

## Recycling Market Development Zone Loan Program Application

<b>Application No. (CalRecycle use only)</b>	<b>Date/Timestamp (CalRecycle use only)</b>
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### LOAN APPLICATION REQUEST

For assistance in preparing this loan application, send an email to [Loans@CalRecycle.ca.gov](mailto:Loans@CalRecycle.ca.gov)

Check the appropriate box to indicate the level of review you want for your loan application.

- Preliminary loan determination:** Determine if the RMDZ loan program provides the right type of financing for the project. Submit this form and the applicant's historical financial statements for the past three fiscal years and most recent interim period. An application fee is not required at this point. For new start-up businesses, submit this form, a Pro-Forma Balance Sheet, Income/Expense Statement, and owners/guarantor's personal financial statement(s).
- Full loan determination:** Determine if the Loan Applicant meets all the Project and Financial Criteria to qualify for a RMDZ loan. Submit this form, the documents listed in the RMDZ Application Checklist (CalRecycle 854-RMDZ), and the \$300 loan application fee, payable to CalRecycle.

### APPLICANT INFORMATION

**Applicant Legal Name**

Provide the legal name of the applicant.

Enter legal name here:

**Fictitious Trade Name (d.b.a.)(if applicable)**

Enter fictitious name here:

**Applicant Website**

Enter the applicant's web site address and subfolders if there is a specific webpage for the proposed project.

Enter website information here:

**Primary Contact**

Enter contact information for the person CalRecycle loan staff can contact to discuss the loan application.

Name:

Title:

Email:

Phone:

**BASIC LOAN PROGRAM CRITERIA**

<b>1a.</b>	<p><b>Physical Project Location</b>          Enter the physical street address where the project is located. This should be the same address where the loan proceeds will be expended and the machinery and equipment funded by the loan program will be located.          Street Address:          City:          State:          Zip Code:          County:  <b>Recycling Market Development Zone (RMDZ):</b> Use the <a href="#">Zone Map Search</a> to enter the physical project location to determine if the project is in an RMDZ. If in an RMDZ, enter the zone name.</p>
<b>1b.</b>	<p><b>Physical Headquarters Address:</b> Enter the applicant's physical headquarters address. This should also be where the applicant's books and records are located.          Street Address:          City:          State:          Zip Code:          County:  <b>Headquarters Mailing Address:</b> Enter the applicant's mailing address for loan correspondence and billing.          Mailing Address:          City:          State:          Zip Code:</p>
<b>2a.</b>	<p><b>California Secretary of State Registration</b> (<i>Business corporations and limited liability applicants only</i>). Corporations and Limited Liability Companies must verify their legal business name by using the <a href="#">Secretary of State's web site</a>.  <input type="checkbox"/> Loan applicant's name matches the name registered with the Secretary of State.  <input type="checkbox"/> A copy of the SOS search printout is attached to this application.</p>
<b>2b.</b>	<p><b>Federal EIN/TIN number:</b> The EIN, or Employer Identification Number, is also known as a federal Tax Identification Number (TIN), and is used to identify a business or nonprofit entity. An EIN is a unique 9-digit number, similar to a Social Security Number for an individual.          Enter number here:</p>

<b>3.</b>	<p><b>Permits:</b></p> <p><input type="checkbox"/> Yes, the business has all permits to operate, considering the additional materials that will be processed as a result of the requested loan.</p> <p><input type="checkbox"/> No, the business needs to apply for or revise permits for the proposed project.</p>
<b>4.</b>	<p><b>Customers</b></p> <p>Will you be able to provide copies of Letters of Intent, Contracts, or other documents to confirm that your business has customers willing to buy your recycled-content end products? Yes or No.</p>

**BASIC PROJECT INFORMATION**

<b>5a.</b>	<p><b>Feedstock Materials Type</b>          Check the box(s) that best describes the type(s) of waste materials to be used in the project.</p> <p><input type="checkbox"/> Organic Materials</p> <p><input type="checkbox"/> Fiber Materials (paper, textiles including mattresses, carpet, or wood)</p> <p><input type="checkbox"/> Plastic Materials</p> <p><input type="checkbox"/> Glass Materials</p> <p><input type="checkbox"/> Construction and Demolition Materials</p> <p><input type="checkbox"/> Waste Tires</p> <p><input type="checkbox"/> Other: Describe</p>
<b>5b.</b>	<p><b>Program Results:</b></p> <p><b>Feedstock/Waste Material (tons):</b>          Current Calendar Year                      Next Calendar Year</p> <p><b>Permanent Jobs Created</b>          Current Calendar Year                      Next Calendar Year</p>
<b>6a.</b>	<p><b>Facility or Manufacturing Process</b></p> <p><input type="checkbox"/> The applicant will process the feedstock/waste materials in-house (not contract out to another business).</p> <p><input type="checkbox"/> The applicant's process "adds value" to the feedstock/waste material by waste reduction, reuse, or recycling (cleaning, washing, grinding, cutting, extruding, or otherwise).</p> <p><input type="checkbox"/> The project only collects, sorts, and/or bales recycled materials for ease of transport to a recycling business or primarily export (generally ineligible).</p>

