_\_\_\_\_

<b>X</b>	
<i>Authorized Signature</i> <i>(as authorized in Resolution)</i>	<i>Date</i>
<i>Print Name</i>	<i>Print Title</i>

*Note: Falsification under penalty of perjury may result in criminal and civil penalties. In addition, pursuant to the terms of the loan documents, any misrepresentations in the above certification shall constitute a breach of contract that could result in non-payment of loan funds to the borrower, acceleration of the loan and collection of funds previously disbursed, t, and/or placing the borrower on CalRecycle's Unreliable Contractors List.*