

Local Conservation Corps SB 1013 Grant Program Application Guidelines and Instructions

Cycle 1 (LCC1) Fiscal Year 2022–23

Table of Contents

Grant Cycle Overview	3
Timeline	
Eligible Applicants	3
Individual Application	3
Eligible Projects/Products	4
Available Funds	
Grant Term	5
Eligible and Ineligible Costs	6
Indirect Costs	6
Public Records Requests	6
Confidentiality	6
Application Instructions	8
Application Access	8
GMS Tabs - Application Contents and Instructions	8
Summary Tab	8
Applicant/Participant Tab	9
Detail Tab	9
Contacts Tab	9
Budget Tab	10
Documents Tab	10
Application Submittal and Deadline	10
Application Documents	12
Electronic and Original Signatures	12
CalRecycle Documents	
Application Certification	
Budget Workbook	
Work Plan	
Applicant's Required Authorization Documents	
Individual Application Authorization Documents:	
Letter of Designation	
Grant Review and Award Process	
Grant Application Review Process	
Grant Award Process	
Grant Award Conditions	
Grant Program Administration	
Grant Agreement	
Reporting Process	
Payment Request Process	16

Grant Cycle Overview

The Department of Resources Recycling and Recovery (CalRecycle) offers the Local Conservation Corps SB 1013 Grant Program pursuant to SB 1013, Chapter 610, Section 34 (Atkins). The purpose of the grant is to support beverage container litter reduction programs and beverage container recycling programs.

This resource document provides applicants with instructions to access and complete the application online and information about grant administration. The web-based application is in CalRecycle's <u>Grants Management System (GMS)</u> (https://www.calrecycle.ca.gov/Funding/GMS/). The applicant will need to sign in to GMS to complete and submit an application.

Note: The following terms used in this document are defined below, unless the context clearly indicates otherwise:

- "Applicant" refers to either the legal name of the entity that is legally responsible for grant administration and any entity that will receive, and control grant funded equipment, if awarded, or to a person who is completing an application on behalf of the Applicant (this is usually the primary contact listed on the application, but could also be the secondary contact, signature authority, or consultant).
- "You" refers to a person who is completing the application on behalf of the Applicant.
- "Grantee" refers to an applicant after approval of grant award.

Timeline

January 31, 2024: Application Due Date

- Applicants must submit applications in GMS by 11:59 p.m. on this date.
- Customer service will be available until 4:00 p.m. on this date.

February 2024 (tentative): Grants Awarded

• CalRecycle considers funding recommendations, and if approved, conditionally awards grants during this month.

Notice to Proceed – April 1, 2026: Grant Term

For milestones that take place during the grant term, refer to the Procedures and Requirements document.

Eligible Applicants

All Local Conservation Corps certified by the California Conservation Corps in the fiscal year (FY) 2021–22 certification report are eligible. Certification does not guarantee an award and applicants must be in good fiscal standing with CalRecycle.

Individual Application

An Individual Application is one in which a single eligible entity will be responsible for grant implementation. The applicant in an Individual Application will be responsible for the performance of the grant and all related documentation. In addition, the applicant

will be the only entity receiving any real or personal property that is purchased with grant funds.

Eligible Projects/Products

The beverage container recycling programs implemented with SB1013 funding include activities that expand opportunities for beverage container recycling in unserved convenience zones. Activities may include, but are not limited to:

- Collection, clean-up, sorting, storage, and transfer of beverage containers.
- Communication and coordination with local and state government agencies, businesses, schools, multi-family complexes, and large venues to implement recycling programs and services.
- Operation of a recycling center.
- Outreach and education to the public about beverage container recycling at events.
- Internships with beverage container recycling businesses or other workforce development activities related to beverage container recycling.
- Glass beverage container litter reduction programs and recycling programs.
- Recycling infrastructure
- Support the development of mobile buyback centers
- Use of funds to publish a curriculum that supports compliance on CCC certification criteria.
- Development of curriculum materials related to recycling or Local Conservation Corps certification.

