



February 2026

Department of Resources Recycling and Recovery

Exhibit B

Procedures and Requirements Empty Glass Beverage Transportation Grant Program

Cycle 1 (EGT1) Fiscal Year 2026–27

The Grantee must share copies of these Procedures and Requirements with its Finance Department and staff responsible for implementing the grant activities.

Table of Contents

| | |
|--|----------|
| Introduction | 3 |
| Milestones..... | 3 |
| Grants Management System (GMS)..... | 4 |
| Accessing the Grant | 4 |
| Contact Updates | 4 |
| Before Commencing Work | 5 |
| Reliable Contractor Declaration | 5 |
| Grant Term | 6 |
| Eligible Costs | 6 |
| Ineligible Costs | 6 |
| Modifications..... | 7 |
| Acknowledgements..... | 7 |
| Reporting Requirements..... | 7 |
| Electronic Signatures..... | 8 |
| Progress Report..... | 8 |
| Final Report..... | 9 |
| Grant Payment Information..... | 10 |
| Reimburse Payment Request | 10 |
| Supporting Documentation..... | 11 |
| Audit Considerations..... | 12 |

Introduction

The Department of Resources Recycling and Recovery (CalRecycle) administers the Empty Glass Beverage Transportation Grant Program. These Procedures and Requirements describe project and reporting requirements, report due dates, report contents, grant payment conditions, eligible and ineligible project costs, project completion and closeout procedures, and records and audit requirements. In this document, "Grantee" means the recipient of funds pursuant to this Agreement.

This document is attached to, and incorporated by reference, into the Grant Agreement.

Milestones

Notice to Proceed Date: Grant Term Begins on the date that CalRecycle emails the Notice to Proceed.

October 22, 2026: Progress Report 1 Due

Covering activities from Notice to Proceed to September 30, 2026

January 14, 2027: Progress Report 2 Due

Covering activities from October 1, 2026 to December 31, 2026

April 27, 2027: Progress Report 3 Due

Covering activities from January 1, 2027 to March 31, 2027

July 8, 2027: Progress Report 4 Due

Covering activities from April 1, 2027 to June 30, 2027

October 5, 2027: Progress Report 5 Due

Covering activities from July 1, 2027 to September 30, 2027

January 11, 2028: Progress Report 6 Due

Covering activities from October 1, 2027 to December 31, 2027

April 11, 2028: Progress Report 7 Due

Covering activities from January 1, 2028 to March 31, 2028

July 11, 2028: Progress Report 8 Due

Covering activities from April 1, 2028 to June 30, 2028

October 26, 2028: Progress Report 9 Due

Covering activities from July 1, 2028 to September 30, 2028

January 11, 2029: Progress Report 10 Due

Covering activities from October 1, 2028 to December 31, 2028

April 1, 2029: Final Report and Final Payment Request Due

- If this date falls on a weekend or holiday, the report/payment request must be submitted on the following business day.

April 1, 2029: Grant Term End

Grants Management System (GMS)

GMS is CalRecycle's web-based grant application and Grants Management System. Access to GMS is secure; grantees must log in using a WebPass. WebPass accounts are tied to a specific email address. If an email address changes or becomes inactive, the grantee must create a new WebPass account to continue accessing GMS. Establish or manage a WebPass at [CalRecycle's WebPass page](https://secure.calrecycle.ca.gov/WebPass/) (<https://secure.calrecycle.ca.gov/WebPass/>).

Accessing the Grant

Grantees must [log in to GMS](https://secure.calrecycle.ca.gov/Grants) (<https://secure.calrecycle.ca.gov/Grants>) using their web pass. After logging in, locate the grant in the **My Awarded/Open Grants** table and select the **Grant Management** link. The **Grant Management Module** includes the following sections:

- **Summary tab:** Shows approved budget, paid and remaining amounts. (This section is available to the grantee in read-only mode.)
- **Payment Request tab:** Grantee requests reimbursement.
- **Reports tab:** Grantee uploads required reports.
- **Match:** Shows matching funds requirement.
- **Documents tab:** Grantee uploads all other grant documents that are not supporting documents to a payment request or a report. This section also provides access to documents uploaded within other sections of GMS.
- **Sites tab:** Shows approved project sites.

