

## Instructions for Completing CalRecycle Form 196A

Complete CalRecycle 196A and attach to the Covered Electronic Waste (CEW) Recycling Payment Claim (CalRecycle 196) along with all applicable supporting documentation to satisfy the Approved Recycler's obligations under Title 14, CCR § 18660.21, § 18660.22, and § 18660.24. This worksheet must only contain information for the same reporting month for which a payment claim is made. Use fillable PDF feature or print legibly in ink. Void errors only by using a single line through the error. All weights reported are net weights in pounds. Pages 2-3 of CalRecycle 196A are not required if the substitute format that is submitted to CalRecycle contains all of the required information.

### I. Reporting Information

- Enter your Recycler Name and your approved CEWID #.
- Enter Reporting Month/Year covered by payment claim (the month in which the Bare CRTs or CRT Glass Cullet shipped). Only one CRT CEW claim may be submitted per month.
- Enter dates from beginning of activities to the end of activities. A Claim Activity Period is the span of time during which an Approved Recycler received CEWs from Approved Collectors, processed and cancelled CEWs, and shipped treatment residuals (i.e., bare CRTs or CRT Glass Cullet) resulting in a recycling payment claim being submitted to CalRecycle.
- Enter total weight of CRT CEWs during this Claim Activity Period that were:
  - Collected and Transferred to Recycler as certified on *CalRecycle 197: Transfer Receipt from Approved Collectors* (including Dual Entity Collectors for when the Approved Recycler is also the Approved Collector in the transaction). This total should reconcile with totals documented on CalRecycle 197S and CalRecycle 198/198SA.
  - Cancelled from CEWs collected and transferred as certified on *CalRecycle 197s/Transfer Receipts* and recorded on page two of CalRecycle 196A: Cancellation of CRT Covered Electronic Wastes (CEW).
  - Collected and Transferred but not Cancelled from CEWs collected and transferred as certified on *CalRecycle 197s: Transfer Receipts from Approved Collectors* (including Dual Entity Collector).

### II. Disposition of CRT CEWs Collected but Not Cancelled

Enter brief description for the disposition of CEWs collected but not cancelled. Including but not limited to storage, repair, refurbishment, resale, reuse, transfer, or export. Attach separate pages if additional space is needed.

### III. Calculation of Cancelled CRT CEWs from California Sources Only

- Enter total weight of CRT CEWs cancelled. The calculation should reconcile with amounts on *CalRecycle 196*.
- Enter the applicable standard statewide combined recovery and recycling payment rate. See Title 14, CCR § 18660.34(b)(1) for details.
- Enter calculated total of the weight multiplied by the payment rate.
- Check appropriate box indicating cancellation method used for this claim.

### IV. Post-Cancellation Disposition for CRTs or CRT Glass Cullet

Enter total weight of Bare CRTs or CRT Glass Cullet: Generated from Cancellation, Shipped (regulations require all Bare CRTs or CRT Glass Cullet resulting from cancelled CEWs be shipped prior to claim submission), and Stored. *CRT glass cullet includes CRT glass, CRT funnel glass and CRT panel glass. These residuals are not included in section V.* For Bare CRTs or CRT Glass Cullet shipped:

- Attach all applicable shipping records showing weights shipped, destination(s), and dates shipped. Shipping records include certified weight certificates, packing lists, bills of lading (BOL), manifest (Hazardous Waste Manifest), destination receipts, and invoices.

- Additional details for these residuals must be noted on page three of CalRecycle 196A: Shipments of Bare CRTs or CRT Glass Cullet and all Treatment Residuals or on a separate document.
- Highlight directly on the shipping records the dates of shipment, material type, amount applied to this claim, the full name and address of shipping service, and the full name and address of the buyer or other transferee (and destination name and address if different). If the amount on the shipping records is:
  - More than the amount noted on page three of Shipments, then note the amount applied to this claim directly on shipping records.
  - Less than the amount noted on page three of Shipments, then provide an explanation.
- Shipping records must include:
  - Shipping reports with names of both the shipping recycler and receiving initial destination.
  - Date of shipment and certified weights.
  - Verification of post cancellation disposition, including:
    - The proof of transfer to an initial destination, which for shipments by sea include the on-board BOL and an executed contract or other documentation from intended recipient, and for all other shipments include a receipt issued by the person receiving the shipment and any applicable BOL or manifest.
    - All other documentation pertaining to the initial destination or the ultimate disposition demonstrating compliance with material handling and shipment requirements, including a discussion of the ultimate disposition of the material shipped demonstrating the disposition is compliant with applicable law and conforms with Approved Recycler's condition of authorization.

#### **V. Weight of Treatment Residuals Generated from Cancelled CRT CEWs**

- Enter Shipped, Stored and Total weights for each residual. Specify the type of treatment residual if listing something under the Other category. Calculate and enter the Total Residuals.
- Use page three Shipments or a separate sheet to describe and quantify the disposition of all other treatment residuals derived from the cancellation.
- Follow above instructions for Post-Cancellation Disposition for Bare CRTs or CRT Glass Cullet, which include:
  - Attaching all applicable shipping records, and
  - Highlighting directly on the shipping records the relevant information noted.

#### **VI. Cancellation of CRT CEWs**

- Total pounds cancelled should reconcile with total documented on page one, section I of CalRecycle 196A, Total weight of CRT CEWs: Cancelled during this Claim Activity Period.
- An example of "Cancellation Method" includes dismantling to bare CRT after relieving the vacuum.

#### **VII. Shipments of Bare CRTs or CRT Glass Cullet and all Treatment Residuals**

- Total pounds shipped for each residual noted on page three of Shipments should reconcile with totals documented on page one, sections IV and V of CalRecycle 196A: Post-Cancellation Disposition of Bare CRTs or CRT Glass Cullet Summary and Weight of Treatment Residuals Generated from Cancelled CRT CEWs Summary.
- Under Name of Destination, note addresses if it is not included on the shipping records. Include full names and addresses for shipping service, buyer or other transferee.
- For Material Type, indicate: Bare CRTs, CRT Glass Cullet, Plastic, Copper, Non-Copper Metals, Wood, Circuit Boards or specify other residual (only list one material type per line item).