

STATE OF CALIFORNIA
CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY
DEPARTMENT OF RESOURCES RECYCLING AND RECOVERY

CORRECTIVE ACTION PLAN AND COMPLAINT FOR PENALTY
1149.SLCP.CAP.2023.3

Before: The State of California
 Department of Resources Recycling and Recovery
 1001 I Street
 Sacramento, CA 95814

In the Matter of: City of Compton
 205 S. Willowbrook Avenue
 Compton, CA 90220

BACKGROUND AND PURPOSE

1. The California Department of Resources Recycling and Recovery is hereinafter referred to as "CalRecycle."
- 1.1 CalRecycle, in consultation with the California Air Resources Board, adopted regulatory requirements, consistent with the mandate of Senate Bill 1383 (Lara, Chapter 395, Statutes of 2016), that are designed to achieve the organic waste reduction goals established in section 39730.6 of the Health and Safety Code through a 50 percent reduction in the level of the statewide disposal of organic waste from the 2014 level by 2020 and a 75 percent reduction in the level of the statewide disposal of organic waste from the 2014 level by 2025. The purpose of these reductions is to further the statewide effort to reduce emissions of short-lived climate pollutants (SLCP), including methane. These SLCP regulations are referred to hereinafter as the "Regulations" and can be found at Title 14 California Code of Regulations (CCR) sections 18981.1 through 18998.4. Cities, counties, and special districts are responsible for implementing these Regulations in their communities starting January 1, 2022.
- 1.2 The City of Compton is hereinafter referred to as "Jurisdiction." Jurisdiction is required to comply with the Regulations.
- 1.3 Jurisdiction is or expects to be facing continuing violations of the Regulations commencing during the 2022 calendar year, which could result in significant administrative civil penalties under the Regulations.
- 1.4 Senate Bill 619 (Laird, Chapter 508, Statutes of 2021), through amendments to section 42652.5 of the Public Resources Code (PRC), created a mechanism called

a Notification of Intent to Comply through which a local jurisdiction may secure administrative civil penalty relief from any continuing violations of the Regulations for the 2022 calendar year and may be eligible for a broader and longer-term regulatory compliance path, including suspended administrative civil penalties, through a Corrective Action Plan.

- 1.5 Under PRC section 42652.5(e), the Notification of Intent to Comply must include a description of proposed actions to remedy the violations, as well as a proposed schedule for those actions, that the Jurisdiction commits to undertake to remedy the violations.
- 1.6 The Notification of Intent to Comply is hereinafter referred to as "Notification."
- 1.7 For violations taking more than 180 days to correct, PRC section 42652.2 allows CalRecycle to determine, in its sole discretion, that violations identified in a Notification may be addressed through a Corrective Action Plan issued pursuant to 14 CCR section 18996.2.
- 1.8 The Corrective Action Plan is hereinafter referred to as "CAP."
- 1.9 For violations disclosed in the Notification approved by CalRecycle as meeting the requirements of PRC section 42652.5(e), CalRecycle shall waive administrative civil penalties during the 2022 calendar year if the Jurisdiction implements the proposed actions according to the approved Notification's schedule of actions and, as applicable, approved CAP schedule of actions.
- 1.10 For violations disclosed in the Notification that commence during the 2022 calendar year and continue into the 2023 calendar year, administrative civil penalties may begin accruing as of January 1, 2023. SB 619 provides administrative civil penalties accruing on and after January 1, 2023, shall be waived upon complete compliance with an approved CAP schedule of action.

DETERMINATION OF VIOLATIONS

2. As authorized by PRC section 42652.5(c), the Jurisdiction notified CalRecycle of its ongoing or anticipated violations of the Regulations and the PRC through a Notification submitted February 23, 2022.
- 2.1 CalRecycle reviewed the Jurisdiction's Notification, its description of the Jurisdiction's disclosed violations of the Regulations, and proposed actions and schedule for those actions to remedy those violations for compliance with PRC section 42652.5(e). CalRecycle approved the Notification's proposed actions and schedule of actions, as modified by this CAP.

2.2 The Jurisdiction's Notification identified the following violations of the Regulations as follows:

- 2.2.1 The Jurisdiction is and has been in violation of 14 CCR section 18984.1 in that since January 1, 2022, the Jurisdiction has not provided three-container organic waste collection service to all commercial generators and/or has not included food scrap collection service in the three-container collection system provided to all residential and commercial generators.
- 2.2.2 The Jurisdiction is and has been in violation of 14 CCR section 18984.4 in that since January 1, 2022, the Jurisdiction has not met recordkeeping requirements for compliance with Organic Waste Collection Services.
- 2.2.3 The Jurisdiction is and has been in violation of 14 CCR section 18984.5 in that since January 1, 2022, the Jurisdiction has not conducted container contamination minimization for the residential or commercial sector.
- 2.2.4 The Jurisdiction is and has been in violation of 14 CCR section 18984.6 in that since January 1, 2022, the Jurisdiction has not met recordkeeping requirements for compliance with container contamination minimization.
- 2.2.5 The Jurisdiction is and has been in violation of 14 CCR section 18984.7 in that since January 1, 2022, the Jurisdiction has not distributed new containers meeting the container color requirements.
- 2.2.6 The Jurisdiction is and has been in violation of 14 CCR section 18984.8 in that since January 1, 2022, the Jurisdiction has not distributed new containers with proper container labeling.
- 2.2.7 The Jurisdiction is and has been in violation of 14 CCR section 18984.11 in that since January 1, 2022, the Jurisdiction has not verified each waived generator is eligible for a waiver.
- 2.2.8 The Jurisdiction is and has been in violation of 14 CCR section 18985.1 in that since February 1, 2022, the Jurisdiction has not provided education and outreach to organic waste generators that are provided an organic waste collection service, including self-haulers, or the information provided did not comply with the requirements.
- 2.2.9 The Jurisdiction is and has been in violation of 14 CCR section 18985.2 in that since February 1, 2022, the Jurisdiction has not developed a list of food recovery organizations and food recovery services operating within the Jurisdiction or maintained the list on the Jurisdiction's website.
- 2.2.10 The Jurisdiction is and has been in violation of 14 CCR section 18985.2 in that since February 1, 2022, the Jurisdiction has not provided education and outreach to commercial edible food generators, or the information provided did not comply with the requirements.
- 2.2.11 The Jurisdiction is and has been in violation of 14 CCR, section 18985.3 in that since January 1, 2022, the Jurisdiction has not included all relevant documents supporting compliance with Article 4 (Education and Outreach) of

the Regulations in the Implementation Record as required by section 18995.2.

- 2.2.12 The Jurisdiction is and has been in violation of 14 CCR section 18988.1 in that since January 1, 2022, the Jurisdiction has not required haulers providing residential, commercial, or industrial organic waste collection services to generators within its boundaries to meet the requirements and standards of the Regulations as a condition of approval of a contract, agreement, or other authorization to collect organic waste.
- 2.2.13 The Jurisdiction is and has been in violation of 14 CCR sections 18981.2 and 18988.1 in that since January 1, 2022, the Jurisdiction allows generators to self-haul, but has not adopted an ordinance or a similarly enforceable mechanism that requires compliance with the requirements in section 18988.3 of the Regulations.
- 2.2.14 The Jurisdiction is and has been in violation of 14 CCR section 18988.4 in that since January 1, 2022, the Jurisdiction has not met recordkeeping requirements for compliance with jurisdiction hauler program.
- 2.2.15 The Jurisdiction is and has been in violation of 14 CCR sections 18981.2 and 18989.1 in that since January 1, 2022, the Jurisdiction has not adopted an ordinance or other enforceable requirement requiring compliance with the following provisions of the California Green Building Standards Code, California Code of Regulations, Title 24, Part 11 as amended July 1, 2019 and effective January 1, 2020.
- 2.2.16 The Jurisdiction is and has been in violation of 14 CCR sections 18981.2 and 18989.2 in that since January 1, 2022, the Jurisdiction has not adopted an ordinance or other enforceable requirement that requires compliance with Sections 492.6(a)(3)(B) (C), (D), and (G) of the Model Water Efficient Landscape Ordinance, Title 23, Division 2, Chapter 2.7 of the California Code of Regulations as amended September 15, 2015.
- 2.2.17 The Jurisdiction is and has been in violation of Title 14 CCR section 18991.1 in that since January 1, 2022, the Jurisdiction has not implemented an edible food recovery program that includes action to accomplish the following:
 - a. Educate commercial edible food generators as set forth in section 18985.2.
 - b. Increase commercial edible food generator access to food recovery organizations and food recovery services.
 - c. Monitor commercial edible food generator compliance as required in Article 14 of the Regulations.
 - d. Increase edible food recovery capacity if the analysis required by section 18992.2 indicates that the Jurisdiction does not have sufficient capacity to meet its edible food recovery needs.
- 2.2.18 The Jurisdiction is and has been in violation of 14 CCR section 18991.2 in that since January 1, 2022, the Jurisdiction has not included all documents

supporting compliance with section 18991.1 in the Implementation Record required by section 18995.2.

