Application for Approval: Recycler

Entities intending to participate in the CalRecycle Covered Electronic Waste (CEW) Recycling Program as **only** an approved recycler must submit this form. Prior to approval, applicants must comply with applicable Department of Toxic Substances Control (DTSC) regulations.

Supplemental information or supporting documentation may be required to complete the application process. Please take care to ensure all additional documents are clearly marked to indicate their purpose. Complete the fields below using the fillable PDF feature or print legibly in permanent ink. Digital signatures may be provided. Void errors only by marking a single line through the error.

I. Applicant Information

Organization Name*:
New Applicant <u>or</u>
Application from previously approved participant (CEWID number:)
Check appropriate box: 🔲 Update to information prior to renewal
🗌 Biennial Renewal (due every two years)
Reapply (after expiration/denial/revocation)

*Provide name as authorized to transact business in California with supporting documentation noted in Section IV and submitted with this application.

II. Addresses

Туре	Address	City	County	State	Zip
Physical Location					
Mailing					
Operational Records					

III. Website, Phone Number, and Public Directory

Website:	Main Phone Number:		
All participants are listed in the Directory of Approved College	ctors and Recyclers of CEW to facilitate		
CEW transactions between participants within the context of	of the CEW Recycling Program		
(https://www2.calrecycle.ca.gov/Electronics/cew/participant	sDirectory). CalRecycle hosts another		
directory for the general public, Where Do I Recycle E-Was	te?, in which your organization may		
choose to be displayed or not (https://www2.calrecycle.ca.gov/Electronics/eRecycle/). Please indicate			
your preference:			
\Box Vac displaying on the seven we have been displayed displaying			

Yes, display us on the general public-focused directory, **or**

No, do <u>not</u> display us on the general public-focused directory.

FOR CALRECYCLE USE ONLY Date Postmarked (Stamp) Date Received

Received by:	Date Postmarked (Stamp)	Date Received (Stamp)
🗌 Mail		
🗌 E-mail		

IV. Organization Type and Required Documentation

Supporting documentation referenced below is required. However, a previously approved participant may certify their organization's supporting documentation remains current and accurate since the last application approval. Entering initials to the left authorizes CalRecycle to use any previously submitted documentation to satisfy the application requirements.

Organization Details

Туре	Check to Indicate Supporting Documentation Provided or Already on File
California	Articles of Incorporation as filed with the Secretary of State (SoS)
Corporation	□ Name and position of all current corporate officers as filed with the SoS
-	Corporate number as filed with the SoS
	Agent for service of process as filed with the SoS
	Any fictitious business name statement (if not required per Business
	and Profession Code Sections 17900-17930, check here: 🗌)
Corporation from a	Approved certificate from California Secretary of State qualifying and
State Other Than	authorizing corporation to transact business in California
California	Any fictitious business name statement (if not required per Business
	and Profession Code Sections 17900-17930, check here:)
California Limited	Articles of Organization as filed with the Secretary of State (SoS)
Liability Company	Statement of Information as filed with the SoS
(LLC)	Any operating agreement
	Agent for service of process as filed with the SoS
	Any fictitious business name statement (if not required per Business
	and Profession Code Sections 17900-17930, check here:)
Limited Liability	Certificate from the California Secretary of State authorizing LLC to
Company from a	transact business in California
State Other than	Any fictitious business name statement (if not required per Business
California (LLC)	and Profession Code Sections 17900-17930, check here: 🗌)
🗌 Individual	Business license (if not required by Local Jurisdiction, check here:)
	Organization name includes a Surname as required by Business and
	Professions Code Sections 17900-17930)
Individual Doing or	Business license (if not required by Local Jurisdiction, check here:)
Proposing to Do	Any fictitious business name statement (if not required per Business
Business Under a	and Profession Code Sections 17900-17930, check here:)
Different Name	
Non-profit or Charity	Description of Organization
	Information from the Federal Internal Revenue Service or the State of
	California Franchise Tax Board confirming tax exempt status
	Any fictitious business name statement (if not required per Business
	and Profession Code Sections 17900-17930, check here: 🗌)
Partnership	Current partnership agreement
	Any fictitious business name statement (if not required per Business
	and Profession Code Sections 17900-17930, check here:)
Spousal or Domestic	Business license (if not required by Local Jurisdiction, check here:)
Partnership Co-	Any fictitious business name statement (if not required per Business
ownership	and Profession Code Sections 17900-17930, check here: 🗌)
	Complete names of both spouses/partners
Government Agency	Authorizing resolution from governing body

