

STATE OF CALIFORNIA
CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY
DEPARTMENT OF RESOURCES RECYCLING AND RECOVERY

CORRECTIVE ACTION PLAN AND COMPLAINT FOR PENALTY
1425.SLCP.CAP.2023.3

Before: The State of California
 Department of Resources Recycling and Recovery
 1001 I Street
 Sacramento, CA 95814

In the Matter of: San Joaquin - Unincorporated
 P.O. Box 1810
 Stockton, CA 95201

BACKGROUND AND PURPOSE

1. The California Department of Resources Recycling and Recovery is hereinafter referred to as "CalRecycle."
- 1.1 CalRecycle, in consultation with the California Air Resources Board, adopted regulatory requirements, consistent with the mandate of Senate Bill 1383 (Lara, Chapter 395, Statutes of 2016), that are designed to achieve the organic waste reduction goals established in section 39730.6 of the Health and Safety Code through a 50 percent reduction in the level of the statewide disposal of organic waste from the 2014 level by 2020 and a 75 percent reduction in the level of the statewide disposal of organic waste from the 2014 level by 2025. The purpose of these reductions is to further the statewide effort to reduce emissions of short-lived climate pollutants (SLCP), including methane. These SLCP regulations are referred to hereinafter as the "Regulations" and can be found at Title 14 California Code of Regulations (CCR) sections 18981.1 through 18998.4. Cities, counties, and special districts are responsible for implementing these Regulations in their communities starting January 1, 2022.
- 1.2 San Joaquin - Unincorporated is hereinafter referred to as "Jurisdiction." Jurisdiction is required to comply with the Regulations.
- 1.3 Jurisdiction is or expects to be facing continuing violations of the Regulations commencing during the 2022 calendar year, which could result in significant administrative civil penalties under the Regulations.
- 1.4 Senate Bill 619 (Laird, Chapter 508, Statutes of 2021), through amendments to section 42652.5 of the Public Resources Code (PRC), created a mechanism called

a Notification of Intent to Comply through which a local jurisdiction may secure administrative civil penalty relief from any continuing violations of the Regulations for the 2022 calendar year and may be eligible for a broader and longer-term regulatory compliance path, including suspended administrative civil penalties, through a Corrective Action Plan.

- 1.5 Under PRC section 42652.5(e), the Notification of Intent to Comply must include a description of proposed actions to remedy the violations, as well as a proposed schedule for those actions, that the Jurisdiction commits to undertake to remedy the violations.
- 1.6 The Notification of Intent to Comply is hereinafter referred to as "Notification."
- 1.7 For violations taking more than 180 days to correct, PRC section 42652.2 allows CalRecycle to determine, in its sole discretion, that violations identified in a Notification may be addressed through a Corrective Action Plan issued pursuant to 14 CCR section 18996.2.
- 1.8 The Corrective Action Plan is hereinafter referred to as "CAP."
- 1.9 For violations disclosed in the Notification approved by CalRecycle as meeting the requirements of PRC section 42652.5(e), CalRecycle shall waive administrative civil penalties during the 2022 calendar year if the Jurisdiction implements the proposed actions according to the approved Notification's schedule of actions and, as applicable, approved CAP schedule of actions.
- 1.10 For violations disclosed in the Notification that commence during the 2022 calendar year and continue into the 2023 calendar year, administrative civil penalties may begin accruing as of January 1, 2023. SB 619 provides administrative civil penalties accruing on and after January 1, 2023, shall be waived upon complete compliance with an approved CAP schedule of action.

DETERMINATION OF VIOLATIONS

2. As authorized by PRC section 42652.5(c), the Jurisdiction notified CalRecycle of its ongoing or anticipated violations of the Regulations and the PRC through a Notification submitted February 18, 2022.
- 2.1 CalRecycle reviewed the Jurisdiction's Notification, its description of the Jurisdiction's disclosed violations of the Regulations, and proposed actions and schedule for those actions to remedy those violations for compliance with PRC section 42652.5(e). CalRecycle approved the Notification's proposed actions and schedule of actions, as modified by this CAP.

2.2 The Jurisdiction's Notification identified the following violations of the Regulations as follows:

- 2.2.1 The Jurisdiction is and has been in violation of 14 CCR section 18984.1 in that since January 1, 2022, the Jurisdiction has not provided three-container organic waste collection service to all residential and commercial generators and/or has not included food scrap collection service in the three-container collection system provided to all residential and commercial generators.
- 2.2.2 The Jurisdiction is and has been in violation of 14 CCR section 18984.2 in that since January 1, 2022, the Jurisdiction has not provided two-container organic waste collection service to all residential and commercial generators and/or has not included food scrap collection service in the two-container collection system provided to all residential and commercial generators.
- 2.2.3 The Jurisdiction is and has been in violation of 14 CCR section 18984.4 in that since January 1, 2022, the Jurisdiction has not met recordkeeping requirements for compliance with Organic Waste Collection Services.
- 2.2.4 The Jurisdiction is and has been in violation of 14 CCR section 18984.5 in that since January 1, 2022, the Jurisdiction has not conducted container contamination minimization for the residential or commercial sector.
- 2.2.5 The Jurisdiction is and has been in violation of 14 CCR section 18984.6 in that since January 1, 2022, the Jurisdiction has not met recordkeeping requirements for compliance with container contamination minimization.
- 2.2.6 The Jurisdiction is and has been in violation of 14 CCR section 18984.7 in that since January 1, 2022, the Jurisdiction has not distributed new containers meeting the container color requirements.
- 2.2.7 The Jurisdiction is and has been in violation of 14 CCR section 18984.8 in that since January 1, 2022, the Jurisdiction has not distributed new containers with proper container labeling.
- 2.2.8 The Jurisdiction is and has been in violation of 14 CCR section 18984.11 in that since January 1, 2022, the Jurisdiction has not verified each waived generator is eligible for a waiver.
- 2.2.9 The Jurisdiction is and has been in violation of 14 CCR section 18985.1 in that since February 1, 2022, the Jurisdiction has not provided education and outreach to organic waste generators that are provided an organic waste collection service, including self-haulers, or the information provided did not comply with the requirements.
- 2.2.10 The Jurisdiction is and has been in violation of 14 CCR section 18985.1 in that since February 1, 2022, the Jurisdiction has not translated educational materials into any non-English language spoken by a substantial number of the public provided organic waste collection services by the Jurisdiction.
- 2.2.11 The Jurisdiction is and has been in violation of 14 CCR, section 18985.3 in that since January 1, 2022, the Jurisdiction has not included all relevant

documents supporting compliance with Article 4 (Education and Outreach) of the Regulations in the Implementation Record as required by section 18995.2.

- 2.2.12 The Jurisdiction is and has been in violation of 14 CCR section 18988.1 and 18988.3 in that since January 1, 2022, the Jurisdiction has not required haulers providing residential, commercial, or industrial organic waste collection services to generators within its boundaries to meet the requirements and standards of the Regulations as a condition of approval of a contract, agreement, or other authorization to collect organic waste.
- 2.2.13 The Jurisdiction is and has been in violation of 14 CCR sections 18981.2 and 18988.1 in that since January 1, 2022, the Jurisdiction allows generators to self-haul, but has not adopted an ordinance or a similarly enforceable mechanism that requires compliance with the requirements in section 18988.3 of the Regulations.
- 2.2.14 The Jurisdiction is and has been in violation of 14 CCR section 18988.4 in that since January 1, 2022, the Jurisdiction has not met recordkeeping requirements for compliance with jurisdiction hauler program.
- 2.2.15 The Jurisdiction is and has been in violation of 14 CCR section 18995.1 in that since January 1, 2022, the Jurisdiction has not conducted a compliance review of all solid waste collection accounts for commercial businesses generating two cubic yards or more of solid waste per week, including organic waste.
- The Jurisdiction shall also determine compliance with:
- a. Organic waste generator requirements set forth in section 18984.9(a).
 - b. Self-hauling requirements pursuant to section 18988.3, including whether a business is complying through back-hauling organic waste.
- 2.2.16 The Jurisdiction is and has been in violation of 14 CCR section 18995.1 in that since April 1, 2022, the Jurisdiction has not conducted annual route reviews of commercial businesses and residential generators for compliance with organic waste generator requirements set forth in section 18984.9(a) and container contamination requirements set forth in section 18984.5.
- 2.2.17 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.1 in that since January 1, 2022, the Jurisdiction has not conducted inspections of Tier One commercial edible food generators and food recovery organizations and services for compliance.
- 2.2.18 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.1 in that since January 1, 2022, the Jurisdiction has not investigated complaints as required under section 18995.3.
- 2.2.19 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.1 in that since January 1, 2022, the Jurisdiction has not provided educational material describing the applicable requirements in response to violations.