- 2.2.19 The Jurisdiction is and has been in violation of 14 CCR section 18992.1 in that since January 1, 2022, the Jurisdiction has not consulted with the Enforcement Agency and the local task force created pursuant to PRC section 40950 on the status of locations for new or expanded solid waste facilities including the potential capacity increase each facility may provide if approved.
- 2.2.20 The Jurisdiction is and has been in violation of Title 14, CCR, section 18992.1 in that since January 1, 2022, the Jurisdiction has not consulted with haulers and owners of facilities, operations, and activities that recover organic waste including, but not limited to, compost facilities, in-vessel digestion facilities, and Publicly Owned Treatment Works to gather information on the existing capacity and potential new or expanded capacity at those facilities, operations, and activities.
- 2.2.21 The Jurisdiction is and has been in violation of 14 CCR section 18992.1 in that since January 1, 2022, the Jurisdiction has not conducted community outreach regarding locations being considered for new or expanded facilities, operations, or activities to seek feedback on the benefits and impacts that may be associated with new or expanded facilities, operations, or activities in compliance with that section.
- 2.2.22 The Jurisdiction is and has been in violation of 14 CCR section 18992.1 in that since January 1, 2022, the Jurisdiction has not consulted with community composting operators to estimate the amount of organic waste the county, and the jurisdictions and regional agencies located within the county, anticipate will be handled at community composting activities.
- 2.2.23 The Jurisdiction is and has been in violation of 14 CCR section 18992.2 in that since January 1, 2022, the Jurisdiction has not estimated the amount of edible food that will be disposed by commercial edible food generators.
- 2.2.24 The Jurisdiction is and has been in violation of 14 CCR section 18992.2 in that since January 1, 2022, the Jurisdiction has not Identified existing capacity at food recovery organizations identified in section 18982(a)(25)(A)–(B) that is available to commercial edible food generators.
- 2.2.25 The Jurisdiction is and has been in violation of 14 CCR section 18992.2 in that since January 1, 2022, the Jurisdiction has not identified proposed new or expanded food recovery organizations and food recovery services that will be used to recover edible food identified pursuant to subdivision (a)(1) of that section.
- 2.2.26 The Jurisdiction is and has been in violation of 14 CCR section 18992.2 in that since January 1, 2022, Jurisdiction has not identified the amount of new or expanded capacity, at food recovery organizations and food recovery services that is necessary to recover the edible food that is estimated to be

disposed by commercial edible food generators in subdivision (a)(1) of that section.

- 2.2.27 The Jurisdiction is and has been in violation of 14 CCR section 18992.2 in that since January 1, 2022, the Jurisdiction has not consulted with food recovery organizations and food recovery services regarding existing, or proposed new and expanded, capacity that could be accessed by the Jurisdiction and its commercial edible food generators.
- 2.2.28 The Jurisdiction is and has been in violation of 14 CCR section 18993.1 in that since January 1, 2022, the Jurisdiction has not procured recovered organic waste products to meet or exceed its current annual recovered organic waste product procurement target.
- 2.2.29 The Jurisdiction is and has been in violation of 14 CCR section 18993.2 in that since January 1, 2022, the Jurisdiction has not included all documents supporting its compliance with Article 12 of the Regulations in the implementation record required by section 18995.2.
- 2.2.30 The Jurisdiction is and has been in violation of 14 CCR section 18993.3 in that since January 1, 2022, the Jurisdiction has not procured paper products, and printing and writing paper, consistent with the requirements of sections 22150-22154 of the Public Contract Code.
- 2.2.31 The Jurisdiction is and has been in violation of 14 CCR section 18993.3 in that since January 1, 2022, the Jurisdiction has not required all businesses from whom it purchases paper products and printing and writing paper to comply with the requirements of that section.
- 2.2.32 The Jurisdiction is and has been in violation of 14 CCR section 18993.4 in that since January 1, 2022, the Jurisdiction has not included all documents supporting its compliance with Article 12 of the Regulations in the implementation record required by section 18995.2.
- 2.2.33 The Jurisdiction is and has been in violation of 14 CCR section 18995.1 in that since January 1, 2022, the Jurisdiction has not established an inspection and enforcement program designed to ensure overall compliance with the Regulations.
- 2.2.34 The Jurisdiction is and has been in violation of 14 CCR section 18995.1 in that since January 1, 2022, the Jurisdiction has not conducted a compliance review of all solid waste collection accounts for commercial businesses generating two cubic yards or more of solid waste per week, including organic waste.

The Jurisdiction shall also determine compliance with:

- a. Organic waste generator requirements set forth in section 18984.9(a).
- b. Self-hauling requirements pursuant to section 18988.3, including whether a business is complying through back-hauling organic waste.

- 2.2.35 The Jurisdiction is and has been in violation of 14 CCR section 18995.1 in that since January 1, 2022, the Jurisdiction has not conducted annual route reviews of commercial businesses and residential generators for compliance with organic waste generator requirements set forth in section 18984.9(a) and container contamination requirements set forth in section 18984.5.
- 2.2.36 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.1 in that since January 1, 2022, the Jurisdiction has not conducted inspections of Tier One commercial edible food generators and food recovery organizations and services for compliance.
- 2.2.37 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.1 in that since January 1, 2022, the Jurisdiction has not investigated complaints as required under section 18995.3.
- 2.2.38 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.1 in that since January 1, 2022, the Jurisdiction has not provided educational material describing the applicable requirements in response to violations.
- 2.2.39 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.2 in that since January 1, 2022, the Jurisdiction has not maintained all records required in the Implementation Record.
- 2.2.40 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.2 in that since January 1, 2022, the Jurisdiction's Implementation Record is not stored in one central location, physical or electronic, that can be readily accessed by CalRecycle.
- 2.2.41 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.2 in that since January 1, 2022, the Jurisdiction has not included all records and information in the Implementation Record within 60 days of the creation of the record or information.
- 2.2.42 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.2 in that since January 1, 2022, the Jurisdiction has not retained all records for five years.
- 2.2.43 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.2 in that since January 1, 2022, the Jurisdiction has not included the following in the Implementation Record:
- a. A copy of all ordinances or other similarly enforceable mechanisms, contracts, and agreements, as required by the Regulations.
 - b. A written description of the Jurisdiction's inspection and enforcement program that it uses to comply with sections 18995.1 and 18995.4.
 - c. All organic waste collection service records required by section 18984.4.
 - d. All contamination minimization records required by section 18984.6.
 - e. All waiver and exemption records required by section 18984.14.
 - f. All education and outreach records required by section 18985.3.
 - g. All hauler program records required by section 18988.4.
 - h. All jurisdiction edible food recovery program records required by section 18991.2.

- i. All recovered organic waste procurement target records required by section 18993.2.
 - j. All recycled content paper procurement records required by section 18993.4.
 - k. All inspection, route review, and compliance review documents generated pursuant to the requirements of section 18995.1(d).
 - l. All records of enforcement actions undertaken pursuant to the Regulations.
 - m. All records of complaints and investigations of complaints required by section 18995.3 and compliance with the Jurisdiction's inspection and enforcement requirements of sections 18995.1.
- 2.2.44 The Jurisdiction is and has been in violation of Title 14 CCR section 18995.4 in that since January 1, 2022, the Jurisdiction has not taken enforcement action in regards to the container contaminants provisions in section 18984.5(a), through the notice provisions of section 18984.5(b).
- 2.3 CalRecycle accepted the Jurisdiction's self-reported Notification and characterization of its violations therein. CalRecycle has not undertaken a compliance review of Jurisdiction, and thus CalRecycle's approval of the Notification or this CAP should not be taken as an indication that Jurisdiction is in full compliance with Regulations in other respects. CalRecycle will be undertaking a compliance review of all jurisdictions in the future.

