



**ULTIMED**  
INCORPORATED



## **Pharmaceutical and Sharps Waste Stewardship 2021 Annual Report**

**Submitted by**

UltiMed, Inc.  
350 Highway 7  
Excelsior, MN 55331

**Submitted to**

California Department of Resources  
Recycling and Recovery (CalRecycle)  
1001 I Street  
Sacramento, CA 95812-4025

**Submitted on**

*July 29, 2022*

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## Perjury Statement

As required by CCR 18973(d)(e), I hereby declare, under penalty of perjury, that the information provided in this document is complete, true, and correct, to the best of my knowledge.

A handwritten signature in black ink, appearing to be 'JE', written over a light gray grid background.

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## Section 1. Contact Information

**CCR Section 18973.5(a). Contact Information.** Pursuant to section 18973.3(a) including any changes or updates to this information. Contact information of the corporate office, or designee, responsible for submitting and overseeing the stewardship plan on behalf of the program operator, including, but not limited to:

- (1) Contact name and title
- (2) Name of program operator
- (3) Mailing and physical address(es)
- (4) Phone number
- (5) Email address
- (6) Internet website address

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## Section 2. Executive Summary

**CCR Section 18973.5(b). Executive Summary.** *Provide a concise summary of the information in the report that includes, but is not limited to, the highlights, outcomes and challenges, education and outreach efforts, and ways in which challenges are being addressed.*

As required by SB-212, UltiMed, Inc. is submitting this 2021 Annual Report. This Annual Report covers 2021 activities and complies with our responsibilities required by California Law.

During 2021, UltiMed accomplished various milestones leading up to our stewardship plan approval. However, since our stewardship plan was approved on December 26, 2021, UltiMed's 2021 annual report only covers the last 5 calendar days of the year (from December 27, 2021 through December 31, 2021). While our execution window was abbreviated this reporting period, UltiMed continues to be committed to delivering our stated services to the State of California according to the plans we have disclosed to the State in our stewardship plan.

## Section 3. Collection System

**CCR Section 18973.5(c). Collection System.** Description of the following:

- (1) How ultimate users had an opportunity to dispose of their home-generated sharps waste as described in the approved stewardship plan
- (2) Amount of sharps waste containers and mail-back materials distributed as required in the stewardship plan pursuant to section 18973.3(f)(6), per county, through each of the following methods:
  - (A) Provided at point of sale
  - (B) Initiated at point of sale
  - (C) Website requests
  - (D) Toll-free telephone number requests
- (3) Amount of home-generated sharps waste returned through the mail-back program, as required in the stewardship plan pursuant to section 18973.3(f)(6)
- (4) Supplemental collection method(s) of home-generated sharps waste that were provided in addition to, but not in lieu of, the mail-back program, pursuant to section 18973.3(f)(5). Include a list of dates and location of take-back events that occurred pursuant to section 189733(f)(5)(B), if applicable
- (5) If applicable, amount of home-generated sharps waste collected through supplemental collection method(s), as required in the stewardship plan pursuant to section 18973.3(f)(7)

During the 2021 reporting period:

- Ultimate users did not have an opportunity to dispose of home-generated sharps waste as described in our approved stewardship plan.
- No sharps waste containers and mail-back materials were provided or initiated at point of sale or through the website and toll-free telephone number.
- No sharps waste was returned through the mail-back program.
- No sharps waste was collected through supplemental collection method(s).

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## Section 4. Transportation and Disposal

**CCR Section 18973.5(d). Transportation and Disposal.** Descriptions of the methods used to transport and dispose of consolidated home-generated sharps waste, including the following:

- (1) Mechanism(s) used to track the collection, transportation, and disposal of home-generated sharps waste
- (2) Name and mailing address of each service provider used to transport or process home-generated sharps waste
- (3) For each disposal facility, include the following:
  - (A) Name of facility
  - (B) Mailing and physical address
  - (C) Total weight of material disposed

During UltiMed's 2021 reporting period, there were no activities for collecting, transporting, and disposing of home-generated sharps waste.



