



**ULTIMED**  
INCORPORATED



## **Pharmaceutical and Sharps Waste Stewardship 2022 Annual Report**

**Submitted by**

UltiMed, Inc.  
350 Highway 7  
Excelsior, MN 55331

**Submitted to**

California Department of Resources  
Recycling and Recovery (CalRecycle)  
1001 I Street  
Sacramento, CA 95812-4025

**Submitted on**

*June 21, 2023*



## Table of Contents

<b>Section 1. Contact Information .....</b>	<b>6</b>
<b>Section 2. Executive Summary .....</b>	<b>7</b>
<b>Section 3. Collection System .....</b>	<b>8</b>
<b>Section 4. Transportation and Disposal .....</b>	<b>9</b>
<b>Section 5. Policies and Procedures .....</b>	<b>10</b>
<b>Section 6. Description of Updates .....</b>	<b>11</b>
<b>Section 7. Ordinance Repeal .....</b>	<b>12</b>
<b>Section 8. Safety and Security .....</b>	<b>13</b>
<b>Section 9. Education and Outreach .....</b>	<b>14</b>
<b>Section 10. Covered Entities .....</b>	<b>15</b>
<b>Section 11. Covered Products .....</b>	<b>16</b>
<b>Section 12. Service Provider Selection Process .....</b>	<b>17</b>
<b>Section 13. Grants, Loans, Sponsorships, Reimbursements, or Other Incentives .....</b>	<b>18</b>
<b>Section 14. Changes in Staffing .....</b>	<b>19</b>
<b>Section 15. Coordination Efforts.....</b>	<b>20</b>
<b>Section 16. Local Agency Requests .....</b>	<b>21</b>
<b>Section 17. Expenses Reported.....</b>	<b>22</b>
<b>Section 18. State Agency Determinations .....</b>	<b>23</b>
<b>Section 19. Reporting Period Budget .....</b>	<b>24</b>
<b>Section 20. Upcoming Calendar Year Budget.....</b>	<b>25</b>
<b>Section 21. Multiyear Budget .....</b>	<b>27</b>

## List of Tables

Table 1. UltiMed Transportation and Sharp Disposal Service Providers.....	9
Table 2. Contracted Disposal Locations Servicing UltiMed .....	9
Table 3. UltiMed Mail-back U-100 UltiGuard Safe Pack Insulin Syringes.....	16
Table 4. UltiMed Mail-back UltiGuard Safe Pack Pen Needles.....	16
Table 5. Stewardship Program Costs (January 1, 2022 – December 31, 2022) .....	24

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Table 6. 2023 Stewardship Program Budget Plan .....	26
Table 7. Multiyear Stewardship Program Budget Plan .....	28

## Perjury Statement

As required by CCR 18973(d)(e), I hereby declare, under penalty of perjury, that the information provided in this document is complete, true, and correct, to the best of my knowledge.

A handwritten signature in black ink, appearing to be 'JE', written over a light gray grid background.

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# Section 1. Contact Information

**CCR Section 18973.5(a). Contact Information.** Pursuant to section 18973.3(a) including any changes or updates to this information. Contact information of the corporate office, or designee, responsible for submitting and overseeing the stewardship plan on behalf of the program operator, including, but not limited to:

- (1) Contact name and title
- (2) Name of program operator
- (3) Mailing and physical address(es)
- (4) Phone number
- (5) Email address
- (6) Internet website address

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## Section 2. Executive Summary

**CCR Section 18973.5(b). Executive Summary.** *Provide a concise summary of the information in the report that includes, but is not limited to, the highlights, outcomes and challenges, education and outreach efforts, and ways in which challenges are being addressed.*

As required by SB-212, UltiMed, Inc. is submitting this 2022 Annual Report. This Annual Report covers 2022 activities and complies with our responsibilities required by California Law.