To work in the system, follow the instructions in GMS. The following sections describe the reports, transactions, and supporting documents CalRecycle requires.

Contact Updates

Access to the grant is limited to those listed in the **Contacts** tab of the **Application Module** with the "Allow Access" check box marked. A contact may be listed but not granted access by not checking the box. If a contact is given access to a grant, they can edit contacts, submit payment requests, upload reports, and view all documents. Those with access may update contact information for all contact types except Signature Authority. Email the assigned Grant Manager regarding any changes to Signature Authority information.

Before Commencing Work

Before commencing work under this grant, the grantee's Grant Manager or primary contact and authorized grant Signature Authority should review the Terms and Conditions (Exhibit A) and the Procedures and Requirements (Exhibit B) to identify key grant administrative requirements. Evaluation of the grantee's compliance with these requirements is a major focus of grant audits.

Reliable Contractor Declaration

Before authorizing a contractor or subcontractor to commence work under this grant, the grantee shall submit to the Grant Manager a declaration from the contractor or subcontractor, signed under penalty of perjury, stating that within the preceding three (3) years, none of the events listed in [section 17050 of Title 14](#) (<https://www.calrecycle.ca.gov/Laws/Regulations/Title14/>), California Code of Regulations, Natural Resources, Division 7, has occurred with respect to the contractor or subcontractor. The grantee must submit this form for each contractor and subcontractor working under the grant.

If a contractor or subcontractor is placed on the [CalRecycle Unreliable Contractor List](#) (<https://www.calrecycle.ca.gov/Funding/Unreliability/>) after award of this grant, the grantee may be required to terminate the contract. Obtain the Reliable Contractor Declaration form (CalRecycle 168) from CalRecycle's [Application and Grant Management Tools webpage](#) (<https://calrecycle.ca.gov/funding/tools/>).

The grantee must upload a scanned copy of each signed Reliable Contractor Declaration form in GMS. To upload the form:

1. Go to the **Reports** tab.
2. Click on **Reliable Contractor Declaration** under **Report Type**.
3. Click the **Add Document** button.
4. In the Document Type drop-down box, select **Reliable Contractor Declaration**, enter a document title, click the **Browse** button to search for and upload the document, and then **Save**.
5. Click on the **Submit Report** button.

For further instructions regarding GMS, including login directions, see the "Grants Management System" section (above).

Grant Term

The Grant Term begins on the date of the Notice to Proceed (NTP) email, which is the formal notification from CalRecycle authorizing the grantee to begin the grant project, and ends on April 1, 2029. The grantee must make all grant-eligible program expenditures and incur all grant-eligible costs within this period. Expenditures made or costs incurred before the NTP date or after the end date are not eligible for reimbursement.

The Final Report and final Payment Request are due on April 1, 2029. CalRecycle recommends reserving the period from March 1, 2029 to April 1, 2029 exclusively for preparing the Final Report and final Payment Request, though they may be completed earlier.

Eligible Costs

Grantees may incur eligible costs only during the Grant Term, which starts when the grantee receives a Notice to Proceed from CalRecycle and ends on April 1, 2029. See "Grant Term" for additional information. All grant expenditures must be for activities, products, and costs specifically included in the approved Work Plan and approved Budget. To be eligible for reimbursement, costs must be incurred after receiving a Notice to Proceed and before the end of the Grant Term. All services must be provided, and goods received at the project site provided in the application during this period. The grantee must pay invoices for goods and services before including those goods or services on a payment request.

Eligible costs are limited to the following:

- Equipment related to transportation of empty glass beverage containers through the use of rail. (e.g. loaders, bunkers, scales, emptying hoppers).
- Supplies and materials related to rail transportation of empty glass beverage containers.
- Transportation costs of empty glass beverage containers via rail.
- Construction of a new rail line or updating of an existing rail line to improve access to empty glass beverage container transportation (e.g. steel rails, wooden ties or sleepers, ballast, spikes, and bolts, and rail plates).

Personnel directly related to project construction, empty glass beverage container rail transportation, and the implementation of equipment, materials and supplies.

Ineligible Costs

Any costs not specifically included in the approved Budget and not directly related to the Empty Glass Beverage Transportation Grant Program and the approved grant project are ineligible for reimbursement. The grantee should contact the Grant Manager if clarification is needed. Ineligible costs include, but are not limited to:

- Costs incurred before the Notice to Proceed email or after April 1, 2029.
- Partial payments or deposits for goods and services that have not been received or delivered.
- Vehicles/Trucks (could be used as match).
- Engineering (could be used as a match).
- Permits (could be used as match).
- Training.