SCHEDULE FOR COMPLIANCE

3. Based on the foregoing DETERMINATION OF VIOLATIONS, CalRecycle finds that:
- a. The Jurisdiction worked with CalRecycle to develop this CAP, which includes schedule of actions necessary for the Jurisdiction to achieve address the violations disclosed in the Notification and described in Section 2 (Determination of Violations) above.
 - b. CalRecycle hereby approves and issues this CAP pursuant to PRC section 42652.5(c)-(e) and Title 14 CCR section 18996.2.
 - c. The Jurisdiction will fully implement the programs identified in this CAP by the dates provided in the Section 3.2 below.
 - d. CalRecycle will monitor the Jurisdiction's continued implementation of the programs identified in the CAP from March 30, 2023 through January 31, 2024 ("the oversight period") to assure implementation is complete and timely. The oversight period may be extended at the discretion of CalRecycle if any extensions to the Schedule are granted as described below in Sections 4.4 and 4.5.
 - e. During the oversight period, the Jurisdiction will submit status reports to CalRecycle and attend status meetings with CalRecycle to demonstrate the ongoing progress the Jurisdiction is making on remedying the violations described above.

- f. During the oversight period, the Jurisdiction will provide bimonthly status reports to CalRecycle:

Report Cycle

Report 1: May 31, 2023, covering March 1, 2023 – April 30, 2023

Report 2: July 31, 2023, covering May 1, 2023 – June 30, 2023

Report 3: September 30, 2023, covering July 1, 2023 – August 31, 2023

Report 4: November 30, 2023, covering September 1, 2023 – October 31, 2023

Report 5: January 31, 2024, covering November 1, 2023 – December 31, 2023

Report 6: March 31, 2024, covering January 1, 2024 – January 31, 2024

- g. The status reports are to be used to document the status and work completed for each of the identified tasks in the CAP. For each task in the CAP, the Jurisdiction will explain the status of the task, specifying what actions have been taken to complete the task.
- h. If the work has been delayed or has not been completed, the Jurisdiction will explain the reason(s) for the delay/incompletion. The Jurisdiction will also attach any previously submitted extension requests made pursuant to section 4.4 of this CAP and any extensions granted pursuant to section 4.5 of this CAP, using additional sheets as necessary for the report. CalRecycle may consider the explanation in deciding whether to allow the Jurisdiction to continue to operate under the Notification and CAP or to revoke approval of the Notification and CAP pursuant to PRC section 42652.5(d).
- i. During the oversight period, the Jurisdiction will meet with CalRecycle telephonically or via other remote electronic means (such as Teams or Zoom) bimonthly to provide interim progress updates on the following schedule:

Meeting Cycle

Meeting 1: During April 2023

Meeting 2: During June 2023

Meeting 3: During August 2023

Meeting 4: During October 2023

Meeting 5: During December 2023

Meeting 6: During February 2024

- j. The status meetings are to be used to discuss the most recent status report and to discuss interim progress made by the Jurisdiction on each of the identified tasks in the CAP.
- k. Prior to the close of the oversight period, CalRecycle may undertake a compliance review or field visit to supplement other status reporting.
- l. At any time prior to the conclusion of the oversight period, if CalRecycle determines the Jurisdiction has failed to implement the programs identified in the Notification and CAP, has failed to participate in status reporting during the oversight period, has substantially misrepresented its progress in status

reporting, or has substantially failed to make interim progress on Tasks set out in Section 3.2 for four consecutive reporting periods, CalRecycle may immediately issue a notice revoking its approval of the Notification and CAP and indicating its intent to impose penalties as provided in PRC section 42652.5. Title 14 CCR sections 18997.3, 18997.5, and 18997.6 and PRC section 42652.5 governs the process and considerations CalRecycle will utilize in calculating and assessing administrative civil penalties against the Jurisdiction, which may be up to \$10,000 per day per violation.

3.1 Based on the foregoing DETERMINATION OF VIOLATIONS, it is hereby ordered that the Jurisdiction will implement the actions described below in accordance with the compliance deadlines contained in the schedule of actions to remedy violations as set forth in Section 3.2 below.

3.2 Schedule and Program of Actions

Section 18984.1 Three-Container Organic Waste Collection Services

The Jurisdiction will begin collection of food waste for all residences.

| Task # | Description | Target Completion Date |
|---------------|---|--|
| TASK 1 | <p>The Jurisdiction will complete the Request for Proposals (RFP) process to find a hauler.</p> <ul style="list-style-type: none"> • The current contract expires 9/30/2022. • The Jurisdiction will hire a consulting firm to assist with RFP process. • RFP process expected to take 6-9 months once initiated. | <p>1/1/2023 (Task completion to be verified)</p> |
| TASK 2 | <p>The Jurisdiction will implement residential rate adjustments.</p> | <p>1/1/2023 (Task completion to be verified)</p> |
| TASK 3 | <p>The Jurisdiction will implement food waste service as part of existing green waste collection. The Jurisdiction will provide three-container waste collection service to all residential customers that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11, 18984.12, or other provision of the Regulations, or are not an authorized self-hauler subject to the Jurisdiction’s ordinance requiring compliance with the requirements of section 18988.3.</p> | <p>9/1/2023</p> |

Section 18984.1 Three-Container Organic Waste Collection Services

The Jurisdiction will provide three-container collection service to all commercial generators.

| Task # | Description | Target Completion Date |
|----------------|--|--|
| TASK 4 | The Jurisdiction will develop an enforcement ordinance or other enforceable mechanism requiring compliance with organic waste collection service and present to Council. | 11/30/2022 (Task completion to be verified) |
| TASK 5 | The Jurisdiction will adopt an enforcement ordinance requiring compliance with organic waste collection service. | 11/30/2022 (Task completion to be verified) |
| TASK 6 | The Jurisdiction will complete the Request for Proposals (RFP) process to identify an organic waste collection service provider. | 1/1/2023 (Task completion to be verified) |
| TASK 7 | The Jurisdiction in consultation with the hauler will work to find a facility to accept mixed organic waste. | 1/31/2023 (Task completion to be verified) |
| TASK 8 | The Jurisdiction will require and verify the hauler will purchase at least 3 additional collection vehicles. | 12/31/2023 |
| TASK 9 | The Jurisdiction will require and verify the hauler will purchase additional organic waste and recycling collection containers (1,542 containers). | 12/31/2023 |
| TASK 10 | The Jurisdiction will require and verify that the hauler modifies collection routes to add approximately 3.5 more routes. | 1/31/2024 |
| TASK 11 | The Jurisdiction will require and verify the hauler will distribute (1,542) organics recycling collection containers to all commercial businesses. | 1/31/2024 |
| TASK 12 | The Jurisdiction will provide three-container waste collection service to all commercial customers that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11, 18984.12, or other provision of the Regulations, or are not an authorized self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of section 18988.3. | 1/31/2024 |

Section 18984.4 Recordkeeping Requirements for Compliance with Organic Waste Collection Services

The Jurisdiction will document or keep records for compliance with Organic Waste Collection Services.

| Task # | Description | Target Completion Date |
|---------------|--------------------|-------------------------------|
|---------------|--------------------|-------------------------------|

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|---------------------------|---|-------------------|
| <p>TASK 13</p> | <p>The Jurisdiction will establish a system for documenting organic waste collection services for recordkeeping and reporting.</p> <p>The Jurisdiction will include the following information and documents in the Implementation Record:</p> <ol style="list-style-type: none"> 1. A description of which collection method(s) it will use to comply. 2. The geographical area for each collection method. 3. If using a service that requires the contents of containers provided by the Jurisdiction to be transported to a high diversion organic waste processing facility, the Jurisdiction shall at a minimum: <ul style="list-style-type: none"> • List all high diversion organic waste processing facilities used by the Jurisdiction. • List all approved haulers in the Jurisdiction that are allowed to take organic waste to the Jurisdiction’s identified high diversion organic waste processing facility or facilities. • The geographical area the hauler(s) serves, the routes serviced, or a list of addresses served. 4. If allowing compostable plastics to be placed in the green container, a copy of written notification received from each facility serving the Jurisdiction indicating that the facility recovers that material. 5. If allowing organic waste to be collected in plastic bags, a copy of written notification received from each facility serving the Jurisdiction indicating that the facility can process and remove plastic bags when it recovers source separated organic waste. | <p>5/1/2023</p> |
| <p>TASK 14</p> | <p>The Jurisdiction will perform site visits or phone calls monthly to verify and evaluate whether businesses opting to self-haul organics are doing so.</p> <ul style="list-style-type: none"> • The Jurisdiction will document implementation. | <p>5/1/2023</p> |
| <p>TASK 15</p> | <p>The Jurisdiction will compile a complete list of covered generators annually.</p> <ul style="list-style-type: none"> • The Jurisdiction will obtain lists from the hauler based on the hauler’s waste and recycling service records. | <p>12/31/2023</p> |