During 2022, UltiMed accomplished various activities to implement the stewardship plan. Given that 2022 was the first full year of the plan, these activities mainly focused on building awareness and providing education. Additionally, as discussed with CalRecycle, UltiMed evaluated the options for stewardship plan coverage of UltiMed's full product portfolio. UltiMed made the decision to contract with The Foundation. Effective January 1, 2023, UltiMed's covered products are included in The Drug Takeback Solutions Foundation's Stewardship Plan for Home-Generated Sharps Waste. Therefore, the UltiMed stewardship plan was terminated and withdrawn for 2023 and beyond.

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## Section 3. Collection System

**CCR Section 18973.5(c). Collection System.** Description of the following:

- (1) How ultimate users had an opportunity to dispose of their home-generated sharps waste as described in the approved stewardship plan
- (2) Amount of sharps waste containers and mail-back materials distributed as required in the stewardship plan pursuant to section 18973.3(f)(6), per county, through each of the following methods:
  - (A) Provided at point of sale
  - (B) Initiated at point of sale
  - (C) Website requests
  - (D) Toll-free telephone number requests
- (3) Amount of home-generated sharps waste returned through the mail-back program, as required in the stewardship plan pursuant to section 18973.3(f)(6)
- (4) Supplemental collection method(s) of home-generated sharps waste that were provided in addition to, but not in lieu of, the mail-back program, pursuant to section 18973.3(f)(5). Include a list of dates and location of take-back events that occurred pursuant to section 189733(f)(5)(B), if applicable
- (5) If applicable, amount of home-generated sharps waste collected through supplemental collection method(s), as required in the stewardship plan pursuant to section 18973.3(f)(7)

During the 2022 reporting period:

- 517 units were provided at point of sale.
- There were no website or toll-free number requests.
- One unit was returned through the mail-back program.
- No sharps waste was collected through supplemental collection method(s).



## Section 4. Transportation and Disposal

**CCR Section 18973.5(d). Transportation and Disposal.** Descriptions of the methods used to transport and dispose of consolidated home-generated sharps waste, including the following:

- (1) Mechanism(s) used to track the collection, transportation, and disposal of home-generated sharps waste
- (2) Name and mailing address of each service provider used to transport or process home-generated sharps waste
- (3) For each disposal facility, include the following:
  - (A) Name of facility
  - (B) Mailing and physical address
  - (C) Total weight of material disposed

The mechanism used to track the home-generated sharps waste is the tracking manifest that is included with the mail-back materials.

The name and mailing address of each service provider used to transport home-generated sharps, and each disposal facility are listed below (see [Table 1](#) and [Table 2](#)).

**Table 1. UltiMed Transportation and Sharp Disposal Service Providers**

	Transportation/Delivery Provider	Sharps Disposal Service Provider
<b>Provider Name:</b>	United States Postal Service (USPS)	PureWay Compliance, Inc.
<b>Service Provider Mailing and Physical Address:</b>	475 L'Enfant Plaza SW Washington, DC 20260-0004	16225 Park Ten Place, #830 Houston, TX 77084

**Table 2. Contracted Disposal Locations Servicing UltiMed**

Service Provider Name	Daniels Health	Medsharps	HWM	Curtis Bay
<b>Service Provider Mailing and Physical Address</b>	4144 East Therese Avenue Fresno, CA 93725	17340 Bell North Drive Schertz, TX 78154	6602 W. 9th Avenue Gary, IN 46406	3200 Hawkins Point Road Baltimore, MD 21226

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## Section 5. Policies and Procedures

**CCR Section 18973.5(e). Policies and Procedures.** Pursuant to subdivision (b)(6) of section 42033.2 of the Public Resources Code, provide the following:

- (1) Description of whether policies and procedures for collecting, transporting, and disposing of home-generated sharps waste, as established in the stewardship plan, were followed during the reporting period
- (2) Description of each instance of noncompliance from the stewardship plan policies and procedures, if any occurred
- (3) Corrective actions taken, or what will be taken, if the program operator discovered critical instances of noncompliance with stewardship plan policies and procedures

During the 2022 reporting period:

- Policies and procedures for Collecting, transporting, and disposing of home-generated sharps waste were followed.
- No instances of noncompliance occurred.
- No corrective actions were required or taken.