- 2.2.20 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.2 in that since January 1, 2022, the Jurisdiction has not maintained all records required in the Implementation Record.
- 2.2.21 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.2 in that since January 1, 2022, the Jurisdiction's Implementation Record is not stored in one central location, physical or electronic, that can be readily accessed by CalRecycle.
- 2.2.22 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.2 in that since January 1, 2022, the Jurisdiction has not included all records and information in the Implementation Record within 60 days of the creation of the record or information.
- 2.2.23 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.2 in that since January 1, 2022, the Jurisdiction has not retained all records for five years.
- 2.2.24 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.2 in that since January 1, 2022, the Jurisdiction has not included the following in the Implementation Record:
- a. A copy of all ordinances or other similarly enforceable mechanisms, contracts, and agreements, as required by the Regulations.
 - b. A written description of the Jurisdiction's inspection and enforcement program that it uses to comply with sections 18995.1 and 18995.4.
 - c. All organic waste collection service records required by section 18984.4.
 - d. All contamination minimization records required by section 18984.6.
 - e. All waiver and exemption records required by section 18984.14.
 - f. All education and outreach records required by section 18985.3.
 - g. All hauler program records required by section 18988.4.
 - h. All jurisdiction edible food recovery program records required by section 18991.2.
 - i. All recovered organic waste procurement target records required by section 18993.2.
 - j. All recycled content paper procurement records required by section 18993.4.
 - k. All inspection, route review, and compliance review documents generated pursuant to the requirements of section 18995.1(d).
 - l. All records of enforcement actions undertaken pursuant to the Regulations.
 - m. All records of complaints and investigations of complaints required by section 18995.3 and compliance with the Jurisdiction's inspection and enforcement requirements of sections 18995.1.

- 2.3 CalRecycle accepted the Jurisdiction's self-reported Notification and characterization of its violations therein. CalRecycle has not undertaken a compliance review of Jurisdiction, and thus CalRecycle's approval of the Notification or this CAP should not be taken as an indication that Jurisdiction is in

full compliance with Regulations in other respects. CalRecycle will be undertaking a compliance review of all jurisdictions in the future.

SCHEDULE FOR COMPLIANCE

3. Based on the foregoing DETERMINATION OF VIOLATIONS, CalRecycle finds that:
- a. The Jurisdiction worked with CalRecycle to develop this CAP, which includes schedule of actions necessary for the Jurisdiction to achieve address the violations disclosed in the Notification and described in Section 2 (Determination of Violations) above.
 - b. CalRecycle hereby approves and issues this CAP pursuant to PRC section 42652.5(c)-(e) and Title 14 CCR section 18996.2.
 - c. The Jurisdiction will fully implement the programs identified in this CAP by the dates provided in the Section 3.2 below.
 - d. CalRecycle will monitor the Jurisdiction’s continued implementation of the programs identified in the CAP from March 29, 2023 through March 1, 2024 (“the oversight period”) to assure implementation is complete and timely. The oversight period may be extended at the discretion of CalRecycle if any extensions to the Schedule are granted as described below in Sections 4.4 and 4.5.
 - e. During the oversight period, the Jurisdiction will submit status reports to CalRecycle and attend status meetings with CalRecycle to demonstrate the ongoing progress the Jurisdiction is making on remedying the violations described above.
 - f. During the oversight period, the Jurisdiction will provide bimonthly status reports to CalRecycle:

Report Cycle

Report 1: May 31, 2023, covering March 1, 2023 – April 30, 2023

Report 2: July 31, 2023, covering May 1, 2023 – June 30, 2023

Report 3: September 30, 2023, covering July 1, 2023 – August 31, 2023

Report 4: November 30, 2023, covering September 1, 2023 – October 31, 2023

Report 5: January 31, 2024, covering November 1, 2023 – December 31, 2023

Report 6: March 31, 2024, covering January 1, 2024 – March 1, 2024

- g. The status reports are to be used to document the status and work completed for each of the identified tasks in the CAP. For each task in the CAP, the Jurisdiction will explain the status of the task, specifying what actions have been taken to complete the task.
- h. If the work has been delayed or has not been completed, the Jurisdiction will explain the reason(s) for the delay/incompletion. The Jurisdiction will also attach any previously submitted extension requests made pursuant to section

4.4 of this CAP and any extensions granted pursuant to section 4.5 of this CAP, using additional sheets as necessary for the report. CalRecycle may consider the explanation in deciding whether to allow the Jurisdiction to continue to operate under the Notification and CAP or to revoke approval of the Notification and CAP pursuant to PRC section 42652.5(d).

- i. During the oversight period, the Jurisdiction will meet with CalRecycle telephonically or via other remote electronic means (such as Teams or Zoom) bimonthly to provide interim progress updates on the following schedule:

Meeting Cycle

- Meeting 1: During April 2023
- Meeting 2: During June 2023
- Meeting 3: During August 2023
- Meeting 4: During October 2023
- Meeting 5: During December 2023
- Meeting 6: During February 2024

- j. The status meetings are to be used to discuss the most recent status report and to discuss interim progress made by the Jurisdiction on each of the identified tasks in the CAP.
- k. Prior to the close of the oversight period, CalRecycle may undertake a compliance review or field visit to supplement other status reporting.
- l. At any time prior to the conclusion of the oversight period, if CalRecycle determines the Jurisdiction has failed to implement the programs identified in the Notification and CAP, has failed to participate in status reporting during the oversight period, has substantially misrepresented its progress in status reporting, or has substantially failed to make interim progress on Tasks set out in Section 3.2 for four consecutive reporting periods, CalRecycle may immediately issue a notice revoking its approval of the Notification and CAP and indicating its intent to impose penalties as provided in PRC section 42652.5. Title 14 CCR sections 18997.3, 18997.5, and 18997.6 and PRC section 42652.5 governs the process and considerations CalRecycle will utilize in calculating and assessing administrative civil penalties against the Jurisdiction, which may be up to \$10,000 per day per violation.

3.1 Based on the foregoing DETERMINATION OF VIOLATIONS, it is hereby ordered that the Jurisdiction will implement the actions described below in accordance with the compliance deadlines contained in the schedule of actions to remedy violations as set forth in Section 3.2 below.

3.2 Schedule and Program of Actions

Section 18984.1 Three-Container Organic Waste Collection Services
Section 18984.2 Two-Container Organic Waste Collection Services

The Jurisdiction will provide three-container or two-container collection service to all residences.

Task #	Description	Target Completion Date
TASK 1	The Jurisdiction will establish contract amendment(s) with organic waste collection service providers.	7/3/2023
TASK 2	The Jurisdiction's Board will approve the contract amendment(s).	10/31/2023
TASK 3	The Jurisdiction will require and verify the haulers will purchase additional organic waste and recycling collection containers, as necessary, for their specific collection programs.	1/1/2024
TASK 4	The Jurisdiction will require and verify the haulers will distribute organics recycling collection containers to all residential customers currently without service.	1/1/2024
TASK 5	The Jurisdiction will provide three-container or two-container waste collection service to all residential customers that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11, 18984.12, or other provision of the Regulations, or are not an authorized self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of section 18988.3.	1/1/2024

Section 18984.1 Three-Container Organic Waste Collection Services
Section 18984.2 Two-Container Organic Waste Collection Services

The Jurisdiction will provide three-container or two-container collection service to all businesses.

Task #	Description	Target Completion Date
TASK 6	The Jurisdiction will require and verify the haulers will distribute organics recycling collection containers to all commercial customers currently without service.	3/1/2024
TASK 7	The Jurisdiction will provide three-container or two-container waste collection service to all commercial customers that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11, 18984.12, or other provision of the Regulations, or are not an authorized self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of section 18988.3.	3/1/2024

Section 18984.4 Recordkeeping Requirements for Compliance with Organic Waste Collection Services

The Jurisdiction will document or keep records for compliance with Organic Waste Collection Services.

Task #	Description	Target Completion Date
<p>TASK 8</p>	<p>The Jurisdiction will establish a system for documenting organic waste collection services for recordkeeping and reporting.</p> <p>The Jurisdiction will Include the following information and documents in the Implementation Record:</p> <ol style="list-style-type: none"> 1. A description of which collection method(s) it will use to comply. 2. The geographical area for each collection method. 3. If using a service that requires the contents of containers provided by the Jurisdiction to be transported to a high diversion organic waste processing facility, the Jurisdiction shall at a minimum: <ul style="list-style-type: none"> • List all high diversion organic waste processing facilities used by the Jurisdiction. • List all approved haulers in the Jurisdiction that are allowed to take organic waste to the Jurisdiction’s identified high diversion organic waste processing facility or facilities. • The geographical area the hauler(s) serves, the routes serviced, or a list of addresses served. 4. If allowing compostable plastics to be placed in the green container, a copy of written notification received from each facility serving the Jurisdiction indicating that the facility recovers that material. 5. If allowing organic waste to be collected in plastic bags, a copy of written notification received from each facility serving the Jurisdiction indicating that the facility can process and remove plastic bags when it recovers source separated organic waste. 	<p>2/1/2024</p>
<p>TASK 9</p>	<p>The Jurisdiction will perform site visits or phone calls periodically to verify and evaluate whether businesses opting to self-haul organics are doing so.</p> <ul style="list-style-type: none"> • The Jurisdiction will document implementation. 	<p>2/1/2024</p>
<p>TASK 10</p>	<p>The Jurisdiction will compile a complete list of covered generators annually.</p> <ul style="list-style-type: none"> • The Jurisdiction will obtain lists from the haulers based on the haulers’ waste and recycling service records. 	<p>2/1/2024</p>

Section 18984.5 Container Contamination Minimization

The Jurisdiction will monitor generator using a three-container or two-container organic waste collection service for contaminants.