<p><b>6b.</b></p>	<p><b>Equipment/Machinery</b></p> <p><input type="checkbox"/> <b>Resalable:</b> The equipment used to process or manufacture the feedstock is available from a variety of equipment manufacturers, dealers, brokers, or businesses with similar operations, and if the business is not successful the equipment is marketable and usable by a variety of other businesses.</p> <p><input type="checkbox"/> <b>Single Use:</b> The equipment is custom designed and built for a single use, is used solely by the loan applicant, and cannot be used by a competing business. This category also includes equipment and machinery that is licensed for use in specific locations or territories.</p> <p><input type="checkbox"/> <b>Patent:</b> The equipment/machinery has a U.S. Patent.</p> <p><input type="checkbox"/> <b>Licensed:</b> The equipment/machinery is licensed.</p> <p><input type="checkbox"/> <b>High heat:</b> The equipment used to process or manufacture the feedstock uses a high heat or incineration process that generates greenhouse gases, in which case this equipment may not eligible for loan funding.</p>
<p><b>7a.</b></p>	<p><b>Recycled-content Products</b></p> <p>What percentage of the product is recycled-content?          Briefly summarize what your recycled-content products are:</p>
<p><b>7b.</b></p>	<p><b>Preprocessor or Finished Product Producer</b></p> <p><input type="checkbox"/> The applicant's business is a Preprocessor that produces an intermediate product which requires further processing by another manufacturer.</p> <p><input type="checkbox"/> The applicant's business is a Finished Product Producer (A good or package in a form which requires no further processing or forming before it is offered for sale to an end-user. It does not include intermediate products, such as plastic pellets sold as feedstock to a converter for fabrication into a consumer product).</p>

**LOAN REQUEST SUMMARY AND SUBMITTAL**

<p><b>8.</b></p>	<p><b>Loan Request Summary</b></p> <p>Briefly summarize the purpose of the loan, what the project is, and how the loan will benefit the project.          Enter summary here:</p>
<p><b>9.</b></p>	<p><b>Loan Amount Requested</b></p> <p>Enter the requested loan amount.          Enter Amount Here: \$</p>

<p><b>10.</b></p>	<p><b>Use of Loan Proceeds</b>          Briefly state how the loan proceeds will be used.</p> <ul style="list-style-type: none"> <li>• Purchase of equipment and machinery \$</li> <li>• Real estate improvements \$</li> <li>• Purchase of real estate \$</li> <li>• Working Capital \$</li> <li>• Refinance of Onerous Debt \$</li> <li>• Loan Closing Points \$</li> </ul>
<p><b>11.</b></p>	<p><b>Term Request</b>          The term should match the useful life of the equipment that is funded by this loan, not to exceed 10 years when the loan is secured by business assets or 15 years when the loan is secured by real estate.          Enter your requested term of the loan:</p>
<p><b>12a.</b></p>	<p><b>Submittal Instructions:</b>          Check each appropriate box.</p> <p><input type="checkbox"/> <b>Application Fee:</b> The loan application fee of \$300 is attached.</p> <p><input type="checkbox"/> <b>Application Signature:</b> This original Loan Application (CalRecycle 838) is submitted and signed using blue ink.</p>
<p><b>12b.</b></p>	<p><b>CalRecycle Addresses</b></p> <p><u>Application Mailing Addresses:</u>          Department of Resources, Recycling, and Recovery (CalRecycle)          Loans Unit (Mail-stop #9)          P. O. Box 4025, Sacramento, CA 95812</p> <p><u>Application Delivery Address:</u>          Department of Resources, Recycling, and Recovery (CalRecycle)          Loans Unit (Mail-stop #9)          1001 I Street, Sacramento, CA 95814</p>