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## Section 6. Description of Updates

**CCR Section 18973.5(f).** *Description of updates, that have been made or will be made, to the processes and policies followed to safely and securely collect, track, and properly manage home-generated sharps waste from collection through final disposal.*

During UltiMed's 2022 reporting period, there were no updates to the processes and policies relating to the collection, tracking, or management of home-generated sharps waste.

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## Section 7. Ordinance Repeal

**CCR Section 18973.5(g). Ordinance Repeal.** Pursuant to subdivision (e) of section 42032.2 of the Public Resources Code, description of processes, logistics, and timing of implementation that will be necessary for the stewardship program to expand into jurisdictions not previously included in the stewardship plan, in the event of the repeal of a local stewardship program ordinance.

During the 2022 reporting period, there were no events that required any processes, logistics, and timing changes to the stewardship plan resulting from jurisdictions not previously included in the plan.

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## Section 8. Safety and Security

**CCR Section 18973.5(h). Safety and Security.** Describe the general nature of any incidents with safety or security related to collection, transportation, or disposal of home-generated sharps waste. Explain the corrective actions taken or that will be taken to address the issue and improve safety and security. Additionally, the following specific information about any incident(s) shall be made available to the department, upon request, and shall include, but not be limited to:

- (1) Location and date
- (2) Description of specific incident
- (3) Cause(s) of specific incident
- (4) Parties involved
- (5) or law enforcement agencies involved and any litigation, arbitration, or other legal proceedings that result from each incident.

During this reporting period, there were no incidents reported regarding the safety or security related to the collection, transportation, or disposal of home-generated sharps waste. There were also no corrective actions.

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## Section 9. Education and Outreach

**CCR Section 18973.5(i). Education and Outreach.** Description and evaluation of the comprehensive education and outreach activities pursuant to section 18973.3(i), including, but not limited to, the following:

- (1) *Electronic examples of promotional marketing materials*
- (2) *Numerical results of the education and outreach metrics outlined in the stewardship plan, pursuant to section 18973.3(i)(5)*
- (3) *A discussion of what the metrics, described above in section 18973.5(i)(2), reveal about the performance of the comprehensive education and outreach program, including, but not limited to, ultimate user awareness, program usage, and accessibility*
- (4) *Description of how the requirement in section 42031.6(b) of the Public Resources Code was met*

During the reporting period:

- Education and outreach were conducted through multiple programs including customer meetings, conversations at tradeshow and advertising. 26 advertisements were run with over 650,000 impressions. There were 1,500 users and 8,000 page views on the disposemyneedles.com website.
- There were no education and outreach activities to promote the disposal of a covered product in a manner inconsistent with the services offered to ultimate users as part of our stewardship plan.

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## Section 10. Covered Entities

**CCR Section 18973.5(j). Covered Entities.** *Participating covered entities covered by the stewardship plan and their contact information, including, but not limited to, the following:*

- (1) Name of covered entity*
- (2) Mailing and physical address*
- (3) Contact name and title*
- (4) Email address*

UltiMed uniquely designates itself as the sole and only covered entity. Mr. Erickson is designated and will act as the covered entity's point of contact (POC). He can be reached at:

Jim Erickson  
President & CEO  
UltiMed, Inc.  
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Fax: (651) 291-7074  
Email: [j.erickson@ultimedinc.com](mailto:j.erickson@ultimedinc.com)

## Section 11. Covered Products

**CCR Section 18973.5(k).** A copy of the list of covered products submitted to the Board of Pharmacy pursuant to subsection (2) of subdivision (a) of section 42031 of the Public Resources Code.

A list of covered products submitted to the Board of Pharmacy is listed in Table 3 and Table 4.