Task #	Description	Target Completion Date
TASK 11	<p>The Jurisdiction has selected route reviews as the method to monitor for container contamination.</p> <p>Minimum requirements - Route reviews</p> <ul style="list-style-type: none"> All hauler routes must be reviewed annually. Containers may be randomly selected along a hauler route. Not required that every container on a hauler route be sampled annually. 	3/1/2024
TASK 12	<p>The Jurisdiction will establish a system for notifying generators of violations.</p> <p>Minimum requirements - Route reviews:</p> <ul style="list-style-type: none"> The contamination notice will, at a minimum, include information regarding the generator’s requirement to properly separate materials into the appropriate containers. The contamination notice may be left on the generator’s container, gate, or door at the time the violation occurs, and/or be mailed, e-mailed, or electronically messaged to the generator. 	3/1/2024
TASK 13	<p>The Jurisdiction will perform container contamination minimization for all routes that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11 and 18984.12 (a) and (c), or are not an authorized self-hauler subject to the Jurisdiction’s ordinance requiring compliance with the requirements of section 18988.3.</p>	3/1/2024

Section 18984.6 Recordkeeping Requirements for Container Contamination Minimization

The Jurisdiction will keep records of the number of residential or commercial violations identified and number of notices issued.

Task #	Description	Target Completion Date
TASK 14	<p>The Jurisdiction will document the methods used to monitor container contaminants.</p>	1/1/2024

TASK 15	<p>The Jurisdiction will establish a system for documenting route reviews and/or waste evaluations conducted and tracking the violations for recordkeeping and reporting.</p> <p>The Jurisdiction will include the following information and documents in the Implementation Record:</p> <ol style="list-style-type: none"> 1. A description of the Jurisdiction’s process for determining the level of container contamination. 2. Documentation of route reviews conducted, if applicable. 3. If applicable, documentation of waste evaluations performed, including information on targeted route reviews conducted as a result of the studies. The documentation will at a minimum include dates of the studies, the location of the solid waste facility where the study was performed, routes, source sector (e.g., commercial or residential), number of samples, weights and ratio of prohibited container contaminants and total sample size. 4. Copies of all notices issued to generators with prohibited container contaminants. 5. Documentation of the number of containers where the contents were disposed due to observation of prohibited container contaminants. 	1/1/2024
TASK 16	The Jurisdiction will begin documenting contamination minimization efforts and tracking number of violations.	3/1/2024

Section 18984.7 Container Color Requirements

The Jurisdiction will distribute new containers meeting the container color requirements.

Task #	Description	Target Completion Date
TASK 17	If purchasing new containers, the Jurisdiction will require and verify the haulers will provide containers for collection services to generators that comply with the container color requirements specified in Article 3 of the Regulations.	1/1/2024

Section 18984.8 Container Labeling Requirements

The Jurisdiction will distribute new containers with proper container labeling.

Task #	Description	Target Completion Date
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TASK 18	The Jurisdiction will develop labeling or use model labeling provided by CalRecycle for use on containers. <ol style="list-style-type: none"> 1. Labels on containers must include language or graphic images or both indicating the primary materials accepted and the primary materials prohibited in that container, OR 2. Containers must include imprinted text or graphic images indicating the primary materials accepted and the primary materials prohibited in that container. 	1/1/2024
TASK 19	The Jurisdiction will require and verify the haulers will label each new container or lid provided to generators. <ul style="list-style-type: none"> • Labels will be consistent with the applicable container collection requirements specifying what materials are allowed to be placed in each container. 	1/1/2024

Section 18984.11 Waivers

The Jurisdiction will verify each waived generator is eligible for a waiver.

Task #	Description	Target Completion Date
TASK 20	The Jurisdiction will establish a procedure for verifying eligibility for waivers and granting waivers.	9/1/2022 (Task completion to be verified)
TASK 21	The Jurisdiction will implement waivers collection system roll-out.	11/1/2022 (Task completion to be verified)

Section 18985.1. Organic Waste Recovery Education and Outreach

The Jurisdiction will provide organic waste recovery education and outreach to residential and commercial generators.

Task #	Description	Target Completion Date
TASK 22	The Jurisdiction will contract with haulers to implement education and outreach requirements.	10/31/2024
TASK 23	The Jurisdiction will provide organic waste recovery education and outreach to residential and commercial generators. <ul style="list-style-type: none"> • Information on the organic waste generator’s requirements to properly separate materials in appropriate containers pursuant to Chapter 12 of the Regulations. 	1/1/2024

	<ul style="list-style-type: none"> • Information on methods for: the prevention of organic waste generation, recycling organic waste on-site, sending organic waste to community composting, and any other local requirements regarding organic waste. • Information regarding the methane reduction benefits of reducing the landfill disposal of organic waste, and the methods of organic waste recovery the organic waste collection service uses. • Information regarding how to recover organic waste and a list of approved haulers. • Information related to the public health and safety and environmental impacts associated with the landfill disposal of organic waste. • Information regarding programs for the donation of edible food. • If a jurisdiction allows generators subject to its authority to self-haul organic waste pursuant to Section 18988.1, information regarding self-hauling requirements will be included in education and outreach material. 	
TASK 24	The Jurisdiction will post the education information on its website and update as needed. The information will be specific to the Jurisdiction's residential and commercial programs, provide compliance options, contact information for the franchise haulers, edible food recovery programs.	1/1/2024
TASK 25	The Jurisdiction will require and verify the haulers will post and maintain organic waste recovery information and edible food donation program information on their websites.	1/1/2024
TASK 26	The Jurisdiction will send letters to covered residential and commercial generators providing organic waste recovery information.	1/1/2024
TASK 27	The Jurisdiction will mail a program flyer/brochure/letter to all residential/commercial generators to provide education on organics and explain the Jurisdiction's program including types of organic materials accepted and the franchise haulers' contact information.	1/1/2024
TASK 28	The Jurisdiction will provide outreach and education to organic waste generators that are provided organic waste collection services or self-haul within the Jurisdiction, that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11 and 18984.12 (a) and (c). (Note: A jurisdiction may still have a separate obligation under the Mandatory Commercial Recycling (MCR) and Mandatory	1/1/2024

	Organics Recycling (MORe) laws—Public Resources Code (PRC) Sections 42649.3 (d) and 42649.82 (d)(1)(C)—that is separate and independent of SB 1383, the SB 1383 Regulations, and this plan.)	
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The Jurisdiction will translate educational materials into any non-English language spoken by a substantial number of the public with organic waste collection services provided by the Jurisdiction.

Task #	Description	Target Completion Date
TASK 29	The Jurisdiction or its designee will translate the following educational materials into Spanish: flyer, letter.	1/1/2024
TASK 30	The Jurisdiction will post the translated educational materials.	1/1/2024

Section 18985.3 Recordkeeping Requirements for a Jurisdiction’s Compliance with Education and Outreach

The Jurisdiction will establish recordkeeping for compliance with Education and Outreach requirements.

Task #	Description	Target Completion Date
TASK 31	The Jurisdiction will establish a system for documenting and compiling records related to education and outreach requirements for recordkeeping and reporting.	9/1/2022 (Task completion to be verified)
TASK 32	<p>The Jurisdiction will begin tracking and compiling documentation in the Implementation Record and will include at a minimum:</p> <ul style="list-style-type: none"> Copies of the information provided to comply with Article 4 of the Regulations, including flyers, brochures, newsletters, invoice messaging, and website and social media postings. The date, and to whom the information was disseminated, or direct contact made. If a jurisdiction provides mass distribution through mailings, or bill inserts, it will provide the date, a copy of the information, and the type and number of accounts receiving the information. If the requirements of Article 4 of the Regulations were met solely through electronic media, the record will include a copy, with dates posted, of social media posts, e-mails or other electronic messages. 	1/1/2024

	<ul style="list-style-type: none"> If a jurisdiction relies on a designee to comply with this section, it will include a copy of the materials distributed by the designee. 	
TASK 33	The Jurisdiction will relocate information to new Implementation Record System.	2/1/2024

Section 18988.1 Jurisdiction Approval of Haulers and Self-Haulers

Section 18988.3 Self-haulers of Organic Waste

The Jurisdiction will adopt an ordinance or similarly enforceable mechanism requiring self-haulers to comply.

