

STATE OF CALIFORNIA  
CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY  
DEPARTMENT OF RESOURCES RECYCLING AND RECOVERY

CORRECTIVE ACTION PLAN AND COMPLAINT FOR PENALTY  
1493.SLCP.CAP.2022.12

Before:                   The State of California  
                              Department of Resources Recycling and Recovery  
                              1001 I Street  
                              Sacramento, CA 95814

In the Matter of:      City of Tracy  
                              520 Tracy Blvd  
                              Tracy, CA 95376

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BACKGROUND AND PURPOSE

1. The California Department of Resources Recycling and Recovery is hereinafter referred to as "CalRecycle."
- 1.1 CalRecycle, in consultation with the California Air Resources Board, adopted regulatory requirements, consistent with the mandate of Senate Bill 1383 (Lara, Chapter 395, Statutes of 2016), that are designed to achieve the organic waste reduction goals established in section 39730.6 of the Health and Safety Code through a 50 percent reduction in the level of the statewide disposal of organic waste from the 2014 level by 2020 and a 75 percent reduction in the level of the statewide disposal of organic waste from the 2014 level by 2025. The purpose of these reductions is to further the statewide effort to reduce emissions of short-lived climate pollutants (SLCP), including methane. These SLCP regulations are referred to hereinafter as the "Regulations" and can be found at Title 14 California Code of Regulations (CCR) sections 18981.1 through 18998.4. Cities, counties, and special districts are responsible for implementing these Regulations in their communities starting January 1, 2022.
- 1.2 The City of Tracy is hereinafter referred to as "Jurisdiction." Jurisdiction is required to comply with the Regulations.
- 1.3 Jurisdiction is or expects to be facing continuing violations of the Regulations commencing during the 2022 calendar year, which could result in significant administrative civil penalties under the Regulations.
- 1.4 Senate Bill 619 (Laird, Chapter 508, Statutes of 2021), through amendments to section 42652.5 of the Public Resources Code (PRC), created a mechanism called

a Notification of Intent to Comply through which a local jurisdiction may secure administrative civil penalty relief from any continuing violations of the Regulations for the 2022 calendar year and may be eligible for a broader and longer-term regulatory compliance path, including suspended administrative civil penalties, through a Corrective Action Plan.

- 1.5 Under PRC section 42652.5(e), the Notification of Intent to Comply must include a description of proposed actions to remedy the violations, as well as a proposed schedule for those actions, that the Jurisdiction commits to undertake to remedy the violations.
- 1.6 The Notification of Intent to Comply is hereinafter referred to as "Notification."
- 1.7 For violations taking more than 180 days to correct, PRC section 42652.2 allows CalRecycle to determine, in its sole discretion, that violations identified in a Notification may be addressed through a Corrective Action Plan issued pursuant to 14 CCR section 18996.2.
- 1.8 The Corrective Action Plan is hereinafter referred to as "CAP."
- 1.9 For violations disclosed in the Notification approved by CalRecycle as meeting the requirements of PRC section 42652.5(e), CalRecycle shall waive administrative civil penalties during the 2022 calendar year if the Jurisdiction implements the proposed actions according to the approved Notification's schedule of actions and, as applicable, approved CAP schedule of actions.
- 1.10 For violations disclosed in the Notification that commence during the 2022 calendar year and continue into the 2023 calendar year, administrative civil penalties may begin accruing as of January 1, 2023. SB 619 provides administrative civil penalties accruing on and after January 1, 2023, shall be waived upon complete compliance with an approved CAP schedule of action.

#### DETERMINATION OF VIOLATIONS

2. As authorized by PRC section 42652.5(c), the Jurisdiction notified CalRecycle of its ongoing or anticipated violations of the Regulations and the PRC through a Notification submitted February 22, 2022.
- 2.1 CalRecycle reviewed the Jurisdiction's Notification, its description of the Jurisdiction's disclosed violations of the Regulations, and proposed actions and schedule for those actions to remedy those violations for compliance with PRC section 42652.5(e). CalRecycle approved the Notification's proposed actions and schedule of actions, as modified by this CAP.