**Table 3. UltiMed Mail-back U-100 UltiGuard Safe Pack Insulin Syringes**

Product Description	Barrel Size	Needle Gauge	Needle Length	Count Selling Unit	Product Number	National Drug Code/Human Readable Interpretation Number	UPC Number
3/10 mL – 31G x 8mm (5/16")	3/10mL	31G	8mm (5/16")	100	912439	08222-1243-95	3-57515-12439-5
1/2 mL – 31G x 8mm (5/16")	1/2mL	31G	8mm (5/16")	100	912459	08222-1245-93	3-57515-12459-3
1 mL – 31G x 8mm (5/16")	1mL	31G	8mm (5/16")	100	912419	08222-1241-97	3-57515-12419-7
3/10 mL – 30G x 12.7mm (1/2")	3/10mL	30G	12.7mm (1/2")	100	912335	08222-1233-50	3-57515-12335-0
1/2 mL – 30G x 12.7mm (1/2")	1/2mL	30G	12.7mm (1/2")	100	912355	08222-1235-58	3-57515-12355-8
1 mL – 30G x 12.7mm (1/2")	1mL	30G	12.7mm (1/2")	100	912315	08222-1231-52	3-57515-12315-2

**Table 4. UltiMed Mail-back UltiGuard Safe Pack Pen Needles**

Product Description	Barrel Size	Needle Gauge	Needle Length	Count Selling Unit	Product Number	National Drug Code/Human Readable Interpretation Number	UPC Number
32G x 4mm (5/32") Micro	–	32G	4mm (5/32")	100	712543	08222-1254-39	3-57515-12543-9
31G x 5mm (3/16") Mini	–	31G	5mm (3/16")	100	712553	08222-1255-30	3-57515-12553-0
32G x 6mm (1/4") Mini	–	32G	6mm (1/4")	100	712562	08222-1256-20	3-57515-12562-0
31G x 8mm (5/16") Mini	–	31G	8mm (5/16")	100	712583	08222-1258-35	3-57515-12583-5
29G x 12.7mm (1/2") Original	–	29G	12.7mm (1/2")	100	712512	08222-1251-25	3-57515-12512-5



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## Section 12. Service Provider Selection Process

*CCR Section 18973.5(l). Description and evaluation of the process for selecting service providers, if applicable.*

During UltiMed's 2022 reporting period, no service providers were selected.

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## Section 13. Grants, Loans, Sponsorships, Reimbursements, or Other Incentives

*CCR Section 18973.5(m). Description of any grants, loans, sponsorships, reimbursements, or other incentives provided, as applicable.*

During the 2022 reporting period, two sponsorships were provided for support of educational webinars.

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## Section 14. Changes in Staffing

*CCR Section 18973.5(n). Description of changes in staffing of the stewardship program.*

During this reporting period, no staffing changes associated with our stewardship plan occurred.

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## Section 15. Coordination Efforts

**CCR Section 18973.5(o). Coordination Efforts.** *Description of how the program operator coordinated with other program operators to avoid confusion to the public and all program participants in the event that multiple stewardship programs for home-generated sharps waste are in operation concurrently or new stewardship programs begin operating.*

During this reporting period, there were coordination efforts with other program operators to discuss a shared logo opportunity.

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## Section 16. Local Agency Requests

**CCR Section 18973.5(p). Local Agency Requests.** For each local agency that has requested removal or reimbursement, pursuant to the requirements in subsection (1)(F)(ii) of subdivision (d) of section 42032.2 of the Public Resources Code, provide details including, but not limited to:

- (1) Name of local agency, or agent acting on behalf of the local agency.
- (2) For each household hazardous waste facility:
  - (A) Facility location
  - (B) Reimbursement payment amount, as applicable
  - (C) Amount of home-generated sharps waste collected, as required in the stewardship plan pursuant to section 18973.3(f)(8)
- (3) Any requests that were rejected and the reason(s) each request was rejected.
- (4) Any requests where response, removal, or reimbursement was performed outside of the timelines specified in section 18973.3(g)(2).

During our 2022 reporting period, no requests from local agencies for the removal or reimbursement of home-generated sharps waste were made.

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## Section 17. Expenses Reported

**CCR Section 18973.5(q).** *A list of all actual expenses incurred during the previous reporting period. Expenses shall be summarized in accordance with the budget categories specified in section 18973.6(b).*

During the 2022 reporting period, actual UltiMed stewardship plan expenses are included in the 2022 budget section in Table 5 on [page 24](#).