Task #	Description	Target Completion Date
TASK 34	The Jurisdiction will adopt an enforcement ordinance or similarly enforceable mechanism requiring self-haulers to comply.	2/15/2022 (Task completion to be verified)
TASK 35	The enforcement ordinance will be effective.	3/15/2022 (Task completion to be verified)

The Jurisdiction will require haulers providing residential, commercial, or industrial organic waste collection services to meet the requirements as a condition of approval of a contract, agreement, or other authorization to collect organic waste.

Task #	Description	Target Completion Date
TASK 36	The Jurisdiction will develop application/approval process for self-haulers.	9/1/2022 (Task completion to be verified)
TASK 37	The Jurisdiction will require and verify the haulers providing organic waste collection services will meet the requirements.	3/1/2024

Section 18988.4 Recordkeeping Requirements for Compliance with Jurisdiction Hauler Program

The Jurisdiction will establish recordkeeping for compliance with jurisdiction hauler program.

Task #	Description	Target Completion Date
TASK 38	The Jurisdiction will establish a system for documenting and compiling records related to haulers and self-haulers for recordkeeping and reporting.	9/1/2022 (Task completion to be verified)

TASK 39	The Jurisdiction will relocate information to new Implementation Record System.	2/1/2024
TASK 40	<p>The Jurisdiction will begin tracking and compiling documentation in the Implementation Record and will include at a minimum:</p> <ul style="list-style-type: none"> • Ordinances, contracts, franchise agreements, policies, procedures, or programs relevant to this section. • A description of the Jurisdiction’s hauler program including: <ul style="list-style-type: none"> ○ Type(s) of hauler system(s) the Jurisdiction uses. ○ Type(s) and condition(s) of approvals per type of hauler, and criteria for approvals, denials and revocations. ○ The process for issuing, revoking, and denying written approvals. Any requirements associated with self-hauling and back-hauling. • A record of hauler compliance with local ordinance(s) and the requirements of Article 7 of the Regulations including the following information: <ul style="list-style-type: none"> ○ Copies of all reports required from haulers. ○ Copies of all written approvals, denials, and revocations. • All records will include the date of action, the name of the hauler, and the type of the action taken by the Jurisdiction. 	2/1/2024

Section 18995.1 Jurisdiction Inspection Requirements

The Jurisdiction will complete a compliance review of all solid waste collection accounts for commercial businesses.

Task #	Description	Target Completion Date
TASK 41	<p>The Jurisdiction will complete a compliance review of all solid waste accounts for commercial businesses subject to its authority and that generate two cubic yards or more per week of solid waste, including organic waste.</p> <p>The Jurisdiction will determine compliance with:</p> <ul style="list-style-type: none"> • Organic waste generator requirements set forth in Section 18984.9(a) • Self-haul requirements set forth in Section 18988.3, including whether a business is complying through back-hauling organic waste. 	3/1/2024

The Jurisdiction will conduct route reviews.

Task #	Description	Target Completion Date
TASK 42	The Jurisdiction will conduct annual route reviews of commercial businesses and residential generators for compliance with organic waste generator requirements and container contamination requirements.	3/1/2024

The Jurisdiction will establish an inspection and enforcement program designed to ensure overall compliance with Regulations.

Task #	Description	Target Completion Date
TASK 43	The Jurisdiction will develop an inspection and enforcement program to ensure overall compliance with Regulations.	1/1/2024
TASK 44	The Jurisdiction will begin implementing the inspection program.	3/1/2024
TASK 45	The Jurisdiction will begin implementing the enforcement program.	3/1/2024

The Jurisdiction will investigate complaints received.

Task #	Description	Target Completion Date
TASK 46	The Jurisdiction will develop a process to investigate complaints received to ensure overall compliance with Regulations.	3/1/2024

The Jurisdiction will provide educational material describing the applicable requirements of Chapter 12 of the Regulations in response to violations.

Task #	Description	Target Completion Date
TASK 47	The Jurisdiction will create educational materials regarding inspections and violations.	3/1/2024
TASK 48	The Jurisdiction will provide educational material describing the applicable requirements of the chapter in response to violations.	3/1/2024

Section 18995.2 Implementation Record and Recordkeeping Requirements

The Jurisdiction will establish recordkeeping related to jurisdiction inspection requirements.

Task #	Description	Target Completion Date
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TASK 49	The Jurisdiction will establish a system for tracking inspections and enforcement actions for recordkeeping and reporting.	9/1/2022 (Task completion to be verified)
TASK 50	The Jurisdiction will relocate information to new Implementation Record System.	2/1/2024
TASK 51	<p>The Jurisdiction will begin tracking and compiling documentation. The Implementation Record will be stored in one central location, physical or electronic, that can be readily accessed by CalRecycle.</p> <p>All records and information will be included in the Implementation Record within 60 days of the creation of the record or information. All records will be retained by the Jurisdiction for five years.</p> <p>Include all documents supporting compliance in the implementation record including, but not limited to, the following:</p> <ul style="list-style-type: none"> • A copy of all ordinances or other similarly enforceable mechanisms, contracts, and agreements, as required by Chapter 12 of the Regulations. • A written description of the Jurisdiction's inspection and enforcement program that it uses to comply with Sections 18995.1 and 18995.4. • All organic waste collection service records required by Section 18984.4. • All contamination minimization records required by Section 18984.6. • All waiver and exemption records required by Section 18984.14. • All education and outreach records required by Section 18985.3. • All hauler program records required by Section 18988.4. • All jurisdiction edible food recovery program records required by Section 18991.2. • All recovered organic waste procurement target records required by Section 18993.2. • All recycled content paper procurement records required by Section 18993.4. • All inspection, route review, and compliance review documents generated pursuant to the requirements of Section 18995.1(d). • All records of enforcement actions undertaken pursuant to Chapter 12 of the Regulations. 	3/1/2024

	<ul style="list-style-type: none"> • All records of complaints and investigations of complaints required by Section 18995.3 and compliance with the Jurisdiction’s inspection and enforcement requirements of Sections 18995.1. 	
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COMPLIANCE REVIEW, ENFORCEMENT, AND RELEASE

4. Communications. All approvals and decisions of CalRecycle regarding notifications will be communicated to the Jurisdiction in writing by the Branch Chief, Jurisdiction and Agency Compliance and Enforcement Branch, Waste Permitting, Compliance and Mitigation Division, or the Branch Chief’s designee. No formal advice, guidance, suggestions, or comments by CalRecycle regarding reports, plans, specifications, schedules, or any other writings by Jurisdiction shall be construed to relieve the Jurisdiction of the obligation to obtain such formal approvals as may be required.

4.1 Submittal. All reporting from the Jurisdiction described in section 3 (Schedule for Compliance), paragraph 3.f, shall be submitted through an online portal or compliance module developed by CalRecycle with instructions provided to Jurisdiction. All other communications from the Jurisdiction according to this CAP shall be sent in writing electronically to:

Nicole Macatrao
nicole.macatrao@calrecycle.ca.gov

4.2 Compliance Review. At the end of the oversight period or at any time deemed appropriate by CalRecycle, CalRecycle will meet with the Jurisdiction to assess the Jurisdiction’s CAP implementation efforts and to determine whether or not the Jurisdiction has timely complied with all commitments in all sections of this CAP. If requested by the Jurisdiction, CalRecycle has discretion to issue notice of a hearing at any time upon its staff’s recommendation that the Jurisdiction has completed the conditions of the CAP. In accordance with PRC section 42652.5, failure to implement programs and or comply with all sections of the CAP at any time may result in CalRecycle’s revocation of its approval of the Notification and CAP and imposition of administrative civil penalties retroactively to the date of violation in 2022, for administrative civil penalties accruing in 2023, and for administrative civil penalties accruing during any other additional time covered by the Notification and CAP. These potentially applicable penalties are described in Section 5.0 of this CAP.