- 2.2 The Jurisdiction's Notification identified the following violations of the Regulations as follows:
- 2.2.1 The Jurisdiction is and has been in violation of 14 CCR section 18984.1 in that since January 1, 2022, the Jurisdiction has not provided three-container organic waste collection service to all residential and commercial generators and/or has not included food scrap collection service in the three-container collection system provided to all residential and commercial generators.
  - 2.2.2 The Jurisdiction is and has been in violation of 14 CCR section 18984.7 in that since January 1, 2022, the Jurisdiction has not distributed new containers meeting the container color requirements.
- 2.3 CalRecycle accepted the Jurisdiction's self-reported Notification and characterization of its violations therein. CalRecycle has not undertaken a compliance review of Jurisdiction, and thus CalRecycle's approval of the Notification or this CAP should not be taken as an indication that Jurisdiction is in full compliance with Regulations in other respects. CalRecycle will be undertaking a compliance review of all jurisdictions in the future.

### SCHEDULE FOR COMPLIANCE

3. Based on the foregoing DETERMINATION OF VIOLATIONS, CalRecycle finds that:
- a. The Jurisdiction worked with CalRecycle to develop this CAP, which includes schedule of actions necessary for the Jurisdiction to achieve address the violations disclosed in the Notification and described in Section 2 (Determination of Violations) above.
  - b. CalRecycle hereby approves and issues this CAP pursuant to PRC section 42652.5(c)-(e) and Title 14 CCR section 18996.2.
  - c. The Jurisdiction will fully implement the programs identified in this CAP by the dates provided in the Section 3.2 below.
  - d. CalRecycle will monitor the Jurisdiction's continued implementation of the programs identified in the CAP from December 23, 2022 through December 31, 2023 ("the oversight period") to assure implementation is complete and timely. The oversight period may be extended at the discretion of CalRecycle if any extensions to the Schedule are granted as described below in Sections 4.4 and 4.5.
  - e. During the oversight period, the Jurisdiction will submit status reports to CalRecycle and attend status meetings with CalRecycle to demonstrate the ongoing progress the Jurisdiction is making on remedying the violations described above.
  - f. During the oversight period, the Jurisdiction will provide bimonthly status reports to CalRecycle:

#### Report Cycle

Report 1: February 28, 2023, covering December 1, 2022 – January 31, 2023

Report 2: April 30, 2023, covering February 1, 2023 – March 31, 2023

Report 3: June 30, 2023, covering April 1, 2023 – May 31, 2023

Report 4: August 31, 2023, covering June 1, 2023 – July 31, 2023

Report 5: October 31, 2023, covering August 1, 2023 – September 30, 2023

Report 6: December 31, 2023, covering October 1, 2023 – November 30, 2023

Report 7: February 29, 2024, covering December 1, 2023 – January 31, 2024

Report 8: April 30, 2024, covering February 1, 2024 – March 1, 2024

- g. The status reports are to be used to document the status and work completed for each of the identified tasks in the CAP. For each task in the CAP, the Jurisdiction will explain the status of the task, specifying what actions have been taken to complete the task.
- h. If the work has been delayed or has not been completed, the Jurisdiction will explain the reason(s) for the delay/incompletion. The Jurisdiction will also attach any previously submitted extension requests made pursuant to section 4.4 of this CAP and any extensions granted pursuant to section 4.5 of this CAP, using additional sheets as necessary for the report. CalRecycle may consider the explanation in deciding whether to allow the Jurisdiction to continue to operate under the Notification and CAP or to revoke approval of the Notification and CAP pursuant to PRC section 42652.5(d).
- i. During the oversight period, the Jurisdiction will meet with CalRecycle telephonically or via other remote electronic means (such as Teams or Zoom) bimonthly to provide interim progress updates on the following schedule:

#### Meeting Cycle

Meeting 1: During January 2023

Meeting 2: During March 2023

Meeting 3: During May 2023

Meeting 4: During July 2023

Meeting 5: During September 2023

Meeting 6: During November 2023

Meeting 7: During January 2024

Meeting 8: During March 2024

- j. The status meetings are to be used to discuss the most recent status report and to discuss interim progress made by the Jurisdiction on each of the identified tasks in the CAP.
- k. Prior to the close of the oversight period, CalRecycle may undertake a compliance review or field visit to supplement other status reporting.