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## Section 18. State Agency Determinations

**CCR Section 18973.5(r). State Agency Determinations.** Pursuant to section 42032.2(d)(1)(B) of the Public Resources Code. Submit all agency determination(s) of compliance, noncompliance, and superseding determinations of compliance, if any, for the reporting period.

During the reporting period, there were no state agency determinations of compliance, noncompliance, or superseded determinations of compliance.

## Section 19. Reporting Period Budget

**PRC Section 42033.2(a). Reports, Budgets, and Records.** On or before March 31, 2022, and each year thereafter, a program operator shall prepare and submit to the department both of the following:

- (1) A written report describing the stewardship program activities during the previous reporting period of one year.
- (2) A written program budget for the stewardship program implementation for the upcoming calendar year.

During 2022, UltiMed accomplished various activities to implement the stewardship plan. Given that 2022 was the first full year of the plan, these activities mainly focused on building awareness and providing education and outreach (see [Table 5](#)).

**Table 5. Stewardship Program Costs (January 1, 2022 – December 31, 2022)**

Description	January 1, 2022 – December 31, 2022
<b>Stewardship program costs (CCR 18973.6)</b>	<b>\$0</b>
Total capital costs	
<b>Stewardship recurring costs (CCR 18973.6)</b>	
Collection, transportation, disposal	10
Administrative costs	11,594
Education and outreach	148,407
Grants, loans, sponsorships	5,000
<b>Independent financial audit expense (CCR 18973.6)</b>	–
<b>Departmental administrative fee (PCR 42034)</b>	–
<b>TOTAL</b>	<b>\$165,011</b>



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## Section 20. Upcoming Calendar Year Budget

**PRC Section 42033.2(a). Reports, Budgets, and Records.** *On or before March 31, 2022, and each year thereafter, a program operator shall prepare and submit to the department both of the following:*

- (1) A written report describing the stewardship program activities during the previous reporting period of one year.*
- (2) A written program budget for the stewardship program implementation for the upcoming calendar year.*

The program budget for the stewardship program implementation for the upcoming calendar year is detailed in [Table 6](#) on [page 26](#). Effective January 1, 2023, UltiMed's covered products are included in The Drug Takeback Solutions Foundation's Stewardship Plan for Home-Generated Sharps Waste. Therefore, the UltiMed stewardship plan was terminated and withdrawn for 2023 and beyond.

**Table 6. 2023 Stewardship Program Budget Plan**

Description	2023
<b>Recommended Funding Level (CCR 18973.6)</b>	<b>N/A</b>
<b>Stewardship Program Costs (CCR 18973.6)</b>	
Stewardship Capital Costs (CCR 18973.6)	
Total Capital Costs	N/A
<i>Program setup cost includes initial costs associated with process implementation, vendor setup, stewardship plan, and stewardship education.</i>	
<i>Product setup costs include items related to developing the mail-back product line (i.e., packaging, labeling, education, Website, and inventory).</i>	
Stewardship Recurring Costs (CCR 18973.6)	
Collection, Transportation, Disposal	N/A
<i>Expenses associated with managing the mail-back program; is determined by quantity of product distributed (based on expected volumes distributed).</i>	
<i>USPS and disposal fees are generated after sharps are returned (based on expected volumes distributed).</i>	
<i>Volume for mail-back processing, USPS, and disposal fee is based on compliance (based on expected volumes distributed).</i>	
Administrative Costs	N/A
<i>Overall management of the program to include compliance efforts, analytics, and corrective actions.</i>	
<i>Overall management of the Website and toll-free telephone number and associated activities.</i>	
Education & Outreach	N/A
<i>Includes marketing to wholesalers and retailers; is associated with California SB-212 education.</i>	
<i>Includes marketing materials used to educate the market on compliant products under the UltiMed stewardship plan.</i>	
<i>Continued development of marketing materials for the implementation of the outreach program (such as flyers, education, informationals, and diagrams).</i>	
Grants, Loans, Sponsorships	N/A
Independent Financial Audit Expense (CCR 18973.6)	N/A
<i>Estimate of additional work that may be required by UltiMed's third-party auditors to comply to SB-212 requirements.</i>	
Departmental Administrative Fee (PRC 42034)	N/A
<i>CalRecycle fees and/or other departmental administrative fees.</i>	
<b>Total</b>	<b>N/A</b>
<b>Total Annual Costs</b>	<b>N/A</b>
Recommended Reserve Level Amount (CCR 18973)	N/A
<i>Reserve amount equals 50% of the annual estimated funding level.</i>	