Available Funds

- \$10,000,000 is available for this grant cycle, fiscal year 2022–23, subject to funding availability.
 - \$7,000,000 of the total available is equally distributed and the remaining \$3,000,000 is allocated according to a formula described in the Criteria RFA.

Local Conservation Corps	Base (\$7,000,000)	Production Award (\$3,000,000)	Total Allocation All Funding Sources
San Francisco Conservation Corps	\$500,000	\$201,259	\$701,259
Los Angeles Conservation Corps	\$500,000	\$103,457	\$603,457
San Jose Conservation Corps	\$500,000	\$317,563	\$817,563
Sacramento Regional Conservation Corps ¹	\$500,000	\$87,440	\$587,440
Conservation Corps of Long Beach	\$500,000	\$107,957	\$607,957
Urban Corps of San Diego County	\$500,000	\$135,632	\$635,632
Fresno Local Conservation Corps (Fresno Economic Opportunities Commission)	\$500,000	\$299,665	\$799,665
Orange County Conservation Corps	\$500,000	\$386,343	\$886,343
Conservation Corps of North Bay	\$500,000	\$244,326	\$744,326
Sequoia Conservation Corps (Community Services & Employment Training, Inc.)	\$500,000	\$171,267	\$671,267
Greater Valley Conservation Corps (San Joaquin Superintendent of Public Instruction)	\$500,000	\$100,875	\$600,875
Civicorps	\$500,000	\$589,742	\$1,089,742
Southern California Mountains Foundation (dba Urban Corps of the Inland Empire)	\$500,000	\$65,621	\$565,621
Cesar Chavez Conservation Corps (Farmworkers Institute of Education and Leadership Development Inc.)	\$500,000	\$188,853	\$688,853
Totals	\$7,000,000	\$3,000,000	\$10,000,000

Table 1. Grant Amounts by Local Conservation Corps

Grant Term

The Grant Term begins on the date that CalRecycle sends the Notice to Proceed email. The Grant Term ends on April 1, 2026.

For detailed information about requirements within the grant term, refer to the Procedures and Requirements document.

Local Conservation Corps SB 1013 Grant Program, LCC1 (FY 2022–23)

¹ After distribution of total funds the remainder is added to the Sacramento Regional Conservation Corps.

Applications Guidelines and Instructions

Eligible and Ineligible Costs

Grantees may incur eligible costs only during the Grant Term. For detailed information about eligible and ineligible costs, refer to the Procedures and Requirements document.

Indirect Costs

For detailed information about indirect costs, refer to the Procedures and Requirements document.

Public Records Requests

CalRecycle's policy is to make records requested by the public promptly available in accordance with the laws governing disclosure of records and information to the public. In general, all records in the possession of a state agency are public records subject to disclosure, unless a law provides that a particular kind of record or information is not a public record or is exempt or prohibited from disclosure.

Upon request, the entire contents of the submitted application are subject to public records requests. This may include contact information, project summary, uploaded documents, and scoring information. Public records may be requested from CalRecycle through the <u>California Public Records Act Requests web page</u> (https://www2.calrecycle.ca.gov/Forms/ContactUs/PublicRecordsRequest/).

Confidentiality

The following describes the treatment of certain confidential or proprietary information under the California Public Records Act (Government Code 6250, et seq.) and related regulations. It also describes how questions are resolved on whether information is truly confidential, the legal protections for confidential information, and internal and program procedures to maintain confidentiality.

Confidential or Proprietary Information

Title 14 of the California Code of Regulations (14 CCR), <u>sections 17041-17046</u> (https://www.calrecycle.ca.gov/Laws/Regulations/Title14/), states that confidential or proprietary information shall include, but is not limited to:

- Personal or business-related financial data, customer client lists, supplier lists and other information of a proprietary or confidential business nature provided by persons in applications, reports, returns, certifications or other documents submitted to [CalRecycle] which if released would result in harmful effects on the person's competitive position.
- Tax information prohibited from disclosure, pursuant to the Revenue and Taxation Code.