<b>PRIVACY NOTICE</b>	
<b>13.</b>	<p>The Department of Resources Recycling and Recovery (CalRecycle) will use information in this loan application to determine eligibility and creditworthiness of the loan applicant, and applicant ability to secure and repay the loan. Public rating agencies, prospective investors or purchasers, or their agents will review this information in the event of sale or securitization of the loan. Such review will be subject to a confidentiality agreement.</p> <p>CalRecycle may transfer portions of the information in this loan application to the CalRecycle Loan Committee and to state agencies assisting CalRecycle with administration and maintenance of this program, including but not limited to: the Office of the State Controller, Department of General Services, Department of Finance, and the Department of Justice. CalRecycle may enter designated items from this application into a CalRecycle database accessible to the public.</p> <p>This notice is required by Section 1798.17 of the Information Practices Act of 1977 (California Civil Code Sections 1798-1798.78) whenever an agency requests personal information from an individual.</p> <p>The information requested in this application is mandatory for all loan applicants. Failure to provide the information may result in an applicant not receiving a CalRecycle loan.</p> <p>Applicants have the right to review their loan application files. The official responsible for maintenance of loan application files is: Loan Program Supervisor, Department of Resources Recycling and Recovery, Loan Programs Unit, 1001 I Street, Mail Stop # 9, P.O. Box 4025, Sacramento, CA, 95812-4025.</p>

<b>CONFIDENTIALITY</b>	
<b>14.</b>	<p><b>CONFIDENTIALITY – BUSINESS APPLICANTS/BORROWERS</b></p> <p>We appreciate applicants’ desire to treat certain financial and other proprietary documents provided to CalRecycle as confidential. Trade secrets and confidential or proprietary information receive certain protections under the California Public Records Act (Government Code 6250, et seq.) and related CalRecycle regulations (Title 14 of the California Code of Regulations (CCR), sections 17041-17046).</p> <p>What is “confidential?”</p> <p>Under section 17046(b) of Title 14 of the regulations, confidential or proprietary information shall include, but is not limited to:</p> <ol style="list-style-type: none"><li>1) Personal or business-related financial data, customer client lists, supplier lists and other information of a proprietary or confidential business nature provided by persons in applications, reports, returns, certification or other documents submitted to CalRecycle which if released would result in harmful effects on the person’s competitive position.</li><li>2) Tax information prohibited from disclosure, pursuant to the Revenue and Taxation Code.</li></ol> <p>What if there is a request for information claimed to be confidential?</p> <p>If CalRecycle receives a request to disclose data claimed by the applicant to be confidential, CalRecycle would notify the applicant of the request and will review the requested documents to determine whether they were correctly identified as “confidential.” If there is any question about whether specific information is confidential, CalRecycle would contact the person(s) identified in the application to provide a justification and statement for why the information is claimed. The process for evaluating confidentiality claims is set forth in 14 CCR 17046.</p> <p>How will confidential financial information be handled?</p> <p>Financial information will be analyzed, by loan program staff and loan committee members. They will be kept confidential, and will be maintained with restricted access.</p>

**APPLICATION AUTHORIZATION, CERTIFICATION, AND SIGNATURES**

15.

I/We certify that all information in this application and all information provided in support of this application is true and complete to the best of my/our knowledge and belief.

I/We understand that non-proprietary information contained in this application and attachments may become public information during the administration of this program, and that any loan agreement that I/We sign may become public information. CalRecycle considers all business and personal financial information and other proprietary information provided in this application and attachments to have been identified as trade secret, confidential or proprietary information as described in the [California Code of Regulations \(14 CCR 17041-17046\)](#) and treats it accordingly.

I/We authorize the Department of Resources Recycling and Recovery to obtain business credit reports, check credit references, verify information with creditors, suppliers, and vendors, and conduct any other inquiries deemed necessary to determine creditworthiness of the applicant. Each person signing below certifies that he/she signed on behalf of the applicant in the capacity indicated next to the signer's name and is authorized to execute this application on behalf of the applicant.

I/We certify that the applicant is in compliance with all local, state and federal laws, regulations, requirements and rules, including the [California Environmental Quality Act, Public Resources Code Section 21000 et seq.](#) and all permit and license requirements.

The signature must be in **BLUE INK**, to easily verify that the signature is original, and this original form must be submitted to CalRecycle. All other supporting documents may be either originals, photocopies, or scanned.

Print/Type Name                      Position/Title                      Date

X  
\_\_\_\_\_  
Authorized Signature (use blue ink)

Print/Type Name                      Position/Title                      Date

X  
\_\_\_\_\_  
Authorized Signature (use blue ink)

Print/Type Name                      Position/Title                      Date

X  
\_\_\_\_\_  
Authorized Signature (use blue ink)