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## Section 5. Policies and Procedures

**CCR Section 18973.5(e). Policies and Procedures.** Pursuant to subdivision (b)(6) of section 42033.2 of the Public Resources Code, provide the following:

- (1) Description of whether policies and procedures for collecting, transporting, and disposing of home-generated sharps waste, as established in the stewardship plan, were followed during the reporting period
- (2) Description of each instance of noncompliance from the stewardship plan policies and procedures, if any occurred
- (3) Corrective actions taken, or what will be taken, if the program operator discovered critical instances of noncompliance with stewardship plan policies and procedures

During the 2021 reporting period, there were no activities for:

- Collecting, transporting, and disposing of home-generated sharps waste.
- Collecting, transporting, and disposing of home-generated sharps; therefore, no instances of noncompliance occurred.
- Collecting, transporting, and disposing of home-generated sharps; therefore, no corrective actions were required or taken.

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## Section 6. Description of Updates

**CCR Section 18973.5(f).** *Description of updates, that have been made or will be made, to the processes and policies followed to safely and securely collect, track, and properly manage home-generated sharps waste from collection through final disposal.*

During UltiMed's 2021 reporting period, there were no updates to the processes and policies relating to the collection, tracking, or management of home-generated sharps waste.

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## Section 7. Ordinance Repeal

**CCR Section 18973.5(g). Ordinance Repeal.** Pursuant to subdivision (e) of section 42032.2 of the Public Resources Code, description of processes, logistics, and timing of implementation that will be necessary for the stewardship program to expand into jurisdictions not previously included in the stewardship plan, in the event of the repeal of a local stewardship program ordinance.

During the 2021 reporting period, there were no events that required any processes, logistics, and timing changes to the stewardship plan resulting from jurisdictions not previously included in the plan.

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## Section 8. Safety and Security

**CCR Section 18973.5(h). Safety and Security.** Describe the general nature of any incidents with safety or security related to collection, transportation, or disposal of home-generated sharps waste. Explain the corrective actions taken or that will be taken to address the issue and improve safety and security. Additionally, the following specific information about any incident(s) shall be made available to the department, upon request, and shall include, but not be limited to:

- (1) Location and date
- (2) Description of specific incident
- (3) Cause(s) of specific incident
- (4) Parties involved
- (5) or law enforcement agencies involved and any litigation, arbitration, or other legal proceedings that result from each incident.

During this reporting period, there were no incidents reported regarding the safety or security related to collection, transportation, or disposal of home-generated sharps waste. There were also no corrective actions.

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## Section 9. Education and Outreach

**CCR Section 18973.5(i). Education and Outreach.** *Description and evaluation of the comprehensive education and outreach activities pursuant to section 18973.3(i), including, but not limited to, the following:*

- (1) Electronic examples of promotional marketing materials*
- (2) Numerical results of the education and outreach metrics outlined in the stewardship plan, pursuant to section 18973.3(i)(5)*
- (3) A discussion of what the metrics, described above in section 18973.5(i)(2), reveal about the performance of the comprehensive education and outreach program, including, but not limited to, ultimate user awareness, program usage, and accessibility*
- (4) Description of how the requirement in section 42031.6(b) of the Public Resources Code was met*

During the reporting period there were:

- No numerical results of the education and outreach metrics.
- No numerical results of the education and outreach metrics; therefore, no information is available to discuss such performance.
- No education and outreach activities to promote the disposal of a covered product in a manner inconsistent with the services offered to ultimate users as part of our stewardship plan.

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## Section 10. Covered Entities

**CCR Section 18973.5(j). Covered Entities.** *Participating covered entities covered by the stewardship plan and their contact information, including, but not limited to, the following:*

- (1) *Name of covered entity*
- (2) *Mailing and physical address*
- (3) *Contact name and title*
- (4) *Email address*

UltiMed uniquely designates itself as the sole and only covered entity. Mr. Erickson is designated and will act as the covered entity's point of contact (POC). He can be reached at:

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President & CEO  
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## Section 11. Covered Products

**CCR Section 18973.5(k).** A copy of the list of covered products submitted to the Board of Pharmacy pursuant to subsection (2) of subdivision (a) of section 42031 of the Public Resources Code.

A list of covered products submitted to the Board of Pharmacy are listed in [Table 1](#) and [Table 2](#).