Accordingly, appropriate documents submitted with an application that are clearly marked, on each page, "confidential or proprietary information" will be treated by CalRecycle pursuant to the procedures set forth in 14 CCR sections 17041-17046. However, the law does not treat documents marked as "confidential or proprietary information" (such as sales brochures, promotional literature and other general non-financial documents) as confidential if they do not fall within the categories of protected financial documents listed above.

What if there is a question about what is confidential?

If CalRecycle receives a request to disclose data claimed by the applicant to be confidential, CalRecycle would notify the applicant of the request and state that the documents were under review to determine whether information was correctly identified as "confidential." If there was any question as to whether specific information was confidential, CalRecycle would contact the person(s) identified in the application to provide a justification and statement why the information is confidential. The process for evaluating confidentiality claims is set forth in section 14 CCR 17046.

What procedures does CalRecycle have in place to ensure that confidential information is kept confidential?

Confidential or proprietary information will be evaluated and analyzed only by CalRecycle staff, kept confidential, and will be maintained with restricted access. Records no longer needed to provide the services offered under the grant program are periodically destroyed, when allowed by audit policies and state law.

Application Instructions

Application Access

The application is available in CalRecycle's web-based Grants Management System (GMS). Access to GMS is secure; therefore, you must have a CalRecycle WebPass to log in to the system. Those who have not previously obtained a CalRecycle WebPass can create an account at the <u>CalRecycle WebPass page</u> (https://secure.calrecycle.ca.gov/WebPass/).

To start an application:

- 1. Log in to GMS (https://secure.calrecycle.ca.gov/Grants).
- 2. Select Apply for a Grant on the left.
 - All open grant cycles are displayed in a table.
- 3. Find Local Conservation Corps SB 1013 Grant Program (LCC1: 2022–23) and select **Start Application**.
 - A pop-up window will appear asking for contact information. If you have an existing GMS Account, the information may be auto populated.
 - GMS will automatically add you as the Primary Contact for the new grant application; however, you may update this later.
- 4. Click Save.

GMS Tabs - Application Contents and Instructions

The components of the application are divided into tabs. To fill out an application, click on each tab and complete the sections in each tab as required. General directions are on the top of each tab, and detailed information about the requirements for each tab is listed below.

The applicant is responsible for a complete application. This includes signing documents, uploading required documents, and submitting the application by the due date(s). Failure to do so will result in disqualification from the Local Conservation Corps SB 1013 Grant Program.

Examples of disqualifications may include:

- Applicant does not meet the eligibility requirements.
- Project is not eligible.
- Applicant fails to use required CalRecycle documents or forms.
- Applicant uploads incomplete or blank documents to the Documents tab.
- Signature Authority fails to sign Application Certification or any document that requires a signature.
- The online application is incomplete or missing information.

Summary Tab

This tab provides a summary of the application, due dates, resource documents and links, application documents, and the Application Submission section. It is the applicant's responsibility to submit all required documents, based on the particular grant application/project, by the appropriate due date.

Applicant/Participant Tab

The applicant name is the legal name of the entity that is legally responsible for grant administration, if awarded.

- 1. Select the Add Applicant/Participant button and type in the Applicant Name and County. Do not enter your personal name.
- 2. Search the table for the correct applicant name and select **Add Applicant/Participant**.
- 3. Choose the Lead Participant radio button and click Save.
 - Every application must have a Lead Participant even if it is an individual application with no Non-Lead Participants.

If the Participant Search List does not contain your Applicant/Participant name:

- 1. Click on Add New Applicant/Participant.
- 2. Enter the **Applicant/Participant Name** as it appears on the Resolution or Letter of Commitment. Do not include the department or unit name. Do not enter your personal name.
 - List county names with the name first followed by the word "County," e.g., "Sacramento County."
 - List city names as "City of" followed by the city's name, e.g., "City of Sacramento."
- 3. Complete all required fields then click **Save**.