4.3 CalRecycle Review and Approval: If CalRecycle determines that any report, plan, schedule, or other document submitted for approval pursuant to this CAP fails to comply with the Notification as amended by this CAP or fails to achieve successful implementation of the Regulations, CalRecycle may:

- a. Amend the CAP as appropriate to remedy the violations of the Regulations expeditiously, and/or
 - b. Serve a notice that CalRecycle will revoke approval of the Notification and consider the imposition of penalties in accordance with Title 14 CCR sections 18997.3, 18997.5, and 18997.6.
- 4.4 Extension Request and Other Task Modification Requests: If the Jurisdiction determines that it will be unable to perform any activity or submit any document within the time required under this CAP, the Jurisdiction may, as far in advance of the due date as possible, request an extension of time in writing. The extension request shall include a justification for the delay. If the Jurisdiction finds that a task required by this CAP in Section 3.1 is not feasible, despite the Jurisdiction's best and substantial efforts, the Jurisdiction may propose an alternative task that is substantially similar or equally effective to the original task, subject to the approval of CalRecycle. The modification request shall include an explanation of the infeasibility, an explanation of how the alternative task is substantially similar or equally effective, and a justification for any extension of time associated with the change.
- 4.5 Extension and Other Task Modification Approvals: If CalRecycle determines that good cause exists for an extension, considering the factors set out in Title 14 CCR section 18996.2(a)(2), it will grant an extension as appropriate and specify in writing a new compliance schedule. If CalRecycle determines that good cause exists for the change in task because the original task is infeasible and the alternative task is substantially similar or equally effective, it will grant an approval and extension as appropriate and specify in writing the new task; additionally, if CalRecycle determines that good cause exists for any extension associated with the change in task, considering the factors set out in Title 14 CCR section 18996.2(a)(2), it will grant an extension as appropriate and specify in writing a new compliance schedule.
- 4.6 Compliance with Applicable Laws: The Jurisdiction shall carry out this CAP in compliance with all Local, State, and Federal requirements, including but not limited to requirements to obtain necessary permits.
- 4.7 Liability: Nothing in this CAP shall constitute or be construed as a satisfaction or release from liability for any conditions or claims arising as a result of past, current, or future operations of the Jurisdiction, including for violations of the Regulations that were not disclosed in the Notification and any violations of the Regulations that the Jurisdiction fails to remedy notwithstanding commitments in the Notification and this CAP.
- 4.8 Government Liabilities: The State of California and CalRecycle shall not be liable for injuries or damages to persons or property resulting from acts or omissions in

carrying out activities pursuant to this CAP, nor shall the State of California be held as a party to any contract entered into by the Jurisdiction or its agents in carrying out activities pursuant to the CAP. The Jurisdiction shall indemnify, defend, and save harmless the State, its officers, agents, and employees from any and all claims and losses accruing or resulting in connection with the performance of this CAP.

- 4.9 Parties Bound: This CAP shall apply to and be binding upon the Jurisdiction and upon CalRecycle and any successor agency (regional agency, etc.) that may have responsibility for, and the authority over, the subject matter of this CAP.

PENALTY

5. Penalties, if any, shall be assessed and calculated in accordance with the provisions of PRC 42652.5 and Title 14 CCR sections 18997.3, 18997.5, and 18997.6. Penalties shall be calculated based on the factors set out in section 18997.3 and may be as much as \$10,000 per day per violation for the duration of the violation(s).
- 5.1 Penalty Procedures. Penalty, if any, shall be imposed in accordance with the procedures and methodology set out in PRC section 42652.5 and Title 14 CCR sections 18997.3, 18997.5, and 18997.6. Regulatory violations that are considered “minor,” meaning they involve violations constituting minimal deviation from regulations, shall be subject to penalties of no less than five hundred dollars (\$500) per violation and no more than four thousand dollars (\$4,000) per violation per day; “moderate” violations are subject to no less than four thousand dollars (\$4,000) per violation and shall be no more than seven thousand five hundred dollars (\$7,500) per violation per day; and “major” violations, are subject to penalties no less than seven thousand five hundred dollars (\$7,500) per violation per day and no more than ten thousand dollars (\$10,000) per violation per day. The following types of deviations are deemed to be “major” under the regulations for the purposes of assessing penalties:
- a. Failure to have any ordinance or similarly enforceable mechanism for organic waste disposal reduction and edible food recovery.
 - b. Failure to have a provision in a contract, agreement, or other authorization that requires a hauler to comply with the requirements the Regulations.
 - c. Failure to have an edible food recovery program.
 - d. Failure to have any Implementation Record.
 - e. Implementation or enforcement of an ordinance, policy, procedure, condition, or initiative that is prohibited under Title 14 CCR sections 18990.1 or 18990.2.
 - f. Failure to submit the reports required in Title 14 CCR sections 18994.1 and 18994.2.

EFFECTIVE DATE

6. Issuance. This Corrective Action Plan is final and effective from the date of issuance.

6.1 Date of Issuance March 29, 2023

Signature on file

Mark de Bie, Deputy Director
Waste Permitting, Compliance and Mitigation Division
Department of Resources Recycling and Recovery

FIRST ADDENDUM TO CORRECTIVE ACTION PLAN NO. 1425.SLCP.CAP.2023.3

San Joaquin – Unincorporated Amendment of Timelines in Corrective Action Plan No. 1425.SLCP.CAP.2023.3

On May 30, 2023, San Joaquin – Unincorporated requested an amendment to part of its timeline for Corrective Action Plan No. 1425.SLCP.CAP.2023.3. San Joaquin - Unincorporated only requested a change in the timelines, not a change in the task requirements. The justification provided for the timeline amendments is included in the attached request.

Requested Changes to Timelines:

Task #	Original Date	Amended Date
1	7/3/2023	9/5/2023
2	10/31/2023	12/12/2023

San Joaquin – Unincorporated’s request for timeline amendment shows good cause and provides a reasonable alternate timeline for completion. The impacted tasks do not affect the final completion date of the Corrective Action Plan. Therefore, the request is approved. The amendment will be included as an addendum to the March 29, 2023 Corrective Action Plan No. 1425.SLCP.CAP.2023.3.

Dated: June 5, 2023

Signature on file

Mark de Bie, Deputy Director
Waste Permitting, Compliance and Mitigation Division
Department of Resources Recycling and Recovery

Attachment 1: San Joaquin - Unincorporated’s Timeline Amendment Request

SECOND ADDENDUM TO CORRECTIVE ACTION PLAN NO. 1425.SLCP.CAP. 2023.3

San Joaquin – Unincorporated Amendment of Timelines in Corrective Action Plan No. 1425.SLCP.CAP.2023.3

On August 25, 2023, San Joaquin – Unincorporated requested an amendment to part of their timelines for Corrective Action Plan No. 1425.SLCP.CAP.2023.3. San Joaquin – Unincorporated only requested a change in the timelines, not a change in the task requirements. The justifications provided for the timeline amendments are included in the attached request.

Requested Changes to Timelines:

Task #	Original Date	Amended Date
1	9/5/2023	3/1/2024
2	12/12/2023	3/1/2024
3	1/1/2024	3/1/2024
4	1/1/2024	3/1/2024
5	1/1/2024	3/1/2024
8	2/1/2024	3/1/2024
10	2/1/2024	3/1/2024
14	1/1/2024	3/1/2024
15	1/1/2024	3/1/2024
17	1/1/2024	3/1/2024
18	1/1/2024	3/1/2024
19	1/1/2024	3/1/2024
22	10/31/2024	3/1/2024
23	1/1/2024	3/1/2024
24	1/1/2024	3/1/2024
25	1/1/2024	3/1/2024
26	1/1/2024	3/1/2024
27	1/1/2024	3/1/2024
28	1/1/2024	3/1/2024
29	1/1/2024	3/1/2024
30	1/1/2024	3/1/2024
32	1/1/2024	3/1/2024
33	2/1/2024	3/1/2024
40	2/1/2024	3/1/2024
43	1/1/2024	3/1/2024

San Joaquin - Unincorporated's request for timeline amendments shows good cause and provides reasonable alternate timelines for completion. The impacted tasks do not affect the final completion date of the Corrective Action Plan. Therefore, the request is approved. The amendment will be included as a second addendum to the March 29, 2023 Corrective Action Plan No. 1425.SLCP.CAP.2023.3.

Dated: September 29, 2023

Signature on file

Mark de Bie, Deputy Director
Waste Permitting, Compliance and Mitigation Division
Department of Resources Recycling and Recovery

Attachment 1: San Joaquin – Unincorporated's Timeline Amendment Request (Second Addendum)

THIRD ADDENDUM TO CORRECTIVE ACTION PLAN NO. 1425.SLCP.CAP.2023.3

San Joaquin – Unincorporated Amendment of Tasks and Timelines in Corrective Action Plan No. 1425.SLCP.CAP.2023.3

On February 29, 2024, San Joaquin – Unincorporated (Jurisdiction) requested an amendment to part of its tasks and timelines for Corrective Action Plan No. 1425.SLCP.CAP.2023.3. The justification provided for the timeline amendments and task requirements is included in the attached request.