- I. At any time prior to the conclusion of the oversight period, if CalRecycle determines the Jurisdiction has failed to implement the programs identified in the Notification and CAP, has failed to participate in status reporting during the oversight period, has substantially misrepresented its progress in status reporting, or has substantially failed to make interim progress on Tasks set out in Section 3.2 for four consecutive reporting periods, CalRecycle may immediately issue a notice revoking its approval of the Notification and CAP and indicating its intent to impose penalties as provided in PRC section 42652.5. Title 14 CCR sections 18997.3, 18997.5, and 18997.6 and PRC section 42652.5 governs the process and considerations CalRecycle will utilize in calculating and assessing administrative civil penalties against the Jurisdiction, which may be up to \$10,000 per day per violation.

3.1 Based on the foregoing DETERMINATION OF VIOLATIONS, it is hereby ordered that the Jurisdiction will implement the actions described below in accordance with the compliance deadlines contained in the schedule of actions to remedy violations as set forth in Section 3.2 below.

### 3.2 Schedule and Program of Actions

#### **Section 18984.1 Three-Container Organic Waste Collection Services**

The Jurisdiction will provide three-container organic waste collection service to all residences.

<b>Task #</b>	<b>Description</b>	<b>Target Completion Date</b>
<b>TASK 1</b>	The Jurisdiction will finalize and implement rate adjustments with the franchised waste hauler.	4/1/2022 (Task completion to be verified)
<b>TASK 2</b>	The Jurisdiction will require and verify its hauler will purchase additional collection vehicles.	7/1/2022 (Task completion to be verified)
<b>TASK 3</b>	The Jurisdiction will work with its hauler to modify collection routes.	7/1/2022 (Task completion to be verified)
<b>TASK 4</b>	The Jurisdiction will require and verify its hauler will update container labeling where needed.	9/1/22 (Task completion to be verified)
<b>TASK 5</b>	The Jurisdiction will require and verify its hauler purchases additional organic waste, recycling and/or garbage collection carts at the direction of City Council.	9/1/22 (Task completion to be verified)
<b>TASK 6</b>	The Jurisdiction will require and verify its hauler will distribute remainder of organics recycling collection bins to all residential customers currently without service.	12/9/2022 (Task completion to be verified)
<b>TASK 7</b>	The Jurisdiction will have provided all residences with bins.	12/9/2022 (Task completion to be verified)

<b>TASK 8</b>	The Jurisdiction will provide three-container waste collection service to all residential customers that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11, 18984.12, or other provision of the Regulations, or are not an authorized self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of section 18988.3.	12/9/2022 (Task completion to be verified)
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The Jurisdiction will provide three-container collection service to all commercial generators.

<b>Task #</b>	<b>Description</b>	<b>Target Completion Date</b>
<b>TASK 9</b>	The Jurisdiction will require and verify its hauler will purchase additional collection vehicles.	7/1/2022 (Task completion to be verified)
<b>TASK 10</b>	The Jurisdiction will work with its hauler to modify collection routes.	9/1/2022 (Task completion to be verified)
<b>TASK 11</b>	The Jurisdiction will require and verify its hauler purchases additional organic waste, recycling and/or garbage collection carts at the direction of City Council.	9/1/2022 (Task completion to be verified)
<b>TASK 12</b>	The Jurisdiction will perform site assessments to determine service level needs.	10/01/2022 (Task completion to be verified)
<b>TASK 13</b>	The Jurisdiction will send first notification letter to accounts to comply with SB 1383.	4/30/2023
<b>TASK 14</b>	The Jurisdiction will send second notification letter to accounts to comply with SB 1383.	8/31/2023
<b>TASK 15</b>	The Jurisdiction will cite accounts who have not complied with SB 1383.	10/31/2023
<b>TASK 16</b>	The Jurisdiction will require and verify its hauler will distribute organics recycling collection bins to all commercial generators.	12/31/2023
<b>TASK 17</b>	The Jurisdiction will have provided all businesses with bins.	12/31/2023

<b>TASK 18</b>	The Jurisdiction will provide three-container waste collection service to all commercial customers that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11, 18984.12, or other provision of the Regulations, or are not an authorized self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of section 18988.3.	12/31/2023
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### **Section 18984.7 Container Color Requirements**

The Jurisdiction will distribute new containers meeting the container color requirements.