For a list of eligible applicants, please see the Grant Cycle Overview section titled "Eligible Applicants."

Detail Tab

Complete this tab as follows:

- 1. Enter a dollar amount in the **Grant Funds Requested** field. Do not exceed the maximum grant award amount indicated for your Local Conservation Corps in the table above. Please round all amounts to the nearest whole dollar.
- 2. Enter the **Assembly Districts and Senate Districts**. To select more than one district hold the "Ctrl" key while selecting the numbers.
- 3. Enter the applicant's **Department Name**, e.g., "General Services." If the applicant does not have a department, enter the applicant's name.
- 4. Enter the grant payment mailing address.
- 5. **Project Summary/Statement of Use**: Limit this statement to 600 characters including spaces.
- 6. Select the appropriate option for the Resolution or Letter of Commitment Requirement and optional Letter of Designation.

Contacts Tab

CalRecycle requires the application to have only one Primary Contact and at least one Signature Authority. Each application contact may be granted access by checking the box on the top of the contact's detail screen. The contact will be able to log in to GMS using their own CalRecycle WebPass and access the application.

Note: CalRecycle requires a valid email address for the Signature Authority in order to route the Grant Agreement, if awarded.

- **Primary Contact.** One person who the Signature Authority or their designee has authorized to manage and oversee the grant. This person will be the first contact with whom the Grant Manager will communicate.
- **Signature Authority**. The person(s) authorized to sign CalRecycle documents, such as grant applications, grant agreements, etc., as authorized by a board/council-adopted Resolution, or Letter of Designation, or Letter of Commitment (if applicable).
- **Secondary Contact**. A person authorized (by the Primary Contact or Signature Authority or their designee) as the alternate person with whom the Grant Manager will communicate. (Not required.)
- **Consultant.** A professional who provides advice in an area of expertise. If CalRecycle awards a grant to the applicant, the consultants may manage the grant or only conduct specific activities, based on a written agreement between the applicant and the consultant outlining work to be performed. (Not required.)
- **Participant Signature Authority.** (Applicable to Cooperative applications only) The person(s) authorized to sign documents on behalf of their organization, as authorized by a Letter of Commitment, Resolution, or Letter of Designation.

Budget Tab

Complete the budget document provided in GMS and follow the steps below.

- 1. Enter the total amount requested in the Budget Tab. The amount entered must match the total amount on the Budget Workbook.
- 2. Upload the Budget Workbook in Excel format to the Documents tab of GMS.

Utilizing a document form other than the official CalRecycle versions, tampering with the CalRecycle version, or otherwise circumventing imposed character limits, may subject the applicant to disqualification.

Documents Tab

See the Application Documents Section in the Summary tab for documents that must be uploaded in the Documents tab.

When uploading a document, enter a document title, select the appropriate document type from the drop-down list, and enter the date that it was executed/signed, if applicable, or select "today's date." Documents, except the budget workbook, should be uploaded in Microsoft Word or converted to Adobe pdf format.

Utilizing a document form other than the official CalRecycle versions, tampering with the CalRecycle version, or otherwise circumventing imposed character limits may subject the applicant to disqualification.

Application Submittal and Deadline

The **Submit Application** button located in the Summary tab will be enabled after all required documents have been uploaded.

Click the **Submit Application** button and the application status will change to **Submitted**. You can only submit the application once.

You must submit your application no later than 11:59 p.m. on January 31, 2024. Customer service will be available until 4:00 p.m. on the application due date either by emailing <u>grantassistance@calrecycle.ca.gov</u> or calling (916) 341-6809, however, there is no guarantee CalRecycle will be able to provide support if there is an influx of inquiries.

Note: Applications that are not submitted by the due date will be deleted from GMS.

Application Documents

Electronic and Original Signatures

CalRecycle now allows for certified e-Signature or original wet signature on documents or forms that certify legally binding information.