V. Authorized Personnel

1-Name:	Signature is required in Section XI			
E-mail:	Phone Number (ext):			
 Primary Signatory (may sign all documents) Main Contact 				
2-Name:	Signature:			
E-mail:	Phone Number (ext):			
A Main Contact Payment Claims (check Main Contact if Primary Signatory above is n	Net Cost Report Other Documents ot checked as Main Contact)			
3-Name:	Signature:			
E-mail:	Phone Number (ext):			
Payment Claims	Net Cost Report Other Documents			
4-Name:	Signature:			
E-mail:	Phone Number (ext):			
Payment Claims	Net Cost Report Other Documents			
5-Name:	Signature:			
E-mail:	Phone Number (ext):			
Payment Claims	Net Cost Report Other Documents			
6-Name:	Signature:			
E-mail:	Phone Number (ext):			
Payment Claims	Net Cost Report Other Documents			
7-Name:	Signature:			
E-mail:	Phone Number (ext):			
Payment Claims	Net Cost Report Other Documents			
8-Name:	Signature:			
E-mail:	Phone Number (ext):			
Payment Claims	Net Cost Report Other Documents			
9-Name:	Signature:			
E-mail:	Phone Number (ext):			
Payment Claims	Net Cost Report Other Documents			
10-Name:	Signature:			
E-mail:	Phone Number (ext):			
Payment Claims	Net Cost Report Other Documents			

VI. DTSC Notification of Intent (NOI) Requirements

Per DTSC regulations, to be authorized to receive material, a Universal Waste Handler must notify DTSC of their intent to handle electronic devices and report on those activities annually (Chapter 23 of Title 22 of the California Code of Regulations (CCR)). Information on notifications may be viewed on <u>DTSC's website</u> (https://dtsc.ca.gov/electronic-hazardous-waste/). DTSC may be contacted for assistance at <u>electronicwaste@dtsc.ca.gov</u>. Compliance with all applicable DTSC regulations is solely the responsibility of the applicant. Failure to comply with DTSC requirements will result in the denial of this application.

Business Name*:		Business ID:
NOI Address*:		
NOI Date:	NOI Facility ID:	

*Provide business name and address as it appears in the DTSC NOI. NOI address above should match the <u>physical</u> address noted on the first page of this application.

VII. Recycler DTSC Requirements and CDFA Weighmaster Requirements

Per DTSC regulations, a Universal Waste Handler who intends to treat must notify DTSC of their intent and report on those activities annually (Chapter 23 of Title 22 of CCR). Information on notifications may be viewed on <u>DTSC's website</u> (https://dtsc.ca.gov/electronic-hazardous-waste/). DTSC may be contacted for assistance at <u>electronicwaste@dtsc.ca.gov</u>. Compliance with all applicable DTSC regulations is solely the responsibility of the applicant. Failure to comply with DTSC requirements will result in the denial of this application.

Recyclers must also be licensed Weighmasters prior to approval in our program. Visit the <u>California</u> <u>Department of Food and Agriculture's (CDFA) Division of Measurement Standards Weighmaster E-</u> <u>Waste Program</u> (https://www.cdfa.ca.gov/dms/programs/wm/WM_EWaste.html) for more information.

DTSC and CDFA Information Dates NOL Date:

Dates	Requirements
NOI Date:	The recycler notified DTSC 30 days prior to treatment. Copy of signed "Recycler Notice of Intent (NOI) Certification Page" submitted to
	DTSC attached.
Inspection Date (or date	Copy of inspection report provided by DTSC dated within the past 12 months attached; or
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of request):	Copy of request for inspection sent to DTSC attached. (CalRecycle will review the remainder of the application and withhold approval until the DTSC inspection is completed. Applicant must notify CalRecycle once the inspection has been
	conducted.)
Date Issued:	Copy of Weighmaster license issued by CDFA's Division of Measurement
	Standards attached. (CDFA Weighmaster license number:)

### **Certification Requirements**

To satisfy the requirements in 14 CCR Section 18660.13(a)(3), initial below to indicate the facility meets all the following standards.

 Initial
 Initials on each line indicate you certify the following requirements are met.

 _____
 The recycler is in compliance with DTSC's minimum standards for managing hazardous and universal waste set forth in Chapters 12, 14, 15, 16, 20, 22, and 23 of Division 4.5 of Title 22 of the California Code of Regulations.