Requested Changes to Tasks and Timelines:

Task #	Original Task Language	Proposed Task Language	Original Date	First Amended Date	Second Amended Date	Third Amended Date
1	The Jurisdiction will establish contract amendment(s) with organic waste collection service providers.	N/A	7/3/2023	9/5/2023	3/1/2024	9/10/2024
2	The Jurisdiction's Board will approve the contract amendment(s).	The Jurisdiction's Board will approve the contract amendment(s). a) The Jurisdiction will go to Board to approve Prop 218 process, Public Hearing and contract approval at November 2024 meeting.	10/31/2023	12/12/2023	3/1/2024	11/12/2024
3	The Jurisdiction will require and verify the haulers will purchase additional	The Jurisdiction will require and verify the haulers will purchase additional organic waste and	1/1/2024	N/A	3/1/2024	3/31/2025

	organic waste and recycling collection containers, as necessary, for its specific collection programs.	recycling collection containers, as necessary, for its specific collection programs. a) Haulers will order containers after contract(s) are approved. b) The Jurisdiction will require haulers begin roll out of compliant collection systems.				
4	The Jurisdiction will require and verify the haulers will distribute organics recycling collection containers to all residential customers currently without service.	N/A	1/1/2024	N/A	3/1/2024	3/31/2025
5	The Jurisdiction will provide three-container or two-container waste collection service to all residential customers that are not subject to an exemption or waiver from such service under 14 California Code of Regulations (CCR) sections 18984.11, 18984.12, or	N/A	1/1/2024	N/A	3/1/2024	3/31/2025

	other provision of the Regulations, or are not an authorized self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of Section 18988.3.					
6	The Jurisdiction will require and verify the haulers will distribute organics recycling collection containers to all commercial customers currently without service.	<p>The Jurisdiction will require and verify the haulers will distribute organics recycling collection containers to all commercial customers currently without service.</p> <p>a) The Jurisdiction has compiled a list of businesses and will conduct inspections to determine if waiver is applicable or require appropriate level of services.</p> <p>b) The Jurisdiction will issue waivers or inform respective hauler which commercial generators do not have a waiver.</p>	3/1/2024	N/A	N/A	1/1/2025
7	The Jurisdiction will provide three-container or two-container	N/A	3/1/2024	N/A	N/A	1/1/2025

	waste collection service to all commercial customers that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11, 18984.12, or other provision of the Regulations, or are not an authorized self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of Section 18988.3.					
8	<p>The Jurisdiction will establish a system for documenting organic waste collection services for recordkeeping and reporting.</p> <p>The Jurisdiction will include the following information and documents in the Implementation Record:</p> <ol style="list-style-type: none"> 1. A description of which collection method(s) it will use to comply. 	<p>The Jurisdiction will establish a system for documenting organic waste collection services for recordkeeping and reporting.</p> <ol style="list-style-type: none"> a. The Jurisdiction will establish the commercial recordkeeping system. b. The Jurisdiction will establish the residential recordkeeping system. <p>The Jurisdiction will include the following information and documents in the</p>	2/1/2024	N/A	3/1/2024	3/31/2025

	<p>2. The geographical area for each collection method.</p> <p>3. If using a service that requires the contents of containers provided by the Jurisdiction to be transported to a high diversion organic waste processing facility, the Jurisdiction shall at a minimum:</p> <ul style="list-style-type: none"> • List all high diversion organic waste processing facilities used by the Jurisdiction. • List all approved haulers in the Jurisdiction that are allowed to take organic waste to the Jurisdiction's identified high diversion organic waste processing facility or facilities. • The geographical area the 	<p>Implementation Record:</p> <ol style="list-style-type: none"> 1. A description of which collection method(s) it will use to comply. 2. The geographical area for each collection method. 3. If using a service that requires the contents of containers provided by the Jurisdiction to be transported to a high diversion organic waste processing facility, the Jurisdiction shall at a minimum: <ul style="list-style-type: none"> • List all high diversion organic waste processing facilities used by the Jurisdiction. • List all approved haulers in the Jurisdiction that are allowed to take organic waste to the Jurisdiction's identified high diversion organic waste processing facility or facilities. • The geographical area the 				
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	<p>hauler(s) serves, the routes serviced, or a list of addresses served.</p> <p>4. If allowing compostable plastics to be placed in the green container, a copy of written notification received from each facility serving the Jurisdiction indicating that the facility recovers that material.</p> <p>5. If allowing organic waste to be collected in plastic bags, a copy of written notification received from each facility serving the Jurisdiction indicating that the facility can process and remove plastic bags when it recovers source separated organic waste.</p>	<p>hauler(s) serves, the routes serviced, or a list of addresses served.</p> <p>4. If allowing compostable plastics to be placed in the green container, a copy of written notification received from each facility serving the Jurisdiction indicating that the facility recovers that material.</p> <p>5. If allowing organic waste to be collected in plastic bags, a copy of written notification received from each facility serving the Jurisdiction indicating that the facility can process and remove plastic bags when it recovers source separated organic waste.</p>				
9	The Jurisdiction will perform site visits or phone	N/A	2/1/2024	N/A	N/A	2/1/2025

	<p>calls periodically to verify and evaluate whether businesses opting to self-haul organics are doing so.</p> <ul style="list-style-type: none"> • The Jurisdiction will document implementation 					
12	<p>The Jurisdiction will establish a system for notifying generators of violations.</p> <p>Minimum requirements - Route reviews:</p> <ul style="list-style-type: none"> • The contamination notice will, at a minimum, include information regarding the generator's requirement to properly separate materials into the appropriate containers. • The contamination notice may be left on the generator's container, gate, or door at the time the violation occurs, and/or be mailed, e- 	<p>The Jurisdiction will establish a system for notifying generators of violations.</p> <p>a. The Jurisdiction will establish the commercial violation notification system by 12/31/2024.</p> <p>b. The Jurisdiction will establish the residential violation notification system by 3/31/2025.</p> <p>Minimum requirements - Route reviews:</p> <ul style="list-style-type: none"> • The contamination notice will, at a minimum, include information regarding the generator's requirement to properly separate materials into the 	3/1/2024	N/A	N/A	3/31/2025

	mailed, or electronically messaged to the generator.	<p>appropriate containers.</p> <ul style="list-style-type: none"> The contamination notice may be left on the generator's container, gate, or door at the time the violation occurs, and/or be mailed, e-mailed, or electronically messaged to the generator. 				
13	The Jurisdiction will perform container contamination minimization for all routes that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11 and 18984.12 (a) and (c), or are not an authorized self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of Section 18988.3.	<p>The Jurisdiction will perform container contamination minimization for all routes that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11 and 18984.12 (a) and (c), or are not an authorized self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of Section 18988.3.</p> <p>a. The Jurisdiction will perform commercial container contamination minimization for all routes that are not subject to an exemption or waiver from such service.</p>	3/1/2024	N/A	N/A	4/30/2025

		b. The Jurisdiction will perform residential container contamination minimization for all routes that are not subject to an exemption or waiver from such service.				
14	The Jurisdiction will document the methods used to monitor container contaminants.	<p>The Jurisdiction will document the methods used to monitor container contaminants.</p> <p>a. The Jurisdiction will document the methods used to monitor container contaminants for commercial generators.</p> <p>b. The Jurisdiction will document the methods used to monitor container contaminants for residential generators.</p>	1/1/2024	N/A	3/1/2024	3/31/2025
15	The Jurisdiction will establish a system for documenting route reviews and/or waste evaluations conducted and tracking the violations for recordkeeping and reporting.	The Jurisdiction will establish a system for documenting route reviews and/or waste evaluations conducted and tracking the violations for recordkeeping and reporting.	1/1/2024	N/A	3/1/2024	3/31/2025

	<p>The Jurisdiction will include the following information and documents in the Implementation Record:</p> <ol style="list-style-type: none"> 1. A description of the Jurisdiction's process for determining the level of container contamination. 2. Documentation of route reviews conducted, if applicable. 3. If applicable, documentation of waste evaluations performed, including information on targeted route reviews conducted as a result of the studies. The documentation will at a minimum include dates of the studies, the location of the solid waste facility where the study was performed, routes, source sector (e.g., commercial or residential), 	<ol style="list-style-type: none"> a. The Jurisdiction will establish a system for documenting route reviews conducted and tracking the violations for commercial generators. b. The Jurisdiction will establish a system for documenting route reviews conducted and tracking the violations for residential generators. <p>The Jurisdiction will include the following information and documents in the Implementation Record:</p> <ol style="list-style-type: none"> 1. A description of the Jurisdiction's process for determining the level of container contamination. 2. Documentation of route reviews conducted, if applicable. 3. If applicable, documentation of waste evaluations performed, including information on targeted route reviews 				
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	<p>number of samples, weights and ratio of prohibited container contaminants and total sample size.</p> <p>4. Copies of all notices issued to generators with prohibited container contaminants.</p> <p>5. Documentation of the number of containers where the contents were disposed due to observation of prohibited container contaminants.</p>	<p>conducted as a result of the studies. The documentation will at a minimum include dates of the studies, the location of the solid waste facility where the study was performed, routes, source sector (e.g., commercial or residential), number of samples, weights and ratio of prohibited container contaminants and total sample size.</p> <p>4. Copies of all notices issued to generators with prohibited container contaminants.</p> <p>5. Documentation of the number of containers where the contents were disposed due to observation of prohibited container contaminants.</p>				
16	<p>The Jurisdiction will begin documenting contamination minimization efforts and tracking number of violations.</p>	<p>The Jurisdiction will begin documenting contamination minimization efforts and tracking number of violations.</p>	3/1/2024	N/A	N/A	4/1/2025

