# ATTACHMENT 1 – CONTRACTOR’S CERTIFICATION

THE STATE OF CALIFORNIA FROM: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

DEPARTMENT OF RESOURCES (Name of Firm)

RECYCLING AND RECOVERY (CALRECYCLE)

CONTRACTS UNIT

The undersigned hereby proposes and agrees to furnish all labor, materials, and equipment and to perform all work required in the manner and time prescribed herein and in such addenda as may be issued and in accordance with prevailing wage rates ascertained by the Department of Industrial Relations and set forth on the DIR web site (<https://www.dir.ca.gov/Public-Works/Prevailing-Wage.html>).

**IMPORTANT – READ BEFORE SIGNING:** Contractor’s Certification must be executed in the same name style in which the applicant is licensed. The undersigned Contractor certifies and agrees to provide the information and comply with the requirements contained in Items 1 through 5 on the following pages. By signing, Contractor swears under penalty of perjury that the conditions of all items below are true.

| Legal Name of Contractor: |
| --- |
| Federal I.D. #: |  | CSLB #: |  |
| DIR #: |  | LTO #: |  |
| Address: |  |
|  | (Street and/or P.O. Box) | (City) | (State) | (Zip) |
| E-Mail Address: |  |
| Telephone #: |  | Alternate #: |  |
| Contact Person: |  | Telephone #: |  |
| E-Mail Address: |  |
| Admin. Contact: |  | Telephone #: |  |
| E-Mail Address: |  |

| **Authorized Representative:** |
| --- |
| **SIGN HERE -----** |  | Date: |  |
| Printed Name of Signer and Title: |  |

*NOTE:* The prime entity prequalifying for Hazard Tree Removal *must* have an LTO. If a JV, at least one JV member must also have an LTO (see Item 1, below)

## ITEM 1 – CONTRACTOR’S BUSINESS IDENTIFICATION

| This application is submitted by (check one) |
| --- |
| [ ]  Individual | [ ]  Partnership | Business Entity Number: |  |
| [ ]  Joint Venture | [ ]  Corporation | Place and Date of Incorporation: |  |
| [ ]  Sole Proprietor |  |  |  |

NOTE: If Contractor is a corporation, the State in which incorporated shall be inserted above and the legal name of the corporation shall be set forth on Page 1 of Contractor’s Certification, together with the signature of the officer or officers authorized to sign contracts on behalf of the corporations; if Contractor is a Partnership, the true name of the firm shall be set for the on Page 1 of Contractor’s Certification, together with the signature of a partner authorized to sign the contract on behalf of the Partnership; and if Contractor is an individual, that person’s signature shall be placed on Page 1 of the Contractor’s Certification. If the signature is by an agent, other than an officer of a corporation or a member of a partnership, a Power of Attorney must be submitted with the application concurrently; otherwise, the application will be regarded as irregular and unauthorized. If the application is submitted by a partnership or joint venture, the members are:

| Members | SOS # | CSLB# | LTO (as applicable) | DIR# |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
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|  |  |  |  |  |
|  |  |  |  |  |

**Include additional page(s) and attach if more space is needed. \_\_\_\_\_ # of Add’l Pages Attached**

List all California construction or other professional license numbers, classifications and expiration dates held by your firm:

|  |  |  |
| --- | --- | --- |
| **License Number** | **Classification** | **Expiration Date** |
|  |  |  |
|  |  |  |
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## ITEM 2 – ADDENDA

In submitting this answer, responding party represents that the responding party has examined copies of all the solicitation documents and acknowledges receipt of any Addenda as may have been issued prior to submission of the answer to pre-qualification solicitation.

| Addendum No.: |  | Date: |  | Addendum No.: |  | Date: |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Addendum No.: |  | Date: |  | Addendum No.: |  | Date: |  |
| Addendum No.: |  | Date: |  | Addendum No.: |  | Date: |  |
| Addendum No.: |  | Date: |  | Addendum No.: |  | Date: |  |

## ITEM 3 – COMPLIANCE WITH GOVERNMENT CODE SECTION 87100

Government Code section 87100 provides: No public official at any level of state or local government will make, participate in making, or in any way attempt to use his official position to influence a governmental decision in which he knows or has reason to know they have a financial interest. Contractors that provide recommendations and advice that may influence decision-making are required to comply with the disclosure requirements of the conflict-of-interest laws promulgated under the Political Reform Act.

The prospective contractors and subcontractors, if any, shall disclose any present or prior (within the last two years) financial, business, or other relationship with CalRecycle. These disclosures will be made under penalty of perjury.

In addition to the disclosures required above, list current clients subject to any discretionary action by CalRecycle, or who may have a financial interest in the policies and programs of CalRecycle and describe any current or planned work activities the contractor is performing for such clients. These disclosures will be made under penalty of perjury. The Contractor and its subcontractors (if any) will be required to file statements of economic interests with CalRecycle upon award of the Contract. CalRecycle will keep copies of the statements of economic interest and forward the originals to the Fair Political Practices Commission.

CURRENT CLIENTS MEETING ABOVE CRITERIA (Identify as “NA” if no conflict exists)

Client Name Contract Address Phone

|  |
| --- |
|  |
|  |

A determination by CalRecycle that a conflict of interest exists as a result of the disclosed relationships may be grounds for disqualification.

If no conflicts exist, by signing Contractor’s Certification, Applicant acknowledges that there are no potential conflicts of interest, as defined in Public Contract Code (PCC) sections 10410, 10411, and Government Code (GC) section 87100, by the submitting firm and/or any subcontractors listed in the Bid.

## ITEM 4 – SUSPENSION AND DEBARMENT

Contractor declares compliance 2 C.F.R. pt. 180 and 2 C.F.R. pt. 3000. As such, the Contractor is required to verify that none of the Contractor, its principals (defined at 2 C.F.R. section 180.995), or its affiliates (defined at 2 C.F.R. section 180.905) are excluded (defined at 2 C.F.R. section 180.940) or disqualified (defined at 2 C.F.R. section 180.935).

The Contractor must comply with 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C and must include a requirement to comply with these regulations in any lower tier covered transaction it enters into.

This certification is a material representation of fact relied upon by CalRecycle. If it is later determined that the contractor did not comply with 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C, in addition to remedies available to the State of California or CalRecycle, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment.

The Contractoragrees to comply with the requirements of 2 C.F.R. pt. 180, subpart C and 2 C.F.R. pt. 3000, subpart C, while this offer is valid and throughout the period of any contract that may arise from this offer. The Application further agrees to include a provision requiring such compliance in its lower tier covered transactions.”

## ITEM 5 – CERTIFICATIONS, ACKNOWLEDGEMENTS AND DECLARATION

#### Contractor acknowledges and certifies to, under penalty of perjury, all the requirements and provisions as set forth herein.

Contractor swears under penalty of perjury under the laws of California that the Contractor is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code sections 10286 and 10286.1 and is eligible to sign an Agreement with the State of California.

Contractor certifies under the penalty of perjury under the laws of the State of California that the Contractor will, unless exempted, comply with the nondiscrimination program requirements of Government Code section 12990 and 2 CCR section 8103.

**I hereby declare that by submitting this Pre-Qualification Application Package I am duly authorized to sign this Pre-Qualification Application Package on behalf of the above named entity. All information set forth in this application package, attachments, and all supporting documents hereto are, to the best of my knowledge, true, correct, and complete as of the submission date.**

**The undersigned declares under penalty of perjury that all of the prequalification information submitted with this form is true and correct.**

| **Authorized Representative:** |
| --- |
| **SIGN HERE -----** |  | Date: |  |
| Printed Name of Signer and Title: |  |