- Transportation from a collection site to a rail processing facility.
- Travel accommodations (e.g. hotel stays for overnight travel) and general travel costs.
- Depreciation of State purchased equipment.
- Excessive maintenance costs for equipment unrelated to the activities of the grant.
- Indirect Costs.
- Overhead/Utilities related to daily operations.
- Legal services (including legal retainers).
- Property Taxes.
- Insurance.
- Purchasing equipment that was paid for using other CalRecycle grant funds.

Modifications

The grantee must submit any proposed revision(s) to the Work Plan and/or Budget in writing to the Grant Manager. The grantee may not incur costs or make expenditures based on the revision without first receiving the Grant Manager's written approval. Proposed revisions must be clearly marked on the Work Plan and/or Budget and must be accompanied by a summary of proposed changes or modifications, including justification for the proposed changes. If approved, the Grant Manager will upload the final revised Work Plan and/or Budget to GMS and notify the grantee. The grantee may submit proposed revisions in conjunction with a Progress Report, but they cannot be submitted as part of the Progress Report. The grantee should retain the approval document(s) for audit purposes. See the "Audit Record/Access" section of the Terms and Conditions (Exhibit A).

Acknowledgements

The grantee shall acknowledge CalRecycle's support each time a project funded, in whole or in part, by this Agreement is publicized in any medium, including news media, social media, brochures, or other types of promotional materials. The acknowledgement of CalRecycle's support must incorporate the CalRecycle logo. Initials or abbreviations for CalRecycle shall not be used. The Grant Manager may approve deviation from this requirement on a case-by-case basis. Contact your Grant Manager for the CalRecycle logo.

Reporting Requirements

The Grant Agreement requires Progress Reports and a Final Report, as listed in the Milestones section; however, the Grant Manager may require additional Progress Reports at any time during the Grant Term. Failure to submit the Final Report with appropriate documentation by the due date may result in rejection of the Payment Request and/or forfeiture by the grantee of claims for costs incurred that might otherwise have been eligible for grant funding.

The grantee must upload all reports to GMS. For further instructions regarding GMS, including login directions, see the "Grants Management System" section (above).

To upload a report:

1. Go to the **Reports** tab.
2. Click on the appropriate Report Type.
3. Click on the **Add Document** button.

4. Choose the Document Type, enter a document title, click the **Browse** button to search and upload the document, and then **Save**.
 - Select the **Back** button to upload another document and continue the process until all required documents, as listed below, are uploaded.
 - The maximum allowable file size for each document is 35MB.
5. Click the **Submit Report** button to complete your report submittal. The **Submit Report** button will not be enabled until all required reporting documents are uploaded.

Grant Managers will only approve reports with current information that include all required sections and documents. Grant Managers will only process Payment Requests after report approval.

Failure to comply with the specified reporting requirements may be considered a breach of the Grant Agreement and may result in the termination of the Grant Agreement, rejection of the Payment Request, and/or forfeiture by the grantee of claims for costs incurred that might otherwise have been eligible for grant funding. The grantee must report any problems or delays immediately to the Grant Manager.

Electronic Signatures

CalRecycle requires a certified e-Signature on documents or forms that certify legally binding information.

Note: E-signatures must include the Signature Authority's first and last name, be in the Adobe Digital ID format (or through another certified digital signature program), and cannot be completed using Adobe's "Fill and Sign" function. Any document using the "Fill and Sign" method is considered incomplete and may be returned to the grantee.

If you have questions, email grantassistance@calrecycle.ca.gov.

Progress Report

The grantee must submit a **Progress Report** by the due dates listed in the Milestones Section of this document. These reports should cover grant activities that occurred within the specified reporting period. The report should include the following:

- The Grant Number, grantee's name, and Grant Term.
- The following disclaimer statement on the cover page:

"The statements and conclusions of this report are those of the grantee and not necessarily those of the Department of Resources Recycling and Recovery (CalRecycle), its employees, or the State of California. The state makes no warranty, express or implied, and assumes no liability for the information contained in the succeeding text."
- The first Progress Report:
 - A statement of the tasks or milestones implemented to date and a report on the status of each.
- Each subsequent Progress Report:
 - A statement of the tasks or milestones implemented during the reporting period and a summary of the status of each.
 - A discussion of all unanticipated problems or concerns and corrective actions taken.
 - A description of all expenditures during the reporting period.