Note: E-signatures must include the first and last name of the Signature Authority, be in the Adobe Digital ID format (or through another certified digital signature program) and cannot be completed using the "Fill and Sign" function within Adobe. Any document using the "Fill and Sign" function, is considered incomplete and may be sent back to the applicant.

Once the document(s) have been signed by the Signature Authority, upload the digitally signed document, or scan the wet signature and save it to GMS. Retain the original document for potential CalRecycle audits. See Audit Considerations Section of the Procedures and Requirements document for more information.

If you have questions, email grantassistance@calrecycle.ca.gov.

CalRecycle Documents

CalRecycle documents are accessible through the Summary tab in the Application Documents section. To access a document, click on the link, open it up, fill it out, save it to your computer, and upload it to the Documents tab. If you are having trouble with a document, email <u>grantassistance@calrecycle.ca.gov</u> or call (916) 341-6809.

Altered or reproduced CalRecycle documents or templates may result in automatic disqualification of your application. Unless a document specifies that it may be reproduced as necessary, **do not** alter CalRecycle documents.

Note: Do not include special characters in file names. Doing so may result in automatic disqualification of your application.

Below is a list of three (3) required CalRecycle documents:

Application Certification

The Application Certification is a required application document that must be generated from GMS.

After you have completed each tab of the application and uploaded the required documents, generate the Application Certification.

- 1. Click "Print Application Certification" under the Application Submission section of the Summary tab.
 - a. **Note:** You will not be able to click "Print Application Certification" until each tab of the application is completed and all required application documents have been uploaded.
- 2. Signature Authority signs Application Certification.
- 3. Upload the signed Application Certification to the Documents tab.

Applications Guidelines and Instructions Local Conservation Corps SB 1013 Grant Program, LCC1 (FY 2022–23)

Budget Workbook

Fill in the Budget Workbook using the template in the Summary Tab. Upload the completed document to the Documents tab of the application.

Work Plan

Use the Work Plan Template in the Summary Tab to outline the proposed grant activities. Upload the completed document to the Documents tab of the application.

Applicant's Required Authorization Documents

Below is a list of required authorizing documents by application type that the applicant is responsible for preparing and uploading to their application.

Note: For Resolution, Letter of Commitment, and Letter of Designation templates, refer to the <u>Resolution and Letter Examples</u>

(https://www.calrecycle.ca.gov/Funding/SampleDocs) web page. CalRecycle staff are available to answer questions about the Resolution and Letter of Commitment or to review your draft Resolution to ensure it meets the requirements of the grant program. You may email your draft Resolution or draft Letter of Commitment to grantassistance@calrecycle.ca.gov.

Individual Application Authorization Documents:

If **subject to a governing body**, the applicant must submit a Resolution no later than January 31, 2024 or CalRecycle will deem the application incomplete and disqualify the applicant. The Resolution must:

- 1. Authorize submittal of an application for one or more specifically named CalRecycle grant(s) or for all CalRecycle grants for which the applicant is eligible.
- 2. Identify the time period, up to five years, during which the authorizations are valid.
 - a. Five years is encouraged; however, periods of less than five years are acceptable.
 - b. If a Resolution does not specify a time period, CalRecycle will consider the Resolution valid for one year from the date of adoption.
- 3. Identify the Signature Authority by listing the job title of the person(s) authorized to sign all grant-related documents necessary to implement and close-out the grant(s).
 - a. (Optional but encouraged) The Resolution should authorize the Signature Authority to delegate their signature authority to another person identified by job title. Applicants can only submit a Letter of Designation if the corresponding Resolution includes designee language.
- 4. Be signed or certified by the governing body.

Note: The Signature Authority must sign a Letter of Designation **prior** to the designee's exercise of their authority.

If **not subject to a governing body**, applicants must submit a Letter of Commitment (LOC). Publicly held businesses or private for-profit companies may submit an LOC even if they are subject to a governing body under the condition that the signatory is an individual authorized to contractually bind the applicant for the conditions of the grant award. The LOC is due by the application due date or CalRecycle will deem the application as incomplete and disqualify the applicant.