Section 18984.5 Container Contamination Minimization

The Jurisdiction will monitor generators using a three-container waste collection service for contaminants.

| Task # | Description | Target Completion Date |
|----------------|---|--|
| TASK 16 | <p>The Jurisdiction has determined it will use route reviews to monitor container contaminants.</p> <p>Minimum requirements - Route reviews</p> <ul style="list-style-type: none"> • All hauler routes must be reviewed annually. • Containers may be randomly selected along a hauler route. Not required that every container on a hauler route be sampled annually. | 12/31/2022 (Task completion to be verified) |
| TASK 17 | The Jurisdiction will require and verify the hauler will develop a schedule for conducting route reviews. | 8/1/2023 |
| TASK 18 | The Jurisdiction will perform container contamination minimization for all routes that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11 and 18984.12 (a) and (c), or are not an authorized self-hauler subject to the Jurisdiction's ordinance requiring compliance with the requirements of section 18988.3. | 12/31/2023 |

Section 18984.6 Recordkeeping Requirements for Container Contamination Minimization

The Jurisdiction will keep records of the number of residential or commercial violations identified and number of notices issued.

| Task # | Description | Target Completion Date |
|----------------|---|-------------------------------|
| TASK 19 | The Jurisdiction will document the methods used to monitor container contaminants. | 8/1/2023 |
| TASK 20 | <p>The Jurisdiction will establish a system for documenting route reviews conducted and tracking the violations for recordkeeping and reporting.</p> <p>The Jurisdiction will include the following information and documents in the Implementation Record:</p> <ol style="list-style-type: none"> 1. A description of the Jurisdiction's process for determining the level of container contamination. 2. Documentation of route reviews conducted. 3. Copies of all notices issued to generators with prohibited container contaminants. | 8/1/2023 |

| | | |
|----------------|--|----------|
| | 4. Documentation of the number of containers where the contents were disposed due to observation of prohibited container contaminants. | |
| TASK 21 | The Jurisdiction will begin documenting contamination minimization efforts and tracking number of violations. | 8/1/2023 |

Section 18984.7 Container Color Requirements

The Jurisdiction will distribute new containers meeting the container color requirements.

| Task # | Description | Target Completion Date |
|----------------|--|-------------------------------|
| TASK 22 | If purchasing new containers, the Jurisdiction will require and verify the hauler will provide containers for collection services to generators that comply with the container color requirements specified in Article 3 of the Regulations. | 1/31/2024 |

Section 18984.8 Container Labeling Requirements

The Jurisdiction will distribute new containers with proper container labeling.

| Task # | Description | Target Completion Date |
|----------------|--|-------------------------------|
| TASK 23 | The Jurisdiction will develop labeling or use model labeling provided by CalRecycle for use on containers. <ol style="list-style-type: none"> 1. Labels on containers must include language or graphic images or both indicating the primary materials accepted and the primary materials prohibited in that container, OR 2. Containers must include imprinted text or graphic images indicating the primary materials accepted and the primary materials prohibited in that container. | 5/31/2023 |
| TASK 24 | The Jurisdiction will require and verify the hauler will label each new container or lid provided to generators. <ul style="list-style-type: none"> • Labels will be consistent with the applicable container collection requirements specifying what materials are allowed to be placed in each container. | 5/31/2023 |

Section 18984.11 Waivers

The Jurisdiction will verify each waived generator is eligible for a waiver.

| Task # | Description | Target Completion Date |
|---------------|--------------------|-------------------------------|
|---------------|--------------------|-------------------------------|

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|----------------|---|---|
| TASK 25 | The Jurisdiction will establish a procedure for verifying eligibility for waivers and granting waivers. | 6/13/2022 (Task completion to be verified) |
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Section 18985.1 Organic Waste Recovery Education and Outreach

The Jurisdiction will provide organic waste recovery education and outreach to residential and commercial generators.

| Task # | Description | Target Completion Date |
|----------------|---|--|
| TASK 26 | The Jurisdiction will identify/contract with designee to implement education and outreach requirements. | 1/1/2023 (Task completion to be verified) |
| TASK 27 | <p>The Jurisdiction will provide organic waste recovery education and outreach to residential and commercial generators:</p> <ul style="list-style-type: none"> • Information on the organic waste generator’s requirements to properly separate materials in appropriate containers pursuant to Chapter 12 of the Regulations. • Information on methods for: the prevention of organic waste generation, recycling organic waste on-site, sending organic waste to community composting, and any other local requirements regarding organic waste. • Information regarding the methane reduction benefits of reducing the landfill disposal of organic waste, and the methods of organic waste recovery the organic waste collection service uses. • Information regarding how to recover organic waste and a list of approved haulers. • Information related to the public health and safety and environmental impacts associated with the landfill disposal of organic waste. • Information regarding programs for the donation of edible food. • If a jurisdiction allows generators subject to its authority to self-haul organic waste pursuant to Section 18988.1, information regarding self-hauling requirements will be included in education and outreach material. | 4/30/2023 |
| TASK 28 | The Jurisdiction will post the education information on its website and update as needed. The information will be specific to the Jurisdiction's residential and commercial | 4/30/2023 |

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| | program, provide compliance options, contact information for the franchise hauler, edible food recovery programs. | |
| TASK 29 | The Jurisdiction will require and verify the hauler will post and maintain organic waste recovery information and edible food donation program information on its website. | 4/30/2023 |
| TASK 30 | The Jurisdiction will send letters to covered residential and commercial generators providing organic waste recovery information. | 4/30/2023 |
| TASK 31 | The Jurisdiction will mail a program flyer/brochure/letter to all residential and commercial generators to provide education on organics and to explain the Jurisdiction's programs, including types of organic materials accepted and the franchise hauler's contact information. | 4/30/2023 |
| TASK 32 | The Jurisdiction will conduct community meetings with residential generators. | 5/31/2023 |
| TASK 33 | The Jurisdiction will host workshops with commercial generators, targeting the following organizations: Homeowner Associations and Chamber of Commerce. | 5/31/2023 |
| TASK 34 | The Jurisdiction will conduct meetings/site visits with commercial generators. The Jurisdiction has been separated into geographic sections and the Jurisdiction will focus on one section at a time. | 5/31/2023 |
| TASK 35 | The Jurisdiction will conduct meetings/site visits with all commercial generators based on a prioritized the list of commercial generators. | 5/31/2023 |
| TASK 36 | The Jurisdiction will provide outreach and education to organic waste generators that are provided organic waste collection services or self-haul within the Jurisdiction, that are not subject to an exemption or waiver from such service under 14 CCR sections 18984.11 and 18984.12 (a) and (c). (Note: A jurisdiction may still have a separate obligation under the Mandatory Commercial Recycling (MCR) and Mandatory Organics Recycling (MORe) laws—Public Resources Code (PRC) Sections 42649.3 (d) and 42649.82 (d)(1)(C)—that is separate and independent of SB 1383, the SB 1383 Regulations, and this plan.) | 5/31/2023 |

Section 18985.2 Edible Food Recovery Education and Outreach

The Jurisdiction will provide education and outreach to all residential and commercial generators.

| Task # | Description | Target Completion Date |
|----------------|--|-------------------------------|
| TASK 37 | The Jurisdiction and the hauler will develop and maintain a list of food recovery organizations and food recovery services operating within the Jurisdiction on the Jurisdiction’s website. The list will include the following information about each food recovery organization and each food recovery service: <ul style="list-style-type: none"> • Name and physical address. • Contact information. • Collection service area. • An indication of types of food the food recovery service or organization can accept for food recovery. | 6/1/2023 |
| TASK 38 | The Jurisdiction will require and verify the hauler will maintain edible food recovery information on its website. | 6/1/2023 |
| TASK 39 | The Jurisdiction will update the list of food recovery organizations and food recovery services operating within the Jurisdiction on its website. | 6/1/2023 |