		<p>a. The Jurisdiction will begin documenting contamination minimization efforts and tracking number of violations for commercial generators.</p> <p>b. The Jurisdiction will begin documenting contamination minimization efforts and tracking number of violations for residential generators.</p>				
17	<p>If purchasing new containers, the Jurisdiction will require and verify the haulers will provide containers for collection services to generators that comply with the container color requirements specified in Article 3 of the Regulations.</p>	N/A	1/1/2024	N/A	3/1/2024	3/31/2025
18	<p>The Jurisdiction will develop labeling or use model labeling provided by CalRecycle for use on containers.</p> <p>1. Labels on containers</p>	<p>The Jurisdiction will develop labeling or use model labeling provided by CalRecycle for use on containers.</p> <p>a. The Jurisdiction will develop labeling or use</p>	1/1/2024	N/A	3/1/2024	3/31/2025

	<p>must include language or graphic images or both indicating the primary materials accepted and the primary materials prohibited in that container, OR</p> <p>2. Containers must include imprinted text or graphic images indicating the primary materials accepted and the primary materials prohibited in that container.</p>	<p>model labeling provided by CalRecycle for use on containers for commercial generators.</p> <p>b. The Jurisdiction will develop labeling or use model labeling provided by CalRecycle for use on containers for residential generators.</p> <p>1. Labels on containers must include language or graphic images or both indicating the primary materials accepted and the primary materials prohibited in that container, OR</p> <p>2. Containers must include imprinted text or graphic images indicating the primary materials accepted and the primary materials prohibited in that container.</p>				
19	The Jurisdiction will require and verify the haulers will label each new container or lid provided to generators.	The Jurisdiction will require and verify the haulers will label each new container or lid provided to generators.	1/1/2024	N/A	3/1/2024	3/31/2025

	<ul style="list-style-type: none"> Labels will be consistent with the applicable container collection requirements specifying what materials are allowed to be placed in each container. 	<ol style="list-style-type: none"> The Jurisdiction will require and verify the haulers will label each new container or lid provided to commercial generators. The Jurisdiction will require and verify the haulers will label each new container or lid provided to residential generators. <ul style="list-style-type: none"> Labels will be consistent with the applicable container collection requirements specifying what materials are allowed to be placed in each container. 				
22	The Jurisdiction will contract with haulers to implement education and outreach requirements.	N/A	10/31/2024	N/A	3/1/2024	3/31/2025
23	<p>The Jurisdiction will provide organic waste recovery education and outreach to residential and commercial generators.</p> <ul style="list-style-type: none"> Information on the organic 	<p>The Jurisdiction will provide organic waste recovery education and outreach to residential and commercial generators.</p> <ol style="list-style-type: none"> The Jurisdiction will provide 	1/1/2024	N/A	3/1/2024	3/31/2025

	<p>waste generator's requirements to properly separate materials in appropriate containers pursuant to Chapter 12 of the Regulations.</p> <ul style="list-style-type: none"> • Information on methods for: the prevention of organic waste generation, recycling organic waste on-site, sending organic waste to community composting, and any other local requirements regarding organic waste. • Information regarding the methane reduction benefits of reducing the landfill disposal of organic waste, and the methods of organic waste recovery the organic waste collection service uses. • Information regarding how to recover organic waste and a list of 	<p>organic waste recovery education and outreach to commercial generators.</p> <p>b. The Jurisdiction will provide organic waste recovery education and outreach to residential generators.</p> <ul style="list-style-type: none"> • Information on the organic waste generator's requirements to properly separate materials in appropriate containers pursuant to Chapter 12 of the Regulations. • Information on methods for: the prevention of organic waste generation, recycling organic waste on-site, sending organic waste to community composting, and any other local requirements regarding organic waste. • Information regarding the methane reduction benefits of reducing the 				
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	<p>approved haulers.</p> <ul style="list-style-type: none"> • Information related to the public health and safety and environmental impacts associated with the landfill disposal of organic waste. • Information regarding programs for the donation of edible food. • If a jurisdiction allows generators subject to its authority to self-haul organic waste pursuant to Section 18988.1, information regarding self-hauling requirements will be included in education and outreach material. 	<p>landfill disposal of organic waste, and the methods of organic waste recovery the organic waste collection service uses.</p> <ul style="list-style-type: none"> • Information regarding how to recover organic waste and a list of approved haulers. • Information related to the public health and safety and environmental impacts associated with the landfill disposal of organic waste. • Information regarding programs for the donation of edible food. • If a jurisdiction allows generators subject to its authority to self-haul organic waste pursuant to Section 18988.1, information regarding self-hauling requirements will be included in education and outreach material. 				
24	The Jurisdiction will post the education information on its	The Jurisdiction will post the education information on its website and update	1/1/2024	N/A	3/1/2024	3/31/2025

	<p>website and update as needed. The information will be specific to the Jurisdiction's residential and commercial programs, provide compliance options, contact information for the franchise haulers, edible food recovery programs.</p>	<p>as needed. The information will be specific to the Jurisdiction's residential and commercial programs, provide compliance options, contact information for the franchise haulers, edible food recovery programs.</p> <p>a. The Jurisdiction will post the commercial education information on its website and update as needed.</p> <p>b. The Jurisdiction will post the residential education information on its website and update as needed.</p>				
25	<p>The Jurisdiction will require and verify the haulers will post and maintain organic waste recovery information and edible food donation program information on its websites.</p>	N/A	1/1/2024	N/A	3/1/2024	3/31/2025
26	<p>The Jurisdiction will send letters to covered residential and commercial</p>	N/A	1/1/2024	N/A	3/1/2024	2/1/2025

	generators providing organic waste recovery information.					
27	The Jurisdiction will mail a program flyer/brochure/letter to all residential/commercial generators to provide education on organics and explain the Jurisdiction's program including types of organic materials accepted and the franchise haulers' contact information.	N/A	1/1/2024	N/A	3/1/2024	2/1/2025
28	The Jurisdiction will provide outreach and education to organic waste generators that are provided organic waste collection services or self-haul within the Jurisdiction, that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11 and 18984.12 (a) and (c). (Note: A jurisdiction may still have a separate	N/A	1/1/2024	N/A	3/1/2024	2/1/2025

	obligation under the Mandatory Commercial Recycling [MCR] and Mandatory Organics Recycling [MORe] laws— Public Resources Code [PRC] sections 42649.3 [d] and 42649.82 [d][1][C] – that is separate and independent of SB 1383, the SB 1383 Regulations, and this plan.)					
29	The Jurisdiction or its designee will translate the following educational materials into Spanish: flyer, letter.	N/A	1/1/2024	N/A	3/1/2024	2/1/2025
30	The Jurisdiction will post the translated educational materials.	N/A	1/1/2024	N/A	3/1/2024	2/1/2025
32	The Jurisdiction will begin tracking and compiling documentation in the Implementation Record and will include at a minimum: <ul style="list-style-type: none"> Copies of the information provided to comply with 	N/A	1/1/2024	N/A	3/1/2024	2/1/2025

	<p>Article 4 of the Regulations, including flyers, brochures, newsletters, invoice messaging, and website and social media postings.</p> <ul style="list-style-type: none">• The date, and to whom the information was disseminated, or direct contact made. If a jurisdiction provides mass distribution through mailings, or bill inserts, it will provide the date, a copy of the information, and the type and number of accounts receiving the information.• If the requirements of Article 4 of the Regulations were met solely through electronic media, the record will include a copy, with dates posted, of social media posts, e-mails					
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	<p>or other electronic messages.</p> <ul style="list-style-type: none"> • If a jurisdiction relies on a designee to comply with this section, it will include a copy of the materials distributed by the designee. 					
33	The Jurisdiction will relocate information to new Implementation Record System.	N/A	2/1/2024	N/A	3/1/2024	2/1/2025
37	The Jurisdiction will require and verify the haulers providing organic waste collection services will meet the requirements.	N/A	3/1/2024	N/A	N/A	3/31/2025
39	The Jurisdiction will relocate information to new Implementation Record System.	N/A	2/1/2024	N/A	N/A	3/31/2025
40	<p>The Jurisdiction will begin tracking and compiling documentation in the Implementation Record and will include at a minimum:</p> <ul style="list-style-type: none"> • Ordinances, contracts, 	N/A	2/1/2024	N/A	3/1/2024	3/31/2025

	<p>franchise agreements, policies, procedures, or programs relevant to this section.</p> <ul style="list-style-type: none">• A description of the Jurisdiction's hauler program including:<ul style="list-style-type: none">o Type(s) of hauler system(s) the Jurisdiction uses.o Type(s) and condition(s) of approvals per type of hauler, and criteria for approvals, denials and revocations.o The process for issuing, revoking, and denying written approvals. Any requirements associated with self-hauling and back-hauling.• A record of hauler compliance with local ordinance(s) and the requirements of					
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	<p>Article 7 of the Regulations including the following information:</p> <ul style="list-style-type: none"> o Copies of all reports required from haulers. o Copies of all written approvals, denials, and revocations. • All records will include the date of action, the name of the hauler, and the type of the action taken by the Jurisdiction. 					
41	<p>The Jurisdiction will complete a compliance review of all solid waste accounts for commercial businesses subject to its authority and that generate two cubic yards or more per week of solid waste, including organic waste.</p> <p>The Jurisdiction will determine compliance with:</p> <ul style="list-style-type: none"> • Organic waste generator requirements set forth in 	N/A	3/1/2024	N/A	N/A	2/1/2025