- A description of all changes made to the project during the reporting period with the approval of the Grant Manager and all additional changes identified.

Final Report

The Final Report is due **April 1, 2029**. This report should cover grant activities **from the Notice to Proceed through April 1, 2029**. The grantee must include the following items in the Final Report:

- The Grant Number, grantee's name, and Grant Term.
- The following disclaimer statement on the cover page:
“The statements and conclusions of this report are those of the grantee and not necessarily those of the Department of Resources Recycling and Recovery (CalRecycle), its employees, or the State of California. The state makes no warranty, express or implied, and assumes no liability for the information contained in the succeeding text.”
- **Table of Contents**
- **Summary of the Project**
 - A detailed summary of the challenges experienced, and corrective actions taken throughout the Grant Term relating to the transportation of empty glass beverage containers utilizing rail transportation.
 - A detailed summary of the successful outcomes achieved throughout the Grant Term relating to the transportation of empty glass beverage containers utilizing rail transportation.
- **Total Cost of the Program**
 - A breakdown of actual expenditures for the project (i.e., personnel, equipment, materials, etc.) by project component (e.g., infrastructure, education, events, etc.) and the percentage of the overall project costs for each component.
 - A list of all funding sources for the project, the amount provided, and the percentage of each source related to the overall project.
 - A list of estimated dollar value of support from partnerships, volunteers, and in-kind services.
 - A statement whether funds received through this Agreement were a factor in obtaining additional funding and support for the project.
- **Work Plan**
 - A schedule of actual dates of project accomplishments (milestones).
 - A summary of the actual time expended on each phase of the project (i.e., planning, start-up, implementation, evaluation, and until project became/becomes self-sustaining, if applicable).
 - A description of criteria for selecting equipment, actual effectiveness of chosen equipment, and recommended changes in equipment.
 - A discussion of conditions unique to this project.
- **Project Effectiveness**
 - A comparison of the outcomes achieved to the goals established at the start of the project.
 - Outside influences that may have affected the outcomes achieved.
- **Conclusions and Recommendations**
 - Important findings and suggestions for improvement.
 - Tips or modifications needed for other entities to replicate the Project.
 - A list and description of on-going expenses for this Project.

- List of subcontractors.
- Photographs, articles, educational materials.
- **Match Certification Form** (provided by CalRecycle)

Grant Payment Information

- Payment to the grantee for eligible grant expenses is made only on a reimbursement basis, and only for those materials and services specified in the approved grant application.
- The grantee must submit the required Progress Report(s)/Final Report before or concurrently with the submission of the Grant Payment Request. No reimbursement is made before the Grant Manager approves the report.
- The grantee must submit a completed Grant Payment Request and provide supporting documentation as described in the “Payment Request and Documentation” section for completed project(s) only.
- CalRecycle will make grant payments to only the grantee. It is the grantee’s responsibility to pay all contractors and subcontractors for purchased goods and services.
- CalRecycle will withhold and retain 10 percent of each approved Grant Payment Request amount until all conditions stipulated in the Agreement, including submission and Grant Manager approval of the Progress and Final Reports, have been satisfied.
- CalRecycle will promptly send payments to the grantee as fiscal procedures permit. The grantee can typically expect payment approximately 45 days from the date the grantee submits a complete Grant Payment Request.
- The grantee must provide a [Reliable Contractor Declaration form \(CalRecycle 168\)](https://www2.calrecycle.ca.gov/Docs/Web/127824) (<https://www2.calrecycle.ca.gov/Docs/Web/127824>) signed under penalty of perjury by the grantee’s contractors and subcontractors in accordance with the “Reliable Contractor Declaration” section of the Terms and Conditions (Exhibit A). The declaration must be received and approved by the Grant Manager before commencement of work. See the “Reliable Contractor Declaration” section in Terms and Conditions (Exhibit A) for more information.

Reimburse Payment Request

Failure to submit the final Payment Request with appropriate documentation by the due date may result in rejection of the Payment Request and/or forfeiture by the grantee of claims for costs incurred that might otherwise have been eligible for grant funding.