Section 18985.3 Recordkeeping Requirements for a Jurisdiction’s Compliance with Education and Outreach

The Jurisdiction will establish recordkeeping for compliance with Education and Outreach requirements.

| Task # | Description | Target Completion Date |
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| TASK 40 | The Jurisdiction will establish a system for documenting and compiling records related to education and outreach requirements for recordkeeping and reporting. | 5/31/2023 |
| TASK 41 | The Jurisdiction will begin tracking and compiling documentation in the Implementation Record and will include at a minimum: <ul style="list-style-type: none"> • Copies of the information provided to comply with Article 4 of the Regulations, including flyers, brochures, newsletters, invoice messaging, and website and social media postings. • The date, and to whom the information was disseminated, or direct contact made. If a jurisdiction provides mass distribution through mailings, or bill inserts, it will provide the date, a copy of the | 5/31/2023 |

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| | <p>information, and the type and number of accounts receiving the information.</p> <ul style="list-style-type: none"> • If the requirements of Article 4 of the Regulations were met solely through electronic media, the record will include a copy, with dates posted, of social media posts, e-mails or other electronic messages. • If a jurisdiction relies on a designee to comply with this section, it will include a copy of the materials distributed by the designee. | |
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Section 18988.1 Jurisdiction Approval of Haulers and Self-Haulers

The Jurisdiction will adopt an ordinance or similarly enforceable mechanism requiring self-haulers to comply.

| Task # | Description | Target Completion Date |
|----------------|--|---|
| TASK 42 | The Jurisdiction will draft an enforcement ordinance or similarly enforceable mechanism requiring self-haulers to comply and present to Council. | 6/7/2022 (Task completion to be verified) |
| TASK 43 | The Jurisdiction will adopt an enforcement ordinance or similarly enforceable mechanism requiring self-haulers to comply. | 11/2/2022 (Task completion to be verified) |
| TASK 44 | The enforcement ordinance will be effective. | 1/1/2023 (Task completion to be verified) |

Section 18988.1 Jurisdiction Approval of Haulers and Self-Haulers

The Jurisdiction will require haulers providing residential, commercial, or industrial organic waste collection services to meet the requirements as a condition of approval of a contract, agreement, or other authorization to collect organic waste.

| Task # | Description | Target Completion Date |
|----------------|--|--|
| TASK 45 | The Jurisdiction will require and verify the hauler(s) providing organic waste collection services will meet the requirements. | 1/1/2023 (Task completion to be verified) |

Section 18988.4 Recordkeeping Requirements for Compliance with Jurisdiction Hauler Program

The Jurisdiction will establish recordkeeping for compliance with jurisdiction hauler program.

| Task # | Description | Target Completion Date |
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| TASK 46 | The Jurisdiction will establish a system for documenting and compiling records related to haulers and self-haulers for recordkeeping and reporting. | 11/2/2022 (Task completion to be verified) |
| TASK 47 | <p>The Jurisdiction will begin tracking and compiling documentation in the Implementation Record and will include at a minimum:</p> <ul style="list-style-type: none"> • Ordinances, contracts, franchise agreements, policies, procedures, or programs relevant to this section. • A description of the Jurisdiction's hauler program including: <ul style="list-style-type: none"> ○ Type(s) of hauler system(s) the Jurisdiction uses. ○ Type(s) and condition(s) of approvals per type of hauler, and criteria for approvals, denials and revocations. ○ The process for issuing, revoking, and denying written approvals. Any requirements associated with self-hauling and back-hauling. • A record of hauler compliance with local ordinance(s) and the requirements of Article 7 of the Regulations including the following information: <ul style="list-style-type: none"> ○ Copies of all reports required from haulers. ○ Copies of all written approvals, denials, and revocations. <p>All records will include the date of action, the name of the hauler, and the type of the action taken by the Jurisdiction.</p> | 5/1/2023 |

Section 18989.1 CALGreen Building Codes

The Jurisdiction will adopt an ordinance or other enforceable mechanism requiring compliance with CALGreen Building Standards Code.

| Task # | Description | Target Completion Date |
|----------------|--|---|
| TASK 48 | The Jurisdiction will draft an enforcement ordinance or similarly enforceable mechanism requiring compliance with CALGreen Building Standards Code and present to council. | 6/7/2022 (Task completion to be verified) |
| TASK 49 | The Jurisdiction will adopt an enforcement ordinance or other enforceable mechanism requiring compliance with CALGreen Building Standards Code. | 11/2/2022 (Task completion to be verified) |
| TASK 50 | The enforcement ordinance will be effective. | 1/1/2023 (Task completion to be verified) |

Section 18989.2 Model Water Efficient Landscape Ordinance

The Jurisdiction will adopt an ordinance or other enforceable mechanism requiring compliance with the Model Water Efficient Landscape Ordinance (MWELO) requirements.

| Task # | Description | Target Completion Date |
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| TASK 51 | The Jurisdiction will draft an enforcement ordinance or similarly enforceable mechanism requiring compliance with the Model Water Efficient Landscape Ordinance (MWELO) requirements and present to council. | 6/7/2022 (Task completion to be verified) |
| TASK 52 | The Jurisdiction will adopt an enforcement ordinance or other enforceable mechanism requiring compliance with MWELO. | 11/2/2022 (Task completion to be verified) |
| TASK 53 | The enforcement ordinance will be effective. | 1/1/2023 (Task completion to be verified) |

Section 18991.1 Jurisdiction Edible Food Recovery Program

The Jurisdiction will implement all aspects of its Edible Food Recovery Program.

| Task # | Description | Target Completion Date |
|----------------|--|-------------------------------|
| TALK 54 | The Jurisdiction will educate commercial edible food generators. (see Edible Food Recovery Education and Outreach above) <ul style="list-style-type: none"> • Develop a list of food recovery and food recovery services operating within the Jurisdiction and maintain the list on the Jurisdiction’s website. The list will be updated annually and include, at a minimum, the following information about each food recovery organization and each food recovery service: <ul style="list-style-type: none"> ○ Name and physical address. ○ Contact information. ○ Collection service area. ○ An indication of types of food the food recovery service or organization can accept for food recovery. | 6/1/2023 |
| TASK 55 | The Jurisdiction will increase commercial edible food generator access to food recovery organizations and food recovery services. | 6/1/2023 |

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| TASK 56 | The Jurisdiction will monitor commercial edible food generator compliance and enforce the commercial edible food generator requirements. | 6/1/2023 |
| TASK 57 | <p>If the Jurisdiction does not have sufficient capacity to meet its edible food recovery needs, the Jurisdiction will increase edible food recovery capacity through the following examples which may include, but not be limited to:</p> <ul style="list-style-type: none"> • Purchasing refrigeration equipment and vehicles. • Purchasing new kitchen equipment. • Using food donation matching software. • Leveraging partnerships with other food recovery organizations. • Hiring staff and hiring drivers. • Training more volunteers. • Using education and outreach to recruit new volunteers. | 1/31/2024 |

Section 18991.2 Recordkeeping Requirements for Jurisdiction Edible Food Recovery Program

The Jurisdiction will establish recordkeeping for compliance with jurisdiction edible food recovery program.

| Task # | Description | Target Completion Date |
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| TASK 58 | The Jurisdiction will establish a system for documenting and compiling records related to the edible food recovery program for recordkeeping and reporting. | 6/1/2023 |
| TASK 59 | <p>The Jurisdiction will begin tracking and compiling documentation and include the following at a minimum:</p> <ul style="list-style-type: none"> • A list of commercial edible food generators in the Jurisdiction that have a contract or written agreement with food recovery organizations or services pursuant to section 18991.3. • A list of food recovery organizations and food recovery services in the Jurisdiction and their edible food recovery capacity. • Documentation of the actions taken to increase edible food recovery capacity. | 6/1/2023 |

Section 18992.1 Organic Waste Recycling Capacity Planning

The Jurisdiction will conduct Organic Waste Recycling Capacity Planning.

