

Monthly Scrap Value Purchases Survey Form Instructions

CalRecycle 911-DOR-SV (Rev. 03/2025)

A. Definitions

“Authorized Representative” means the person authorized by the certified processor to submit data for the organization as complete, accurate, and verifiable.

“Bag in Box” means a beverage container containing wine, distilled spirits or wine and distilled spirit cooler that consists of an inner bag and an outer box, with a valve or tap designed to dispense the beverage through the outer box. The outer box is not required to be rectangular in shape.

“Beneficiating Processor” (PR BP) means the certified processor who sells beneficiated cullet generally to a glass container or fiberglass manufacturer. Cullet sold by PR BPs meets ASTM standards for furnace ready glass or is substantially free of all non-glass contaminants and is crushed to a consistent size.

“Bimetal” means a beverage container which consists of one or more metals and which is composed primarily of steel

“Entity Type Purchased Form” means the type of certified or non-certified entity type for each container material type from which the processor purchased material, including:

- **RC, RV** = Certified Recycling Center or Reverse Vending Machine
- **CS** = Registered Curbside
- **SP** = Community Service Program
- **CP** = Drop-off or Collection Program
- **Non-Cert** = Non-certified entity
- **PR** = Certified Processor
- Internal = An entity that is owned or managed in common with the purchasing processor and any entity delivering curbside generated beverage container material mixed with other material types.

For Reporting glass scrap value purchase only

- **PR-BP** = Certified Beneficiating Processor
- **PR Non-BP** = Certified Non-Beneficiating Processor

“Glass” means a beverage container or food or drink container, whose principal component part or parts consist of virgin, post filled glass, or any combination of both, in which any beverage, food, or drink are sold. Glass does not include plate glass, automobile glass, ornamental glass, vision ware, corning ware etc.

“Multi-layer pouch” means a flexible beverage container comprised of multiple bonded material layers, including plastic, foil, paper or other material, that contains wine, distilled spirits, or wine and distilled spirit coolers. “Multi-layer pouch” does not include beverage containers made solely from plastic resin types #1 through #7; or flexible beverage pouches containing nonalcoholic beverages.

“Non-Beneficiating Processor” (PR Non BP) means any person, including a scrap dealer, certified by the Department who purchases empty beverage containers from more than one entity type and is responsible for canceling empty beverage containers.

“Paperboard Carton” means a beverage container containing wine, distilled spirits or wine and distilled spirit cooler that is semi rigid and that contains more than one layer of material, with at least one later consisting of paperboard.

“Plastic Beverage Container” means a beverage container which has a body consisting primarily of plastic. A plastic beverage container may be in resin #1-#7.

“Scrap Value” means the price paid for container material types subject to the California Beverage Container Recycling and Litter Reduction Act (Act), after shipping and handling costs are deducted

B. Certified Processor Scrap Value Purchases Recordkeeping

The Division conducts scrap value reporting verification site visits to compare reported monthly scrap value data and original processor records. All aggregate monthly scrap value data reported by entity type should be consistent with the sum of all received weight documented on individual Shipping Records (DR6s) and weight tickets for the same time period.

If you have any questions concerning completion of the Survey Form, please contact CalRecycle at MarketInformation@CalRecycle.ca.gov or (916) 327-3044.

C. Completing Scrap Value Purchases Survey

Survey Forms shall be submitted to CalRecycle no later than the 10th day of the month, following the month of the report (e.g., February report due by March 10th). Completed surveys should be emailed to: MarketInformation@CalRecycle.ca.gov.

Survey Forms must be submitted regardless of whether or not purchases occurred in the applicable time period. If no purchases were made, the Survey Form shall be completed and sent with zeros in all applicable areas or include a note “Nothing to Report”.

The following is a step-by-step procedure for completing Survey Forms. An itemized copy of a Survey Form described in the procedures below is attached.