NOTE: A jurisdiction is not required to replace functional containers, including containers purchased prior to January 1, 2022, that do not comply with the color requirements prior to the end of the useful life of those containers, or prior to January 1, 2036, whichever comes first.

<b>Task #</b>	<b>Description</b>	<b>Target Completion Date</b>
<b>TASK 19</b>	If purchasing new containers, the Jurisdiction will require and verify the hauler provides containers for collection services to generators that comply with the container color requirements specified in Article 3 of the Regulations.	9/1/22 (Task completion to be verified)

### COMPLIANCE REVIEW, ENFORCEMENT, AND RELEASE

4. Communications. All approvals and decisions of CalRecycle regarding notifications will be communicated to the Jurisdiction in writing by the Branch Chief, Jurisdiction and Agency Compliance and Enforcement Branch, Waste Permitting, Compliance and Mitigation Division, or the Branch Chief's designee. No formal advice, guidance, suggestions, or comments by CalRecycle regarding reports, plans, specifications, schedules, or any other writings by Jurisdiction shall be construed to relieve the Jurisdiction of the obligation to obtain such formal approvals as may be required.
- 4.1 Submittal. All reporting from the Jurisdiction described in section 3 (Schedule for Compliance), paragraph 3.f, shall be submitted through an online portal or compliance module developed by CalRecycle with instructions provided to Jurisdiction. All other communications from the Jurisdiction according to this CAP shall be sent in writing electronically to:

Lena-Prudence Wiegand  
lena-prudence.wiegand@calrecycle.ca.gov

- 4.2 Compliance Review. At the end of the oversight period or at any time deemed appropriate by CalRecycle, CalRecycle will meet with the Jurisdiction to assess the Jurisdiction's CAP implementation efforts and to determine whether or not the Jurisdiction has timely complied with all commitments in all sections of this CAP. If requested by the Jurisdiction, CalRecycle has discretion to issue notice of a hearing at any time upon its staff's recommendation that the Jurisdiction has completed the conditions of the CAP. In accordance with PRC section 42652.5, failure to implement programs and or comply with all sections of the CAP at any time may result in CalRecycle's revocation of its approval of the Notification and CAP and imposition of administrative civil penalties retroactively to the date of violation in 2022, for administrative civil penalties accruing in 2023, and for administrative civil penalties accruing during any other additional time covered by the Notification and CAP. These potentially applicable penalties are described in Section 5.0 of this CAP.
- 4.3 CalRecycle Review and Approval: If CalRecycle determines that any report, plan, schedule, or other document submitted for approval pursuant to this CAP fails to comply with the Notification as amended by this CAP or fails to achieve successful implementation of the Regulations, CalRecycle may:
- a. Amend the CAP as appropriate to remedy the violations of the Regulations expeditiously, and/or
  - b. Serve a notice that CalRecycle will revoke approval of the Notification and consider the imposition of penalties in accordance with Title 14 CCR sections 18997.3, 18997.5, and 18997.6.
- 4.4 Extension Request and Other Task Modification Requests: If the Jurisdiction determines that it will be unable to perform any activity or submit any document within the time required under this CAP, the Jurisdiction may, as far in advance of the due date as possible, request an extension of time in writing. The extension request shall include a justification for the delay. If the Jurisdiction finds that a task required by this CAP in Section 3.1 is not feasible, despite the Jurisdiction's best and substantial efforts, the Jurisdiction may propose an alternative task that is substantially similar or equally effective to the original task, subject to the approval of CalRecycle. The modification request shall include an explanation of the infeasibility, an explanation of how the alternative task is substantially similar or equally effective, and a justification for any extension of time associated with the change.
- 4.5 Extension and Other Task Modification Approvals: If CalRecycle determines that good cause exists for an extension, considering the factors set out in Title 14 CCR section 18996.2(a)(2), it will grant an extension as appropriate and specify in writing a new compliance schedule. If CalRecycle determines that good cause exists for the change in task because the original task is infeasible and the alternative task is substantially similar or equally effective, it will grant an approval

and extension as appropriate and specify in writing the new task; additionally, if CalRecycle determines that good cause exists for any extension associated with the change in task, considering the factors set out in Title 14 CCR section 18996.2(a)(2), it will grant an extension as appropriate and specify in writing a new compliance schedule.