| Task # | Description | Target Completion Date |
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| TASK 60 | <p>The Jurisdiction will conduct the following and provide the information necessary to comply with the Requirements to CalRecycle and the County:</p> <ol style="list-style-type: none"> a. Estimate the amount of all organic waste in tons that will be disposed by the county and jurisdictions within the county. b. Identify the amount in tons of existing, new, or expanded organic waste recycling infrastructure capacity, located both in the county and outside of the county that is verifiably available to the county and jurisdictions located within the county. c. Estimate the amount of new or expanded organic waste recycling facility capacity that will be needed to process the organic waste identified pursuant to subdivision (a)(1) in addition to the existing capacity identified in Subdivision (a)(3). | <p>8/31/2022 (Task completion to be verified)</p> |
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Section 18992.2 Edible Food Recovery Capacity

The Jurisdiction will conduct Edible Food Recovery Capacity Planning.

| Task # | Description | Target Completion Date |
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| TASK 61 | <p>The Jurisdiction will provide the information necessary to comply with the requirements of Article 11:</p> <ol style="list-style-type: none"> a. Estimate the amount of edible food that will be disposed by commercial edible food generators that are located within the county and jurisdictions within the county. b. Identify existing capacity at food recovery organizations that is available to commercial edible food generators located within the county and jurisdictions within the county. c. Identify proposed new or expanded food recovery organizations and food recovery services that will be used to recover edible food identified. d. Identify the amount of new or expanded capacity, if any, at food recovery organizations and food recovery services that is necessary to recover the edible food that is estimated to be disposed by commercial edible food generators. | <p>6/5/2022 (Task completion to be verified)</p> |

Section 18993.1 Recovered Organic Waste Product Procurement Target

The Jurisdiction will procure organic waste products to meet its procurement target.

| Task # | Description | Target Completion Date |
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| TASK 62 | <p>The Jurisdiction will annually procure a quantity of recovered organic waste products that meets or exceeds its current annual recovered organic waste product procurement target by one or both of the following:</p> <ul style="list-style-type: none"> • Directly procuring recovered organic waste products for use or giveaway. • Requiring, through a written contract or agreement, that a direct service provider to the Jurisdiction procure recovered organic waste products and provide written documentation of such procurement to the Jurisdiction. | 12/31/2023 |
| TASK 63 | <p>The Jurisdiction will identify additional procurement opportunities within its departments and divisions for expanding the use of recovered organic waste products.</p> | 12/31/2023 |
| TASK 64 | <p>The Jurisdiction will meet regulatory procurement targets established by CalRecycle pursuant to 14 CCR section 18993.1; enforcement of this provision by CalRecycle will be consistent with the legislative directives in PRC section 42652.5 (a)(5)(B) and 42652.6, as amended in AB 1985 (R. Rivas, Chapter 344, Statutes of 2022).</p> | 12/31/2023 |

Section 18993.2 Recordkeeping Requirements for Recovered Organic Waste Procurement Target

The Jurisdiction will establish recordkeeping related to recovered organic waste procurement.

| Task # | Description | Target Completion Date |
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| TASK 65 | <p>The Jurisdiction will include all documents supporting compliance with Article 12 of the Regulations in the implementation record including, but not limited to, the following:</p> <ul style="list-style-type: none"> • A description of how the Jurisdiction will comply with the requirements of Article 12 of the Regulations. • The name, physical location, and contact information of each entity, operation, or facility from whom the recovered organic waste products were procured, and a general description of how the product was used, and if applicable, where the product was applied. • All invoices or similar records evidencing all procurement. • If including procurement of recovered organic waste products made by a direct service provider to comply | 12/31/2023 |

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| | <p>with the procurement requirements of section 18993.1(a), the Jurisdiction will include all records of procurement of recovered organic waste products made by the direct service provider on behalf of the Jurisdiction including invoices or similar records evidencing procurement.</p> <ul style="list-style-type: none"> • If a jurisdiction will include renewable gas procured from a POTW for any of the uses identified in section 18993.1(f)(2) to comply with the procurement mandate of Section 18993.1(a), a written certification by an authorized representative of the POTW, under penalty of perjury in a form and manner determined by the Jurisdiction, attesting to the following for the applicable procurement compliance year: <ul style="list-style-type: none"> • (A) That the POTW was in compliance with the exclusion in Section 17896.6(a)(1); • (B) The total tons of organic waste received from the types of solid waste facilities listed in Section 18993.1(h)(1); and • (C) The percentage of biosolids that the POTW produced and transported to activities that constitute landfill disposal. • If a jurisdiction will include electricity procured from a biomass conversion facility to comply with the procurement mandate of Section 18993.1(a), a written certification by an authorized representative of the biomass conversion facility certifying that biomass feedstock was received from a permitted solid waste facility identified in 18993.1(i) will be provided to the Jurisdiction. The certification will be furnished under penalty of perjury in a form and manner determined by the Jurisdiction. • If the Jurisdiction is implementing the procurement requirements of Section 18993.1 through an adjusted recovered organic waste product procurement target pursuant to Section 18993.1(j), the Jurisdiction will include records evidencing the 6 total amount of transportation fuel, electricity, and gas for heating applications procured during the calendar year prior to the applicable reporting period. • For jurisdictions complying with the requirements of Section 18993.1, through the procurement of mulch, a copy of the ordinance or similarly enforceable mechanism the Jurisdiction has adopted requiring that mulch procured by the Jurisdiction or a direct | |
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| | service provider meets the land application standards specified in Section 18993.1. | |
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Section 18993.3 Recycled Content Paper Procurement Requirements

The Jurisdiction will procure paper products, and printing and writing paper, consistent with the Requirements.

| Task # | Description | Target Completion Date |
|----------------|---|------------------------|
| TASK 66 | The Jurisdiction will research and identify vendors with paper products meeting the requirements of sections 22150-22154 of the Public Contract Code and ensure paper products and printing and writing paper is eligible to be labeled with an unqualified recyclable label as defined in Code of Federal Regulations (CFR) Section 260.12. | 12/31/2023 |
| TASK 67 | The Jurisdiction will procure paper products and printing and writing paper meeting the Requirements. | 12/31/2023 |
| TASK 68 | <p>The Jurisdiction will require all businesses from whom the Jurisdiction purchases paper products and printing and writing paper to certify in writing:</p> <ul style="list-style-type: none"> The minimum percentage, if not the exact percentage, of postconsumer material in the paper products and printing and writing paper offered or sold to the Jurisdiction. <ul style="list-style-type: none"> The Jurisdiction may waive the certification requirement if the percentage of postconsumer material in the paper products, printing and writing paper, or both can be verified by a product label, catalog, invoice, or a manufacturer or vendor Internet website. That the paper products and printing and writing paper offered or sold to the Jurisdiction is eligible to be labeled with an unqualified recyclable label as defined in 16 CFR Section 260.12. | 12/31/2023 |

Section 18993.4 Recordkeeping Requirements for Recycled Content Paper Procurement

The Jurisdiction will establish recordkeeping related to recycled content paper procurement.

| Task # | Description | Target Completion Date |
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| TASK 69 | The Jurisdiction will establish a system for tracking purchases for recordkeeping and reporting. | 12/31/2023 |
| TASK 70 | <p>The Jurisdiction will begin tracking and compiling documentation, including but not limited to the following:</p> <ol style="list-style-type: none"> 1. Copies of invoices, receipts or other proof of purchase that describe the procurement of paper products by volume and type for all paper purchases. 2. Copies of all certifications or other verification required. | 12/31/2023 |

Section 18995.1 Jurisdiction Inspection Requirements

The Jurisdiction will establish an inspection and enforcement program designed to ensure overall compliance with Regulations.

| Task # | Description | Target Completion Date |
|----------------|---|-------------------------------|
| TASK 71 | <p>The Jurisdiction will develop an inspection and enforcement program to ensure overall compliance with Regulations.</p> <p>Applicable investigation and/or inspection processes that will be developed:</p> <ul style="list-style-type: none"> • Tier One commercial edible food generators • Food recovery organizations and services for compliance. • Process to investigate complaints as required under section 18995.3. • Process to provide educational material describing the applicable requirements in response to violations. | 12/31/2023 |
| TASK 72 | The Jurisdiction will begin implementing the inspection and enforcement program. | 12/31/2023 |

The Jurisdiction will conduct a compliance review of all solid waste collection accounts for commercial businesses generating two cubic yards or more of solid waste per week, including organic waste.