	<p>Section 18984.9(a)</p> <ul style="list-style-type: none"> • Self-haul requirements set forth in Section 18988.3, including whether a business is complying through back-hauling organic waste. 					
42	<p>The Jurisdiction will conduct annual route reviews of commercial businesses and residential generators for compliance with organic waste generator requirements and container contamination requirements.</p>	N/A	3/1/2024	N/A	N/A	4/1/2025
43	<p>The Jurisdiction will develop an inspection and enforcement program to ensure overall compliance with Regulations.</p>	N/A	1/1/2024	N/A	3/1/2024	3/1/2025
44	<p>The Jurisdiction will begin implementing the inspection program.</p>	N/A	3/1/2024	N/A	N/A	4/1/2025
45	<p>The Jurisdiction will begin implementing the</p>	N/A	3/1/2024	N/A	N/A	4/1/2025

	enforcement program.					
46	The Jurisdiction will develop a process to investigate complaints received to ensure overall compliance with Regulations.	N/A	3/1/2024	N/A	N/A	3/1/2025
47	The Jurisdiction will create educational materials regarding inspections and violations.	N/A	3/1/2024	N/A	N/A	1/1/2025
48	The Jurisdiction will provide educational material describing the applicable requirements of the chapter in response to violations.	N/A	3/1/2024	N/A	N/A	1/1/2025
50	The Jurisdiction will relocate information to new Implementation Record System.	N/A	2/1/2024	N/A	N/A	4/1/2025
51	The Jurisdiction will begin tracking and compiling documentation. The Implementation Record will be stored in one central location, physical or electronic, that	N/A	3/1/2024	N/A	N/A	4/1/2025

	<p>can be readily accessed by CalRecycle.</p> <p>All records and information will be included in the Implementation Record within 60 days of the creation of the record or information. All records will be retained by the Jurisdiction for five years.</p> <p>Include all documents supporting compliance in the implementation record including, but not limited to, the following:</p> <ul style="list-style-type: none">• A copy of all ordinances or other similarly enforceable mechanisms, contracts, and agreements, as required by Chapter 12 of the Regulations.• A written description of the Jurisdiction's inspection and enforcement program that it uses to comply					
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	<p>with sections 18995.1 and 18995.4.</p> <ul style="list-style-type: none">• All organic waste collection service records required by Section 18984.4.• All contamination minimization records required by Section 18984.6.• All waiver and exemption records required by Section 18984.14.• All education and outreach records required by Section 18985.3.• All hauler program records required by Section 18988.4.• All jurisdiction edible food recovery program records required by Section 18991.2.• All recovered organic waste procurement					
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	<p>target records required by Section 18993.2.</p> <ul style="list-style-type: none">• All recycled content paper procurement records required by Section 18993.4.• All inspection, route review, and compliance review documents generated pursuant to the requirements of Section 18995.1(d).• All records of enforcement actions undertaken pursuant to Chapter 12 of the Regulations.• All records of complaints and investigations of complaints required by Section 18995.3 and compliance with the Jurisdiction's inspection and enforcement requirements of Section 18995.1.					
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The Jurisdiction's request for task and timeline amendments shows good cause and provides reasonable alternate timelines for completion. The impacted tasks affect the final completion date of the Corrective Action Plan (CAP). Therefore, Sections 3.d, 3.f, and 3.i will need to be changed to reflect the new final completion date of the CAP.

See below for the changes made to Sections 3.d, 3.f, and 3.i.

SCHEDULE FOR COMPLIANCE

3. Based on the foregoing DETERMINATION OF VIOLATIONS, CalRecycle finds that:
 - a. The Jurisdiction worked with CalRecycle to develop this CAP, which includes schedule of actions necessary for the Jurisdiction to address the violations disclosed in the Notification and described in Section 2 (Determination of Violations).
 - b. CalRecycle hereby approves and issues this CAP pursuant to PRC Section 42652.5(c)-(e) and 14 CCR Section 18996.2.
 - c. The Jurisdiction will fully implement the programs identified in this CAP by the dates provided in the Section 3.2.
 - d. CalRecycle will monitor the Jurisdiction's continued implementation of the programs identified in the CAP from March 29, 2023 through May 31, 2025 ("the oversight period") to assure implementation is complete and timely. The oversight period may be extended at the discretion of CalRecycle if any extensions to the Schedule are granted as described in Sections 4.4 and 4.5.
 - e. During the oversight period, the Jurisdiction will submit status reports to CalRecycle and attend status meetings with CalRecycle to demonstrate the ongoing progress the Jurisdiction is making on addressing the violations described above.
 - f. During the oversight period, the Jurisdiction will provide bimonthly status reports to CalRecycle:

Report Cycle

Report 1: May 31, 2023, covering March 1, 2023 – April 30, 2023

Report 2: July 31, 2023, covering May 1, 2023 – June 30, 2023

Report 3: September 30, 2023, covering July 1, 2023 – August 31, 2023

Report 4: November 30, 2023, covering September 1, 2023 – October 31, 2023

Report 5: January 31, 2024, covering November 1, 2023 – December 31, 2023

Report 6: March 31, 2024, covering January 1, 2024 – March 1, 2024

Report 7: May 31, 2024, covering March 1, 2024 – April 30, 2024

Report 8: July 31, 2024, covering May 1, 2024 – June 30, 2024

Report 9: September 30, 2024, covering July 1, 2024 – August 31, 2024

Report 10: November 30, 2024, covering September 1, 2024 – October 31, 2024

Report 11: January 31, 2025, covering November 1, 2024 – December 31, 2024

Report 12: March 31, 2025, covering January 1, 2025 – February 28, 2025

Report 13: May 31, 2025, covering March 1, 2025 – April 30, 2025

- g. The status reports are to be used to document the status and work completed for each of the identified tasks in the CAP. For each task in the CAP, the Jurisdiction will explain the status of the task, specifying what actions have been taken to complete the task.
- h. If the work has been delayed or has not been completed, the Jurisdiction will explain the reason(s) for the delay/incompletion. The Jurisdiction will also attach any previously submitted extension requests made pursuant to Section 4.4 of this CAP and any extensions granted pursuant to Section 4.5 of this CAP, using additional sheets as necessary for the report. CalRecycle may consider the explanation in deciding whether to allow the Jurisdiction to continue to operate under the Notification and CAP or to revoke approval of the Notification and CAP pursuant to PRC Section 42652.5(d).
- i. During the oversight period, the Jurisdiction will meet with CalRecycle telephonically or via other remote electronic means (such as Teams or Zoom) bimonthly to provide interim progress updates on the following schedule:

Meeting Cycle

Meeting 1: During April 2023

Meeting 2: During June 2023

Meeting 3: During August 2023

Meeting 4: During October 2023

Meeting 5: During December 2023

Meeting 6: During February 2024

Meeting 7: During April 2024

Meeting 8: During June 2024

Meeting 9: During August 2024

Meeting 10: During October 2024

Meeting 11: During December 2024

Meeting 12: During February 2025

Meeting 13: During April 2025

- j. The status meetings are to be used to discuss the most recent status report and to discuss interim progress made by the Jurisdiction on each of the identified tasks in the CAP.
- k. Prior to the close of the oversight period, CalRecycle may undertake a compliance review or field visit to supplement other status reporting.
- l. At any time prior to the conclusion of the oversight period, if CalRecycle determines the Jurisdiction has failed to implement the programs identified

in the Notification and CAP, has failed to participate in status reporting during the oversight period, has substantially misrepresented its progress in status reporting, or has substantially failed to make interim progress on Tasks set out in Section 3.2 for four (4) consecutive reporting periods, CalRecycle may immediately issue a notice revoking its approval of the Notification and CAP and indicating its intent to impose penalties as provided in PRC Section 42652.5., 14 CCR sections 18997.3, 18997.5, and 18997.6 and PRC Section 42652.5 governs the process and considerations CalRecycle will utilize in calculating and assessing administrative civil penalties against the Jurisdiction, which may be up to \$10,000 per day per violation.

- 3.1 Based on the foregoing DETERMINATION OF VIOLATIONS, it is hereby ordered that the Jurisdiction will implement the actions described in accordance with the compliance deadlines contained in the schedule of actions to address violations as set forth in Section 3.2.

The request is approved. The amendment will be included as a third addendum to the March 29, 2023 Corrective Action Plan No. 1425.SLCP.CAP.2023.3.

Dated: December 23, 2024

Signature on file

Mark de Bie, Deputy Director
Waste Permitting, Compliance and Mitigation Division
Department of Resources Recycling and Recovery

Attachment: San Joaquin - Unincorporated 's Tasks and Timelines Amendment Request (Third Addendum)