

The background of the slide features a collage of various plastic bottles and a metal can. In the upper left, there is a blue aluminum can with a pull-tab lid, showing some text like "Nutrition Facts". To its right and slightly below is a clear plastic bottle with a blue screw-on cap. Further right is a green plastic bottle with a green screw-on cap. The background is a light blue gradient with faint, larger-scale patterns of bottles and cans. A white curved line separates the top image area from the text area.

# **Recycling Program Enforcement Branch – Quarterly Statistics**

**October 18, 2016**



# PROBATIONARY REVIEWS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
DORiis Auto-Generated Cases	199	197	(1%)
Recycling Centers Visited:	60	65	8%
• Passed	11	17	55%
• Extended	47	47	0%
• Recommendation for Revocation	2	0	100%
• Decertified during/result of visit	0	1	100%



# PROBATIONARY REVIEWS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Recycling Centers Not Visited:	139	132	(5%)
• Passed	30	17	(43%)
• Extended	95	97	2%
• Recommendation for Revocation	0	0	0%
• Not RCs	8	9	13%
• Decertified / Abandoned	6	9	50%
• Ongoing Investigations	0	0	0%





# PROBATIONARY REVIEWS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Total Assessments	\$7,602.04	\$36,486.73	380%
Restitution	\$1,252.04	\$6,736.73	438%
Civil Penalties	\$6,350.00	\$29,750.00	369%



# HANDLING FEE REVIEWS

STATISTICS	Apr – Jun 2016
Handling Fee Applications Reviewed	24
Handling Fee Applications Denied	11
Handling Fee Applications Released	13

Handling Fees Claimed	\$258,889.46
Handling Fees Denied	\$98,202.61
Handling Fees Released	\$160,686.85



# HANDLING FEE REVIEWS

STATISTICS	Apr – Jun 2016
Total Penalty Assessments	\$1,850.00
Restitution	\$0.00
Civil Penalties	\$1,850.00



# INSPECTIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Recycling Center Inspections	1271	821	(35%)
Notices of Non-Compliance Issued	345	210	(39%)
Notices of Violation Issued	168	139	(17%)
Civil Penalty Assessments	\$32,500	\$38,600	19%





# INSPECTIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Dealer Inspections	17	286	1,582%
Notices of Non-Compliance Issued	5	158	3,060%
Notices of Violation Issued	7	7	0%
Civil Penalty Assessments	\$1,000	\$3,500	250%
Complaints Resolved	22	41	86%





# INVESTIGATIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Investigations Initiated	19	16	(16%)
Investigations Closed	18	43	139%
Fraud Detection Reviews Initiated	3	45	1,400%
Fraud Detection Reviews Closed	17	15	(12%)



# INVESTIGATIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Claimed Volume Reports Initiated	47	21	(55%)
Claimed Volume Reports Closed	51	37	(27%)
Recycler Load Inspection Initiated	24	10	(58%)
Recycler Load Inspection Closed	15	45	200%



# INVESTIGATIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Call Center Cases Initiated	28	22	(21%)
Call Center Cases Closed	27	23	(15%)
Load Inspection/Reviews Conducted:	1,488	695	(53%)
• Denied	10	2	(80%)
• Reduced	8	6	(25%)
Participants Placed on Prepayment Control Status	5	8	60%





# INVESTIGATIONS

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Forensic Reviews Completed	22	15	(32%)
Notices of Noncompliance Issued	31	31	0%
Notices of Violation Issued	14	12	(14%)
Recommendation to Revoke / Terminate Certification	2	0	(100%)
Recommendation to Deny Certification Applications	4	0	(100%)
DOJ Referrals	9	1	(89%)



# IMPORTED MATERIALS

IMPORTED MATERIAL REPORT (IMR) STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
IMRs Received	1,339	1,747	30%
- Semi-Trucks	70%	82%	17%
- Baled	51%	52%	2%
- Single-Stream	43%	49%	14%



# IMPORTED MATERIALS

IMR STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Nevada	28%	36%	29%
Arizona	27%	19%	(30%)
Utah	16%	17%	6%
Oregon	10%	9%	(10%)