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## Section 21. Multiyear Budget

**PRC Section 42033.2(c)(2). Reports, Budgets, and Records.** *An annual program budget submitted pursuant to paragraph (2) of subdivision (a) shall include, at a minimum, both of the following for the upcoming calendar year:*

- (1) An independent financial audit of the stewardship program, as required by subdivision (b) of Section 42033.4, funded by the stewardship organization from the charge paid from its member covered entities pursuant to Section 42034 or by a covered entity if it operates its own stewardship program.*
- (2) Anticipated costs and the recommended funding level necessary to implement the stewardship program, including, but not limited to, costs to cover the stewardship plan's budgeted costs and to operate the stewardship program over a multiyear period in a prudent and responsible manner.*

The program budget for the stewardship program implementation for the upcoming calendar year is detailed in Table 7 on [page 28](#). Effective January 1, 2023, UltiMed's covered products are included in The Drug Takeback Solutions Foundation's Stewardship Plan for Home-Generated Sharps Waste. Therefore, the UltiMed stewardship plan was terminated and withdrawn for 2023 and beyond.

**Table 7. Multiyear Stewardship Program Budget Plan**

Description	2023	2024	2025
<b>Recommended Funding Level (CCR 18973.6)</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>
<b>Stewardship Program Costs (CCR 18973.6)</b>	See Below	See Below	See Below
Stewardship Capital Costs (CCR 18973.6)	See Below	See Below	See Below
Total Capital Costs	N/A	N/A	N/A
<i>Program setup cost includes initial costs associated with process implementation, vendor setup, stewardship plan, and stewardship education. Product setup costs include items related to developing the mail-back product line (i.e., packaging, labeling, education, Website, and inventory).</i>			
Stewardship Recurring Costs (CCR 18973.6)	See Below	See Below	See Below
Collection, Transportation, Disposal	N/A	N/A	N/A
<i>Expenses associated with managing the mail-back program; is determined by quantity of product distributed (based on expected volumes distributed). USPS and disposal fees are generated after sharps are returned (based on expected volumes distributed). Volume for mail-back processing, USPS, and disposal fee is based on compliance (based on expected volumes distributed).</i>			
Administrative Costs	N/A	N/A	N/A
<i>Overall management of the program to include compliance efforts, analytics, and corrective actions. Overall management of the Website and toll-free telephone number and associated activities.</i>			
Education & Outreach	N/A	N/A	N/A
<i>Includes marketing to wholesalers and retailers; is associated with California SB-212 education. Includes marketing materials used to educate the market on compliant products under the UltiMed stewardship plan. Continued development of marketing materials for the implementation of the outreach program (such as flyers, education, informationals, and diagrams).</i>			
Grants, Loans, Sponsorships	N/A	N/A	N/A
Independent Financial Audit Expense (CCR 18973.6)	N/A	N/A	N/A
<i>Estimate of additional work that may be required by UltiMed's third-party auditors to comply to SB-212 requirements.</i>			
Departmental Administrative Fee (PRC 42034)	N/A	N/A	N/A
<i>CalRecycle fees and/or other departmental administrative fees.</i>			
<b>Total</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>
<b>Total Annual Costs</b>	<b>N/A</b>	<b>N/A</b>	<b>N/A</b>
Recommended Reserve Level Amount (CCR 18973)	N/A	N/A	N/A
<i>Reserve amount equals 50% of the annual estimated funding level.</i>			