The grantee must submit payment requests in GMS. For further instructions regarding GMS, including login directions, see the “Grants Management System” section (above). To submit a Grant Payment Request:

1. Go to the **Payment Request** tab.
2. Click on the **Create a Reimburse/Advance Reconciliation** button.
 - a. Choose **Reimburse** for the **Transaction Type** and enter the amount spent in each budget subcategory.
 - b. When the transaction is complete, click the **Save** button.
 - c. After the transaction is saved, the **Upload Supporting Documents** button will appear in the lower right corner.
3. Click the **Upload Supporting Documents** button.

- a. Choose the **Document Type**, enter a **document title**, click the **Browse** button to search and upload the document, and then **Save**.
- b. Select the **Back** button to upload another document and continue this process until all required supporting documents, as listed below, are uploaded.
- c. The maximum allowable file size for each document is 35MB.

4. Click the **Submit Transaction** button, located on the transaction page, to complete your payment request. The **Submit Transaction** button will not be enabled until all required supporting documents are uploaded.
 - a. **Note:** Once a transaction is saved, select the transaction number from the **Payment Request** tab to access it again. Do not create multiple transactions for funds that have already been requested.

Supporting Documentation

- **Grant Payment Request form** (CalRecycle 87)
 - A completed Grant Payment Request form with the digital signature of the signatory or his/her designee, as authorized by the grantee's Resolution or Letter of Commitment, must be uploaded to GMS.
 - **Note:** A designee may sign on behalf of the grantee if a) authorized by the Resolution or Letter of Commitment, and b) a Letter of Designation has been provided to the Grant Manager.
- **Cost and Payment Documentation**
 - Acceptable cost and payment documentation must include at least one of each of the following:
 - 1) Cost Documentation. Cost Documentation may include:
 - Invoices
 - Receipts
 - Purchase Orders
 - The above items must include the vendor's name and telephone number, address, description of goods or services purchased, amount due, and date. The claimed expenses should be highlighted and identified with the applicable task number on each invoice.
 - 2) Proof of Payment. Proof of payment may include:
 - copy(s) of cancelled check(s) that show an endorsement from the banking institution
 - invoice(s) showing a zero balance and form of payment (e.g. credit card)
 - accounting system report from local government if it contains the vendor's name, date of invoice, invoice number, check number, or internal ID, and the date the amount was paid
 - bank statement(s) along with a copy of the endorsed check or invoice showing the check number
 - copy of an electronic funds transfer confirmation
 - copy of a credit card statement(s)
 - retail receipt for cash payments under \$500

Note: The Grant Manager may require additional cost and payment documentation as necessary to verify eligible costs.

- **Expenditure Itemization Summary (EIS)** (CalRecycle 777). All expenditures must be itemized and arranged by the reporting and expenditure categories as contained in the grantee's approved Budget and Work Plan.
 - Each EIS must be accompanied by supporting documentation for each line-item expense. The grantee should retain the original documents.
- **Personnel Expenditure Summary** (CalRecycle 165 or alternate approved method). Document personnel expenditures based on actual time spent on grant activities and actual amounts paid to personnel (these forms are not required if you have an alternate time reporting method pre-approved by your Grant Manager).

All forms listed above are available on the [CalRecycle Application and Grant Management Tools webpage](https://calrecycle.ca.gov/Funding/tools/) (<https://calrecycle.ca.gov/Funding/tools/>).

Audit Considerations

The grantee agrees to maintain records and supporting documentation pertaining to the performance of this grant subject to possible audit for a minimum of three (3) years after the final payment date or the Grant Term end date, whichever is later. CalRecycle may stipulate a longer period of records retention in order to complete any action and/or resolution of all issues that may arise as a result of any litigation, dispute, or audit, whichever is later.

Examples of audit documentation include, but are not limited to, competitive bids, grant amendments if any relating to the Budget or Work Plan, copies of any agreements with contractors or subcontractors if utilized, expenditure ledger, payroll register entries, time sheets, personnel expenditure summary form, travel expense log, paid warrants, contracts and change orders, samples of items and materials developed with grant funds, invoices, and cancelled checks. For more information, refer to the Terms and Conditions (Exhibit A).