| Task # | Description | Target Completion Date |
|----------------|--|-------------------------------|
| TASK 73 | The Jurisdiction will develop a compliance review process for commercial businesses generating two cubic yards or more of solid waste per week, including organic waste. | 1/31/2024 |

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| TASK 74 | <p>The Jurisdiction will implement the compliance review process.</p> <p>The Jurisdiction will determine compliance with:</p> <ul style="list-style-type: none"> • Organic waste generator requirements set forth in Section 18984.9(a). • Self-hauling requirements pursuant to Section 18988.3, including whether a business is complying through back-hauling organic waste. | 1/31/2024 |
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The Jurisdiction will conduct route reviews.

| Task # | Description | Target Completion Date |
|--------------------|---|-------------------------------|
| TASK 75 | The Jurisdiction will conduct annual route reviews of commercial businesses and residential generators for compliance with organic waste generator requirements and container contamination requirements. | 1/31/2024 |

Section 18995.2 Implementation Record and Recordkeeping Requirements

The Jurisdiction will establish recordkeeping related to jurisdiction inspection requirements.

| Task # | Description | Target Completion Date |
|--------------------|--|-------------------------------|
| TASK 76 | The Jurisdiction will establish a system for tracking inspections and enforcement actions for recordkeeping and reporting. | 12/31/2023 |
| TASK 77 | <p>The Jurisdiction will begin tracking and compiling documentation.</p> <ul style="list-style-type: none"> • The Implementation Record will be stored in one central location, physical or electronic, that can be readily accessed by CalRecycle. • All records and information will be included in the Implementation Record within 60 days of the creation of the record or information. All records will be retained by the Jurisdiction for five years. <p>Include all documents supporting compliance in the implementation record including, but not limited to, the following:</p> <ul style="list-style-type: none"> • A copy of all ordinances or other similarly enforceable mechanisms, contracts, and | 12/31/2023 |

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| | <p>agreements, as required by Chapter 12 of the Regulations.</p> <ul style="list-style-type: none"> • A written description of the Jurisdiction's inspection and enforcement program that it uses to comply with Sections 18995.1 and 18995.4. • All organic waste collection service records required by Section 18984.4. • All contamination minimization records required by Section 18984.6. • All waiver and exemption records required by Section 18984.14. • All education and outreach records required by Section 18985.3. • All hauler program records required by Section 18988.4. • All jurisdiction edible food recovery program records required by Section 18991.2. • All recovered organic waste procurement target records required by Section 18993.2. • All recycled content paper procurement records required by Section 18993.4. • All inspection, route review, and compliance review documents generated pursuant to the requirements of Section 18995.1(d). • All records of enforcement actions undertaken pursuant to Chapter 12 of the Regulations. • All records of complaints and investigations of complaints required by Section 18995.3 and compliance with the Jurisdiction's inspection and enforcement requirements of Sections 18995.1. | |
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Section 18995.4 Enforcement by a Jurisdiction

The Jurisdiction will take action to enforce the requirements of the Regulations.

| Task # | Description | Target Completion Date |
|----------------|---|-------------------------------|
| TASK 78 | The Jurisdiction will take enforcement action with regards to the container contaminants provisions in Section 18984.5(a), through the notice provisions of Section 18984.5(b). | 1/31/2024 |

COMPLIANCE REVIEW, ENFORCEMENT, AND RELEASE

4. Communications. All approvals and decisions of CalRecycle regarding notifications will be communicated to the Jurisdiction in writing by the Branch Chief, Jurisdiction and Agency Compliance and Enforcement Branch, Waste Permitting, Compliance and Mitigation Division, or the Branch Chief's designee. No formal advice, guidance, suggestions, or comments by CalRecycle regarding reports, plans, specifications, schedules, or any other writings by Jurisdiction shall be construed to relieve the Jurisdiction of the obligation to obtain such formal approvals as may be required.

4.1 Submittal. All reporting from the Jurisdiction described in section 3 (Schedule for Compliance), paragraph 3.f, shall be submitted through an online portal or compliance module developed by CalRecycle with instructions provided to Jurisdiction. All other communications from the Jurisdiction according to this CAP shall be sent in writing electronically to:

Samuel Sasu
Samuel.sasu@calrecycle.ca.gov

4.2 Compliance Review. At the end of the oversight period or at any time deemed appropriate by CalRecycle, CalRecycle will meet with the Jurisdiction to assess the Jurisdiction's CAP implementation efforts and to determine whether or not the Jurisdiction has timely complied with all commitments in all sections of this CAP. If requested by the Jurisdiction, CalRecycle has discretion to issue notice of a hearing at any time upon its staff's recommendation that the Jurisdiction has completed the conditions of the CAP. In accordance with PRC section 42652.5, failure to implement programs and or comply with all sections of the CAP at any time may result in CalRecycle's revocation of its approval of the Notification and CAP and imposition of administrative civil penalties retroactively to the date of violation in 2022, for administrative civil penalties accruing in 2023, and for administrative civil penalties accruing during any other additional time covered by the Notification and CAP. These potentially applicable penalties are described in Section 5.0 of this CAP.

4.3 CalRecycle Review and Approval: If CalRecycle determines that any report, plan, schedule, or other document submitted for approval pursuant to this CAP fails to comply with the Notification as amended by this CAP or fails to achieve successful implementation of the Regulations, CalRecycle may:

- a. Amend the CAP as appropriate to remedy the violations of the Regulations expeditiously, and/or
- b. Serve a notice that CalRecycle will revoke approval of the Notification and consider the imposition of penalties in accordance with Title 14 CCR sections 18997.3, 18997.5, and 18997.6.

4.4 Extension Request and Other Task Modification Requests: If the Jurisdiction determines that it will be unable to perform any activity or submit any document

within the time required under this CAP, the Jurisdiction may, as far in advance of the due date as possible, request an extension of time in writing. The extension request shall include a justification for the delay. If the Jurisdiction finds that a task required by this CAP in Section 3.1 is not feasible, despite the Jurisdiction's best and substantial efforts, the Jurisdiction may propose an alternative task that is substantially similar or equally effective to the original task, subject to the approval of CalRecycle. The modification request shall include an explanation of the infeasibility, an explanation of how the alternative task is substantially similar or equally effective, and a justification for any extension of time associated with the change.

- 4.5 Extension and Other Task Modification Approvals: If CalRecycle determines that good cause exists for an extension, considering the factors set out in Title 14 CCR section 18996.2(a)(2), it will grant an extension as appropriate and specify in writing a new compliance schedule. If CalRecycle determines that good cause exists for the change in task because the original task is infeasible and the alternative task is substantially similar or equally effective, it will grant an approval and extension as appropriate and specify in writing the new task; additionally, if CalRecycle determines that good cause exists for any extension associated with the change in task, considering the factors set out in Title 14 CCR section 18996.2(a)(2), it will grant an extension as appropriate and specify in writing a new compliance schedule.
- 4.6 Compliance with Applicable Laws: The Jurisdiction shall carry out this CAP in compliance with all Local, State, and Federal requirements, including but not limited to requirements to obtain necessary permits.
- 4.7 Liability: Nothing in this CAP shall constitute or be construed as a satisfaction or release from liability for any conditions or claims arising as a result of past, current, or future operations of the Jurisdiction, including for violations of the Regulations that were not disclosed in the Notification and any violations of the Regulations that the Jurisdiction fails to remedy notwithstanding commitments in the Notification and this CAP.
- 4.8 Government Liabilities: The State of California and CalRecycle shall not be liable for injuries or damages to persons or property resulting from acts or omissions in carrying out activities pursuant to this CAP, nor shall the State of California be held as a party to any contract entered into by the Jurisdiction or its agents in carrying out activities pursuant to the CAP. The Jurisdiction shall indemnify, defend, and save harmless the State, its officers, agents, and employees from any and all claims and losses accruing or resulting in connection with the performance of this CAP.

- 4.9 Parties Bound: This CAP shall apply to and be binding upon the Jurisdiction and upon CalRecycle and any successor agency (regional agency, etc.) that may have responsibility for, and the authority over, the subject matter of this CAP.