Initial	Initials on each line indicate you certify the following requirements are met.
	The facility is accessible during normal business hours for unannounced inspections by
	state or local agencies.
	The facility has, and certifies, compliance with a health and safety plan.
	The facility has, and certifies, compliance with an employee training plan.
	The facility has, and certifies, compliance with an environmental compliance plan.
	The facility meets or exceeds the standards specified in Chapter 1 (commencing with
	Section 1171) of Part 4 of Division 2, Division 4 (commencing with Section 3200), and
	Division 5 (commencing with Section 6300) of the Labor Code.

### **VIII. Recycling Activities**

## CEW Recycling Methods (14 CCR Section 18660.32(c)) used (or intended to be used) (check all that apply):

CRT or CRT-containing CEW cancellation through dismantling to a bare CRT after relieving the vacuum.

Non-CRT-containing CEW cancellation through dismantling to a bare panel.

Alternative cancellation method approved by CalRecycle per 14 CCR Section 18660.32, and indicate the date approved: _____

### Type(s) of CEWs that may be cancelled (check all that apply):

A "Covered Electronic Waste" or "CEW" means a discarded device that DTSC has determined to be a covered electronic device. Details regarding specific covered electronic devices may be viewed on DTSC's website at <u>https://dtsc.ca.gov/covered-electronic-devices/</u>.

CRT-Containing CEWs Non-CRT-Containing CEWs

### **Other Recycling Activities**

Intent to process/recycle CEWs from non-California sources:

☐ No, we will <u>not</u> process CEWs from non-California Sources, **or** 

Yes, we will process CEWs from non-California Sources.

If yes, percentage of cancelled CEWs expected to originate from outside of California: ____%.

### **IX. Collector Transactions**

A recycler <u>not</u> applying as dual entity (<u>both</u> as an approved collector and approved recycler) must provide information for at least one approved collector from which the recycler has accepted, has contracted to accept, or intends to contract to accept CEWs for cancellation.

Collector Name:			CEWID:
Physical Address:			
City:	Zip:	County:	
Contact Name:	Phone Number	(ext):	
Letter from an approved collector certifying under penalty of perjury the collector intends to			
transfer California CEWs to the recycler attached.			

### X. Payee Data Record

A completed Payee Data Record (STD 204) is required for all payees (non-governmental entities or individuals) entering into a transaction that may lead to a payment from the state. The Payee Data Record is also used to prepare information returns (Form 1099). This form is required as part of a recycler's application for approval to participate in the CEW Recycling Program.

Pavee Data Record (STD 204) (www.calrecycle.ca.gov/Electronics/Recovery/Application/) with original signature attached.

### XI. Recycler Certification Statements and Primary Signatory's Signature

"The undersigned recycler agrees under penalty of perjury and of immediate revocation of approval and denial of recycling payments, that as an approved recycler:"

- "I shall fully reimburse an approved collector for all CEWs transferred at the rate specified in this Chapter (14 CCR Section 18660.33) within 90 days."
- "Notwithstanding the allowances contained in Section 18660.6(d) of this Chapter, I shall not adjust fees, charges, or other contract provisions upward for the purpose of negating the recovery payment to approved collectors."
- "I shall provide free CEW recycling by accepting without charge CEWs from approved collectors if the payment from CalRecycle fully covers the net cost of the CEW recycling."
- "I shall operate in compliance with the requirements of this Chapter [14 CCR, Division 7, Chapter 8.2], the Act, and with all applicable local, state, and federal regulatory provisions."
- "I have read and understand the requirements set forth in the statutes and regulations governing this program."

"The undersigned certifies under penalty of perjury under the laws of the State of California that the information provided herein is true and correct."

Primary Signatory's Printed Name: Location Where Signed (City/State): Date Signed:

Primary Signatory's Signature:

### XII. CEW Recycling Program Contact Information

Electronic submission of the completed form with signatures and any supporting documentation is preferred and should be emailed to ewasteapplications@calrecycle.ca.gov. If necessary, you may submit as a hardcopy to the address shown below. Submissions received electronically do not require the submission of a hardcopy. As required in regulations, retain a copy for your records.

Department of Resources Recycling and Recovery (CalRecycle) Attention: CEW Recycling Program, Application Processing, MS #9 1001 | Street Sacramento, CA 95814

You may contact CalRecycle staff via:

(916) 341-6269 ewasteapplications@calrecycle.ca.gov CEW Recycling Program (https://www.calrecycle.ca.gov/Electronics/CEW/)