- 4.6 Compliance with Applicable Laws: The Jurisdiction shall carry out this CAP in compliance with all Local, State, and Federal requirements, including but not limited to requirements to obtain necessary permits.
- 4.7 Liability: Nothing in this CAP shall constitute or be construed as a satisfaction or release from liability for any conditions or claims arising as a result of past, current, or future operations of the Jurisdiction, including for violations of the Regulations that were not disclosed in the Notification and any violations of the Regulations that the Jurisdiction fails to remedy notwithstanding commitments in the Notification and this CAP.
- 4.8 Government Liabilities: The State of California and CalRecycle shall not be liable for injuries or damages to persons or property resulting from acts or omissions in carrying out activities pursuant to this CAP, nor shall the State of California be held as a party to any contract entered into by the Jurisdiction or its agents in carrying out activities pursuant to the CAP. The Jurisdiction shall indemnify, defend, and save harmless the State, its officers, agents, and employees from any and all claims and losses accruing or resulting in connection with the performance of this CAP.
- 4.9 Parties Bound: This CAP shall apply to and be binding upon the Jurisdiction and upon CalRecycle and any successor agency (regional agency, etc.) that may have responsibility for, and the authority over, the subject matter of this CAP.

#### PENALTY

5. Penalties, if any, shall be assessed and calculated in accordance with the provisions of PRC 42652.5 and Title 14 CCR sections 18997.3, 18997.5, and 18997.6. Penalties shall be calculated based on the factors set out in section 18997.3 and may be as much as \$10,000 per day per violation for the duration of the violation(s).
- 5.1 Penalty Procedures. Penalty, if any, shall be imposed in accordance with the procedures and methodology set out in PRC section 42652.5 and Title 14 CCR sections 18997.3, 18997.5, and 18997.6. Regulatory violations that are considered “minor,” meaning they involve violations constituting minimal deviation from regulations, shall be subject to penalties of no less than five hundred dollars (\$500) per violation and no more than four thousand dollars (\$4,000) per violation per day; “moderate” violations are subject to no less than four thousand dollars (\$4,000) per

violation and shall be no more than seven thousand five hundred dollars (\$7,500) per violation per day; and “major” violations, are subject to penalties no less than seven thousand five hundred dollars (\$7,500) per violation per day and no more than ten thousand dollars (\$10,000) per violation per day. The following types of deviations are deemed to be “major” under the regulations for the purposes of assessing penalties:

- a. Failure to have any ordinance or similarly enforceable mechanism for organic waste disposal reduction and edible food recovery.
- b. Failure to have a provision in a contract, agreement, or other authorization that requires a hauler to comply with the requirements the Regulations.
- c. Failure to have an edible food recovery program.
- d. Failure to have any Implementation Record.
- e. Implementation or enforcement of an ordinance, policy, procedure, condition, or initiative that is prohibited under Title 14 CCR sections 18990.1 or 18990.2.
- f. Failure to submit the reports required in Title 14 CCR sections 18994.1 and 18994.2.

#### EFFECTIVE DATE

6. Issuance. This Corrective Action Plan is final and effective from the date of issuance.

6.1 Date of Issuance December 28, 2022

#### **Signature on file**

Mark de Bie, Deputy Director  
Waste Permitting, Compliance and Mitigation Division  
Department of Resources Recycling and Recovery

**FIRST ADDENDUM TO CORRECTIVE ACTION PLAN NO.1493**

City of Tracy Amendment of Timelines in Corrective Action Plan No.  
1493.SLCP.CAP.2022.12

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On May 8, 2023, the City of Tracy requested an amendment to part of their timeline for Corrective Action Plan No. 1493.SLCP.CAP.2022.12. The City of Tracy only requested a change in the timelines, not a change in the task requirements. The justification provided for the timeline amendment is included in the attached request.