PENALTY

5. Penalties, if any, shall be assessed and calculated in accordance with the provisions of PRC 42652.5 and Title 14 CCR sections 18997.3, 18997.5, and 18997.6. Penalties shall be calculated based on the factors set out in section 18997.3 and may be as much as \$10,000 per day per violation for the duration of the violation(s).
- 5.1 Penalty Procedures. Penalty, if any, shall be imposed in accordance with the procedures and methodology set out in PRC section 42652.5 and Title 14 CCR sections 18997.3, 18997.5, and 18997.6. Regulatory violations that are considered “minor,” meaning they involve violations constituting minimal deviation from regulations, shall be subject to penalties of no less than five hundred dollars (\$500) per violation and no more than four thousand dollars (\$4,000) per violation per day; “moderate” violations are subject to no less than four thousand dollars (\$4,000) per violation and shall be no more than seven thousand five hundred dollars (\$7,500) per violation per day; and “major” violations, are subject to penalties no less than seven thousand five hundred dollars (\$7,500) per violation per day and no more than ten thousand dollars (\$10,000) per violation per day. The following types of deviations are deemed to be “major” under the regulations for the purposes of assessing penalties:
- a. Failure to have any ordinance or similarly enforceable mechanism for organic waste disposal reduction and edible food recovery.
 - b. Failure to have a provision in a contract, agreement, or other authorization that requires a hauler to comply with the requirements the Regulations.
 - c. Failure to have an edible food recovery program.
 - d. Failure to have any Implementation Record.
 - e. Implementation or enforcement of an ordinance, policy, procedure, condition, or initiative that is prohibited under Title 14 CCR sections 18990.1 or 18990.2.
 - f. Failure to submit the reports required in Title 14 CCR sections 18994.1 and 18994.2.

EFFECTIVE DATE

6. Issuance. This Corrective Action Plan is final and effective from the date of issuance.
- 6.1 Date of Issuance March 30, 2023

Signature on file

Mark de Bie, Deputy Director
Waste Permitting, Compliance and Mitigation Division
Department of Resources Recycling and Recovery

FIRST ADDENDUM TO CORRECTIVE ACTION PLAN NO. 1149.SLCP.CAP.2023.3

The City of Compton Amendment of Tasks and Timelines in Corrective Action Plan No. 1149.SLCP.CAP.2023.3

On October 24, 2023, the City of Compton requested an amendment to part of its tasks and timelines for Corrective Action Plan No. 1149.SLCP.CAP.2023.3. The justifications provided for the timeline amendments and task requirements are included in the attached request.

Requested Changes to Tasks and Timelines:

| Task # | Original Task Language | Proposed Task Language | Original Date | Amended Date |
|---------------|--|--|----------------------|--------------------------|
| 8 | The Jurisdiction will require and verify the hauler will purchase at least 3 additional collection vehicles | The Jurisdiction will require the hauler to purchase an additional truck, if needed | 12/31/2023 | N/A |
| 9 | The Jurisdiction will require and verify the hauler will purchase additional organic waste and recycling collection containers. | The Jurisdiction will require the hauler to purchase an additional organic waste and recycling collection containers, if needed. | 12/31/2023 | N/A |
| 10 | The Jurisdiction will require and verify that the hauler modifies collections routes to add approximately 3.5 more routes. | This task will be deleted. | 1/31/2024 | N/A (Task to be deleted) |
| 11 | The Jurisdiction will require and verify the hauler will distribute (1,542) organics recycling collection containers to all commercial businesses. | The Jurisdiction will require the hauler to distribute organics recycling collection containers, if needed | 1/31/2024 | N/A |

| | | | | |
|----|--|-----|-----------|----------|
| 22 | If purchasing new containers, the Jurisdiction will require and verify the hauler will provide containers for collection services to generators that comply with the container color requirements specified in Article 3 of the Regulations. | N/A | 1/31/2024 | 3/1/2024 |
|----|--|-----|-----------|----------|

The City of Compton request for task and timeline amendments shows good cause and provides reasonable alternate tasks and timelines for completion. The impacted tasks affect the final completion date of the Corrective Action Plan. Therefore, Sections 3.d, 3.f, and 3.i will need to be changed to reflect the new final completion date of the Corrective Action Plan.

See below for the changes made to Sections 3.d, 3.f, and 3.i.

SCHEDULE FOR COMPLIANCE

3. Based on the foregoing DETERMINATION OF VIOLATIONS, CalRecycle finds that:
 - a. The Jurisdiction worked with CalRecycle to develop this CAP, which includes schedule of actions necessary for the Jurisdiction to achieve address the violations disclosed in the Notification and described in Section 2 (Determination of Violations) above.
 - b. CalRecycle hereby approves and issues this CAP pursuant to PRC section 42652.5(c)-(e) and Title 14 CCR section 18996.2.
 - c. The Jurisdiction will fully implement the programs identified in this CAP by the dates provided in the Section 3.2 below.
 - d. CalRecycle will monitor the Jurisdiction’s continued implementation of the programs identified in the CAP from March 30, 2023, through March 31, 2024 (“the oversight period”) to assure implementation is complete and timely. The oversight period may be extended at the discretion of CalRecycle if any extensions to the Schedule are granted as described below in Sections 4.4 and 4.5.
 - e. During the oversight period, the Jurisdiction will submit status reports to CalRecycle and attend status meetings with CalRecycle to demonstrate the ongoing progress the Jurisdiction is making on remedying the violations described above.

- f. During the oversight period, the Jurisdiction will provide bimonthly status reports to CalRecycle:

Report Cycle:

Report 1: May 31, 2023, covering March 1, 2023 – April 30, 2023

Report 2: July 31, 2023, covering May 1, 2023 – June 30, 2023

Report 3: September 30, 2023, covering July 1, 2023 – August 31, 2023

Report 4: November 30, 2023, covering September 1, 2023 – October 31, 2023

Report 5: January 31, 2024, covering November 1, 2023 – December 31, 2023

Report 6: March 31, 2024, covering January 1, 2024 – March 1, 2024

- g. The status reports are to be used to document the status and work completed for each of the identified tasks in the CAP. For each task in the CAP, the Jurisdiction will explain the status of the task, specifying what actions have been taken to complete the task.
- h. If the work has been delayed or has not been completed, the Jurisdiction will explain the reason(s) for the delay/incompletion. The Jurisdiction will also attach any previously submitted extension requests made pursuant to section 4.4 of this CAP and any extensions granted pursuant to section 4.5 of this CAP, using additional sheets as necessary for the report. CalRecycle may consider the explanation in deciding whether to allow the Jurisdiction to continue to operate under the Notification and CAP or to revoke approval of the Notification and CAP pursuant to PRC section 42652.5(d).
- i. During the oversight period, the Jurisdiction will meet with CalRecycle telephonically or via other remote electronic means (such as Teams or Zoom) bimonthly to provide interim progress updates on the following schedule:

Meeting Cycle:

Meeting 1: During April 2023

Meeting 2: During June 2023

Meeting 3: During August 2023

Meeting 4: During October 2023

Meeting 5: During December 2023

Meeting 6: During February 2024

- j. The status meetings are to be used to discuss the most recent status report and to discuss interim progress made by the Jurisdiction on each of the identified tasks in the CAP.
- k. Prior to the close of the oversight period, CalRecycle may undertake a compliance review or field visit to supplement other status reporting.
- l. At any time prior to the conclusion of the oversight period, if CalRecycle determines the Jurisdiction has failed to implement the programs identified in the Notification and CAP, has failed to participate in status reporting during the oversight period, has substantially misrepresented its progress in status reporting, or has substantially failed to make interim progress on Tasks set out in

Section 3.2 for four consecutive reporting periods, CalRecycle may immediately issue a notice revoking its approval of the Notification and CAP and indicating its intent to impose penalties as provided in PRC section 42652.5. Title 14 CCR sections 18997.3, 18997.5, and 18997.6 and PRC section 42652.5 governs the process and considerations CalRecycle will utilize in calculating and assessing administrative civil penalties against the Jurisdiction, which may be up to \$10,000 per day per violation.

- 3.1 Based on the foregoing DETERMINATION OF VIOLATIONS, it is hereby ordered that the Jurisdiction will implement the actions described below in accordance with the compliance deadlines contained in the schedule of actions to remedy violations as set forth in Section 3.2 below.

The request is approved. The amendment will be included as an addendum to the March 30, 2023, Corrective Action Plan No. 1149.SLCP.CAP.2023.3.

Dated: February 9, 2024

Signature on file

Mark de Bie, Deputy Director
Waste Permitting, Compliance and Mitigation Division
Department of Resources Recycling and Recovery

Attachment 1: City of Compton's Task and Timeline Amendment Request