**Table 1. UltiMed Mail-back U-100 UltiGuard Safe Pack Insulin Syringes**

Product Description	Barrel Size	Needle Gauge	Needle Length	Count Selling Unit	Product Number	National Drug Code/Human Readable Interpretation Number	UPC Number
3/10 mL – 31G x 8mm (5/16")	3/10mL	31G	8mm (5/16")	100	912439	08222-1243-95	3-57515-12439-5
1/2 mL – 31G x 8mm (5/16")	1/2mL	31G	8mm (5/16")	100	912459	08222-1245-93	3-57515-12459-3
1 mL – 31G x 8mm (5/16")	1mL	31G	8mm (5/16")	100	912419	08222-1241-97	3-57515-12419-7
3/10 mL – 30G x 12.7mm (1/2")	3/10mL	30G	12.7mm (1/2")	100	912335	08222-1233-50	3-57515-12335-0
1/2 mL – 30G x 12.7mm (1/2")	1/2mL	30G	12.7mm (1/2")	100	912355	08222-1235-58	3-57515-12355-8
1 mL – 30G x 12.7mm (1/2")	1mL	30G	12.7mm (1/2")	100	912315	08222-1231-52	3-57515-12315-2

**Table 2. UltiMed Mail-back UltiGuard Safe Pack Pen Needles**

Product Description	Barrel Size	Needle Gauge	Needle Length	Count Selling Unit	Product Number	National Drug Code/Human Readable Interpretation Number	UPC Number
32G x 4mm (5/32") Micro	–	32G	4mm (5/32")	100	712543	08222-1254-39	3-57515-12543-9
31G x 5mm (3/16") Mini	–	31G	5mm (3/16")	100	712553	08222-1255-30	3-57515-12553-0
32G x 6mm (1/4") Mini	–	32G	6mm (1/4")	100	712562	08222-1256-20	3-57515-12562-0
31G x 8mm (5/16") Mini	–	31G	8mm (5/16")	100	712583	08222-1258-35	3-57515-12583-5
29G x 12.7mm (1/2") Original	–	29G	12.7mm (1/2")	100	712512	08222-1251-25	3-57515-12512-5

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## Section 12. Service Provider Selection Process

*CCR Section 18973.5(I). Description and evaluation of the process for selecting service providers, if applicable.*

During UltiMed's 2021 reporting period, no service providers were selected.



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## Section 13. Grants, Loans, Sponsorships, Reimbursements, or Other Incentives

*CCR Section 18973.5(m). Description of any grants, loans, sponsorships, reimbursements, or other incentives provided, as applicable.*

During the 2021 reporting period, no grants, loans, sponsorships, reimbursements, or other incentives were provided to UltiMed.

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## Section 14. Changes in Staffing

*CCR Section 18973.5(n). Description of changes in staffing of the stewardship program.*

During this reporting period, no staffing changes associated with our stewardship plan occurred.

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## Section 15. Coordination Efforts

**CCR Section 18973.5(o). Coordination Efforts.** *Description of how the program operator coordinated with other program operators to avoid confusion to the public and all program participants in the event that multiple stewardship programs for home-generated sharps waste are in operation concurrently or new stewardship programs begin operating.*

During this reporting period, there were no coordination efforts with other program operators.

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## Section 16. Local Agency Requests

**CCR Section 18973.5(p). Local Agency Requests.** For each local agency that has requested removal or reimbursement, pursuant to the requirements in subsection (1)(F)(ii) of subdivision (d) of section 42032.2 of the Public Resources Code, provide details including, but not limited to:

- (1) Name of local agency, or agent acting on behalf of the local agency.
- (2) For each household hazardous waste facility:
  - (A) Facility location
  - (B) Reimbursement payment amount, as applicable
  - (C) Amount of home-generated sharps waste collected, as required in the stewardship plan pursuant to section 18973.3(f)(8)
- (3) Any requests that were rejected and the reason(s) each request was rejected.
- (4) Any requests where response, removal, or reimbursement was performed outside of the timelines specified in section 18973.3(g)(2).

During our 2021 reporting period, no requests from local agencies for the removal or reimbursement of home-generated sharps waste were made.

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## Section 17. Expenses Reported

**CCR Section 18973.5(q).** *A list of all actual expenses incurred during the previous reporting period. Expenses shall be summarized in accordance with the budget categories specified in section 18973.6(b).*

During the 2021 reporting period, UltiMed did not incur any stewardship plan expenses.

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## Section 18. State Agency Determinations

**CCR Section 18973.5(r). State Agency Determinations.** Pursuant to section 42032.2(d)(1)(B) of the Public Resources Code. Submit all agency determination(s) of compliance, noncompliance, and superseding determinations of compliance, if any, for the reporting period.

During the reporting period, there were no state agency determinations of compliance, noncompliance, or superseded determinations of compliance.