Applications Guidelines and Instructions Local Conservation Corps SB 1013 Grant Program, LCC1 (FY 2022–23) The LOC must:

- 1. Be on official letterhead.
- 2. Specify the Fiscal Year and the grant program name.
- 3. Authorize submittal of the (Name of Grant Program) application on behalf of applicant.
- 4. Designate the job title of the person authorized to execute all grant documents necessary to secure grant funds and implement the approved grant project (Signature Authority).
 - a. The LOC may also authorize the Signature Authority to delegate this authority.
- 5. Be signed by an individual authorized to contractually bind the applicant.

Letter of Designation

CalRecycle requires a Letter of Designation (LOD) only when the Signature Authority identified in the approved Resolution chooses to delegate their signature authority to another person.

The approved Resolution must indicate the Signature Authority's ability to delegate or designate their authority. The applicant must upload the LOD **prior** to the designee's exercise of their authority. If the designee signs an application document in place of the Signature Authority, the applicant must upload the LOD with their application.

The LOD must:

- 1. Be on the applicant's letterhead.
- 2. Include the job title of the designee and the scope of the designee's authority.
- 3. Include the time period during which the designee may exercise the authority.
- 4. Be signed by the Signature Authority.

The designee's authority may not extend beyond the effective date of the approved Resolution or Letter of Commitment. For example, if the Resolution is effective until December 31, 2024, then the Letter of Designation may not be effective beyond December 31, 2024. If the letter does not identify a valid time period, the letter will follow the same time frame as the Resolution.

Grant Review and Award Process

Grant Application Review Process

After the close of the application period, CalRecycle staff will review the applications for completeness and eligibility. Only complete applications will be considered for award.

Grant Award Process

For qualifying applications, CalRecycle staff will develop funding recommendations for the consideration and approval of CalRecycle's Director, or their designee; CalRecycle tentatively schedules this for February 2024. CalRecycle reserves the right to partially fund or fund individual phases of selected proposals, and CalRecycle may fund an amount less than requested.

CalRecycle reserves the right to not award any grant funds under one or more cycles.

Grant Award Conditions

When awarded, this grant will be subject to two conditions:

- 1. The recommended grantee must pay all outstanding debts due to CalRecycle, or bring current outstanding payments owed to CalRecycle, within 60 days of the award email date.
- 2. The recommended grantee's Signature Authority (or their delegated signature authority) must sign and return the Grant Agreement to CalRecycle. CalRecycle must receive the signed Grant Agreement within 60 days of the date of the award email.

Failure to comply with either condition will void the grant award.

Grant Program Administration

Grant Agreement

The Grant Agreement binds the Grantee to CalRecycle's requirements as outlined in the Grant Agreement documents. CalRecycle will send the Grant Agreement Cover Sheet electronically to allow for a certified e-signature using Adobe Sign.

These documents shall guide the grantee's administration of the grant project.

Following CalRecycle's conditional approval of the grant awards, we will email grantees the information below.

- Award email
- Grant Agreement Cover Sheet (CalRecycle 110)

 To be signed by the grantee
- Exhibit A: Terms and Conditions
 - o Contain CalRecycle's standard legal requirements for grants
- Exhibit B: Procedures and Requirements
 - Contain specific requirements for administering this grant, including but not limited to project, reporting, and audit requirements
- Exhibit C: Grantee's approved application with revisions, if any, and any amendments
- e-Signature Instructions for Awardees
 - Contains instruction on how to sign the Grant Agreement Cover Sheet using Adobe Sign

Reporting Process

CalRecycle requires grantees to report on the progress of their grant on a quarterly basis. The Procedures and Requirements document contains detailed reporting information and deadlines.

Payment Request Process

CalRecycle will retain 10 percent of each approved Payment Request amount until the Grant Manager approves the Final Report, the final Payment Request, and all required supporting documentation. The Procedures and Requirements document contains detailed payment information.