- Item 1:** **Processor Name** – Name of processor as it appears on the certificate issued by CalRecycle.
- Item 2:** **Certification Number** – Processor certification number, as it appears on the certificate issued by the Division.
- Item 3:** **Survey Month** – Applicable month and year, for example, all purchases by the processor during the month of April are reported during the survey month of April Year.
- Item 4:** **Physical Address** – Facility address, city and zip code exactly how it appears on the certificate issued by the Division.
- Item 5:** **Mailing Address** (optional) – Mailing address, city and zip code exactly as it appears on the certificate issued by the Division.
- Item 6:** **Phone** – Area code and phone number of the authorized representative signing the Survey Form.
- Item 7:** **Email Address** (optional) – Email address of authorized representative.
- Item 8:** **Date Prepared** – Date Survey Form was prepared.
- Item 9:** **Print name** – Printed name of authorized representative signing the Survey Form.
- Item 10:** **Signature** – Signature of authorized representative, by which signing the Survey Form represents the information submitted is complete, accurate, and verifiable.
- Item 11:** **Total Weight Purchased (Tons)** – Total sum of received weight in tons purchased in that month of any recyclable container materials consisting of the same material type from shippers of the same entity type to a maximum of two decimal points. In the case where the volume is less than one ton, the weight in tons should be carried to the fourth decimal point.
- Item 12:** **Total Net Payment** – Total sum of dollars paid to shippers (customers) of the same entity type. The Total Net Payment is the Scrap Value after shipping,

freight, administration fees, sorting and handling charges, refund value and processing payments are deducted. The Total Net Payment may be a positive, zero, or negative dollar amount.

For Reporting Glass Scrap Value Purchases Only

Item 13: Monthly total weight (tons) by color – The total received weight by color of each of the factions of glass purchased. The total flint, amber, green and mixed glass must equal the sum of the total received weight purchased from RC, CS, SP, CP, Non-Cert and Internal entities.

For Reporting Processor to Processor Glass Scrap Value Purchase Only

Item 14: Monthly total weight (tons) – The total received weight of Color-Sorted and Mixed-Color (Mixed) glass purchased. The sum of the total weight in tons of Color-Sorted and Mixed-Color glass must equal the sum of the total received weight purchased from PR BP and PR Non-BP for processor-to processor transactions.

For Reporting Non-Glass Containers

For all other non-glass beverage containers, containers are reported as previously described except that separate reporting is not required by color or for processor-to-processor transactions, as in case of glass container material.

Plastic beverage containers resins #1- #7 are empty beverage container materials (CRV) and Non-CRV material in each of the corresponding resin types: #1 PET (polyethylene terephthalate), #2 HDPE (high density polyethylene), #3 V (Vinyl), #4 LDPE (low density polyethylene), #5 PP (polypropylene), #6 PS (polystyrene) and #7 OTHER. #7 OTHER includes any container made from a material that is not #1 through #6 or is made from more than one type of plastic (multilayered). Each plastic resin type must be recorded in the appropriate boxes.



Processor Name	[1]
Certification Number	[2]
Survey Month	[3]
Physical Address	[4]
Mailing Address (optional)	[5]
Phone	[6]
Email address (optional)	[7]
Date Prepared	[8]
<i>To the best of my knowledge, the information submitted on this scrap value survey form is complete, accurate, and verifiable.</i>	
Print Name	[9]
Signature	[10]

Glass

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. Internal		

Monthly total weight (tons) by color [13]

Flint:		Green	
Amber		Mixed	

Processor to Processor Transactions

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
7. PR BP		
8. PR NON-PB		

Monthly Total Weight (tons) [14]

Color-Sorted		Mixed	
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Bimetal

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		

#1 PETE Plastic: (polyethylene terephthalate)

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		

#2 HDPE Plastic: (high density polyethylene)

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		



#3 V: (Vinyl)

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		

#4 LDPE: (low density polyethylene)

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		

#5 PP (polypropylene)

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		

#6 PS: (polystyrene)

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		

#7 Other (includes multilayer)

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		

Bag in Box: (wine, distilled spirits, or wine and distilled spirit coolers)

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		


Paperboard Carton: (wine, distilled spirits, or wine and distilled spirit coolers)

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		

Multi-Layer Pouch: (wine, distilled spirits, or wine and distilled spirit coolers)

Entity Type Purchased From	Total Weight Purchased (tons) [11]	Total Net Payment [12]
1. RC,RV		
2. CS		
3. SP		
4. CP		
5. Non-Cert		
6. PR		
7. Internal		