**Requested Changes to Timelines:**

<b>Task #</b>	<b>Original Date</b>	<b>Amended Date</b>
13	4/30/2023	8/31/2023
14	8/31/2023	10/31/2023
15	10/31/2023	12/31/2023

The City of Tracy's request for timeline amendment shows good cause and provides a reasonable alternate timeline for completion. The impacted tasks do not affect the final completion date of the Corrective Action Plan. Therefore, the request is approved. The amendment will be included as an addendum to the December 28, 2022 Corrective Action Plan No. 1493.SLCP.CAP.2022.12

**Dated:** May 12, 2023

**Signature on file**

Mark de Bie, Deputy Director  
Waste Permitting, Compliance and Mitigation Division  
Department of Resources Recycling and Recovery

**Attachment 1:** City of Tracy's Timeline Amendment Request

**SECOND ADDENDUM TO CORRECTIVE ACTION PLAN NO. 1493.SLCP.CAP.2022.12**

City of Tracy Amendment of Tasks and Timelines in Corrective Action Plan No. 1493.SLCP.CAP.2022.12

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On February 21, 2024, the City of Tracy (Jurisdiction) requested an amendment to part of its tasks and timelines for Corrective Action Plan No. 1493.SLCP.CAP.2022.12. The justification provided for the timeline amendments and task requirements is included in the attached request.

**Requested Changes to Tasks and Timelines:**

<b>Task #</b>	<b>Original Task Language</b>	<b>Proposed Task Language</b>	<b>Original Date</b>	<b>First Amended Date</b>	<b>Second Amended Date</b>
14	The Jurisdiction will send second notification letter to accounts to comply with Senate Bill (SB) 1383.	The Jurisdiction will send second notification letter to accounts to comply with Senate Bill (SB) 1383 as follows: <ul style="list-style-type: none"> <li>Send notification letters to 622 non-compliant commercial businesses and multi-family accounts.</li> </ul>	8/31/2023	10/31/2023	6/30/2024
15	The Jurisdiction will cite accounts who have not complied with SB 1383.	The Jurisdiction will cite accounts who have not complied with SB 1383 <ul style="list-style-type: none"> <li>Accounts that refuse a compliant 3-container program will be referred to the</li> </ul>	10/31/2023	12/31/2023	10/31/2024

		Jurisdiction's Solid Waste Enforcement team to pursue enforcement measures that could include notice(s) of violation as well as penalties.			
16	The Jurisdiction will require and verify its hauler will distribute organics recycling collection bins to all commercial generators.	The Jurisdiction will require and verify its hauler will distribute organics recycling collection bins to all commercial generators that subscribe to the service.	12/31/2023	N/A	12/31/2024
17	The Jurisdiction will have provided all businesses with bins.	The Jurisdiction will have provided all businesses that subscribe to the service with bins.	12/31/2023	N/A	12/31/2024
18	The Jurisdiction will provide three-container waste collection service to all commercial customers that are not subject to an exemption or waiver from such service under Title 14 of the California Code of Regulations (CCR) sections 18984.11, 18984.12, or other provision of the Regulations, or are not an authorized	N/A	12/31/2023	N/A	12/31/2024

	self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of Section 18988.3.				
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The Jurisdiction's request for task and timeline amendments shows good cause and provides reasonable alternate tasks and timelines for completion. The impacted tasks affect the final completion date of the Corrective Action Plan (CAP). Therefore, Sections 3.d, 3.f, and 3.i will need to be changed to reflect the new final completion date of the CAP.

See below for the changes made to Sections 3.d, 3.f, and 3.i.

## SCHEDULE FOR COMPLIANCE

3. Based on the foregoing DETERMINATION OF VIOLATIONS, CalRecycle finds that:
- a. The Jurisdiction worked with CalRecycle to develop this CAP, which includes schedule of actions necessary for the Jurisdiction to address the violations disclosed in the Notification and described in Section 2 (Determination of Violations).
  - b. CalRecycle hereby approves and issues this CAP pursuant to Public Resources Code (PRC) Section 42652.5(c)-(e) and 14 CCR Section 18996.2.
  - c. The Jurisdiction will fully implement the programs identified in this CAP by the dates provided in the Section 3.2.
  - d. CalRecycle will monitor the Jurisdiction's continued implementation of the programs identified in the CAP from December 28, 2022 through February 28, 2025 ("the oversight period") to assure implementation is complete and timely. The oversight period may be extended at the discretion of CalRecycle if any extensions to the Schedule are granted as described in Sections 4.4 and 4.5.
  - e. During the oversight period, the Jurisdiction will submit status reports to CalRecycle and attend status meetings with CalRecycle to demonstrate the ongoing progress the Jurisdiction is making on addressing the violations described above.
  - f. During the oversight period, the Jurisdiction will provide bimonthly status reports to CalRecycle:

### Report Cycle

Report 1: February 28, 2023, covering December 1, 2022 – January 31, 2023

Report 2: April 30, 2023, covering February 1, 2023 – March 31, 2023

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Report 7: February 29, 2024, covering December 1, 2023 – January 31, 2024

Report 8: April 30, 2024, covering February 1, 2024 – March 31, 2024

Report 9: June 30, 2024, covering April 1, 2024 – May 31, 2024

Report 10: August 31, 2024, covering June 1, 2024 – July 31, 2024

Report 11: October 31, 2024, covering August 1, 2024 – September 30, 2024

Report 12: December 31, 2024, covering October 1, 2024 – November 30, 2024

Report 13: February 28, 2025, covering December 1, 2024 – December 31, 2024

- g. The status reports are to be used to document the status and work completed for each of the identified tasks in the CAP. For each task in the CAP, the Jurisdiction will explain the status of the task, specifying what actions have been taken to complete the task.
- h. If the work has been delayed or has not been completed, the Jurisdiction will explain the reason(s) for the delay/incompletion. The Jurisdiction will also attach any previously submitted extension requests made pursuant to Section 4.4 of this CAP and any extensions granted pursuant to Section 4.5 of this CAP, using additional sheets as necessary for the report. CalRecycle may consider the explanation in deciding whether to allow the Jurisdiction to continue to operate under the Notification and CAP or to revoke approval of the Notification and CAP pursuant to PRC Section 42652.5(d).
- i. During the oversight period, the Jurisdiction will meet with CalRecycle telephonically or via other remote electronic means (such as Teams or Zoom) bimonthly to provide interim progress updates on the following schedule:

#### Meeting Cycle

Meeting 1: During January 2023

Meeting 2: During March 2023

Meeting 3: During May 2023

Meeting 4: During July 2023

Meeting 5: During September 2023

Meeting 6: During November 2023

Meeting 7: During January 2024

Meeting 8: During March 2024

Meeting 9: During May 2024

Meeting 10: During July 2024

Meeting 11: During September 2024

Meeting 12: During November 2024

Meeting 13: During January 2025

- j. The status meetings are to be used to discuss the most recent status report and to discuss interim progress made by the Jurisdiction on each of the identified tasks in the CAP.
- k. Prior to the close of the oversight period, CalRecycle may undertake a compliance review or field visit to supplement other status reporting.
- l. At any time prior to the conclusion of the oversight period, if CalRecycle determines the Jurisdiction has failed to implement the programs identified in the Notification and CAP, has failed to participate in status reporting during the oversight period, has substantially misrepresented its progress in status reporting, or has substantially failed to make interim progress on Tasks set out in Section 3.2 for four (4) consecutive reporting periods, CalRecycle may immediately issue a notice revoking its approval of the Notification and CAP and indicating its intent to impose penalties as provided in PRC Section 42652.5., 14 CCR sections 18997.3, 18997.5, and 18997.6 and PRC Section 42652.5 governs the process and considerations CalRecycle will utilize in calculating and assessing administrative civil penalties against the Jurisdiction, which may be up to \$10,000 per day per violation.

3.1 Based on the foregoing DETERMINATION OF VIOLATIONS, it is hereby ordered that the Jurisdiction will implement the actions described in accordance with the compliance deadlines contained in the schedule of actions to address violations as set forth in Section 3.2.

The request is approved. The amendment will be included as a second addendum to the December 28, 2022 Corrective Action Plan No. 1493.SLCP.CAP.2022.12.

**Dated:** December 23, 2024

**Signature on file**

Mark de Bie, Deputy Director  
Waste Permitting, Compliance and Mitigation Division  
Department of Resources Recycling and Recovery

**Attachment:** City of Tracy's Task and Timeline Amendment Request (Second Addendum)