# PROCESSOR OVERSIGHT

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Investigations Initiated	N/A	3	--
Investigations Closed	N/A	4	--
Fraud Detection Reviews Initiated	N/A	0	--
Fraud Detection Reviews Closed	N/A	0	--



# PROCESSOR OVERSIGHT

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Claimed Volume Reports Initiated	N/A	1	--
Claimed Volume Reports Closed	N/A	0	--
Recycler Load Inspection Initiated	N/A	1	--
Recycler Load Inspection Closed	N/A	0	--



# PROCESSOR OVERSIGHT

STATISTICS	Apr – Jun 2015	Apr – Jun 2016	% Change
Load Inspection/Reviews Conducted:	N/A	973	--
• Denied	N/A	4	--
• Reduced	N/A	4	--





# DOJ INVESTIGATIONS

<b>April – June 2016</b>	<b>Investigations Initiated</b>	<b>Investigations Closed</b>	<b>Arrests</b>
<b>Northern Recycling Team</b>	<b>0</b>	<b>0</b>	<b>5</b>
<b>Southern Recycling Team</b>	<b>1</b>	<b>0</b>	<b>2</b>
<b>Totals:</b>	<b>1</b>	<b>0</b>	<b>7</b>



# DOJ INVESTIGATIONS

<b>April – June 2016</b>	<b>In-State Surveillance</b>	<b>Out-of-State Surveillance</b>	<b>Contacts</b>	<b>Search Warrants</b>
<b>Northern Recycling Team</b>	<b>8</b>	<b>15</b>	<b>12</b>	<b>4</b>
<b>Southern Recycling Team</b>	<b>25</b>	<b>6</b>	<b>6</b>	<b>11</b>
<b>Totals:</b>	<b>33</b>	<b>21</b>	<b>18</b>	<b>15</b>



# **ACCUSATIONS**

**Accusations filed & served July 1 – September 30, 2016:**

**No new accusations were filed & served during this time period.**



# ACCUSATIONS

**Accusations resolved as of September 30, 2016 ~ filed  
& served after CY 2013:**

Participant Name	Date Filed	Amount Assessed	Date Finalized	Resolution
Valero Recycling	09/30/15	\$655,400.00	07/25/16	\$655,400.00*
Dougherty's Recycling	06/02/15	\$181,500.00	07/02/16	\$181,500.00
American Green Recycling	08/26/15	\$43,000.00	07/15/16	\$43,000.00
	<b>TOTAL:</b>	<b>\$879,900.00</b>	<b>TOTAL:</b>	<b>\$879,900.00</b>

\*Conditional waiver in place.





# ACCUSATIONS

**Unresolved accusations as of September 30, 2016 ~  
filed & served after CY 2013:**

Participant Name	Date Filed	Amount Assessed
J & S Recycling	02/07/13	\$206,258.61
USA Recycling	04/24/13	\$790,786.56
Eagle Recycling	07/29/14	\$2,749,279.41
Enviro Recycling	08/24/15	\$1,749,881.00
PNL Recycling	08/26/15	\$2,065,289.00



# ACCUSATIONS

**Unresolved accusations as of September 30, 2016 ~  
filed & served after CY 2013 (con't):**

Participant Name	Date Filed	Amount Assessed
Meija'a Recycling	10/26/15	\$517,066.72
Jayden Recycling	11/17/15	\$713,228.12
USA Waste of California	02/16/16	\$3,953,330.26
California Waste Solutions	04/28/16	\$12,433,551.00
Recycling Service Alliance	05/31/16	\$1,420,005.38
	<b>TOTAL:</b>	<b>\$26,780,176.06</b>



# ACCUSATIONS

**Unresolved accusations as of September 30, 2016 ~  
filed & served before CY 2013:**

Participant Name	Date Filed	Amount Assessed
Piccadilly Imports, Inc.	12/22/08	\$ 10,990.87
Villanueva Recycling	04/30/10	\$ 149,434.94
	TOTAL:	\$ 160,425.81