

RECYCLING PROGRAM ENFORCEMENT ACTIVITIES
Quarterly Update
January 2023 Through December 2023

May 21, 2024

Table of Contents

RECYCLING PROGRAM ENFORCEMENT ACTIVITIES Quarterly Update January 2023 Through December 2023 May 21, 2021	1
Probationary Review Case Statistics January 2023 - December 2023.....	3
Handling Fee Review Case Statistics January 2023 - December 2023	4
Inspection Case Statistics January 2023 - December 2023	5
Investigation and Processor Oversight Case Statistics January 2023 - December 2023.....	6
Investigation and Processor Oversight Case Statistics January 2023 - December 2023 (Continued).....	7
Imported Material Report (IMR) Statistics January 2023 - December 2023	8
Department of Justice (DOJ) Case Statistics January 2023 - December 2023.....	9
Status of Accusations January 2024 – March 2024.....	10

Probationary Review Case Statistics January 2023 - December 2023

Case Statistics	2022 # of Cases	2022 % of Cases	2023 # of Cases	2023 % of Cases	Change in % (% points)
DORiis Auto-Generated Cases	566	100%	356	100%	-37%
Recycling Centers Visited (RCV)	309	55%	283	79%	24%
RCV - Passed	109	35%	168	59%	24%
RCV - Extended	190	61%	93	33%	-28%
RCV - Recommendation for Revocation	0	0%	4	1%	1%
RCV - Decertified during / result of visit	10	3%	18	6%	3%
Recycling Centers Not Visited (RCNV)	257	45%	73	21%	-24%
RCNV - Passed	44	17%	2	3%	-14%
RCNV - Extended	161	63%	8	11%	-52%
RCNV - Recommendation for Revocation	5	2%	2	3%	1%
RCNV - Not RCs	41	16%	57	78%	62%
RCNV - Decertified / Abandoned	6	2%	4	5%	3%
RCNV - Ongoing Investigations	0	0%	0	0%	0%
ASSESSMENTS	2022 \$ Amount	2022 % of Amount	2023 \$ Amount	2023 % of Amount	Change in % (% points)
Total Assessments	\$34,362.52	100%	\$1,345,777.15	100%	0%
Restitution	\$3,862.52	11%	\$1,320,577.15	98%	87%
Civil Penalties	\$30,500.00	89%	\$25,200.00	2%	-87%

Handling Fee Review Case Statistics January 2023 - December 2023

Case Statistics	2022 # of Cases	2022 % of Cases	2023 # of Cases	2023 % of Cases	Change in % (% points)
Handling Fee Applications Reviewed	16	100%	43	100%	169%
Handling Fee Applications Denied	3	19%	1	2%	-17%
Handling Fee Applications Released	13	81%	42	98%	17%
Post-Payment Denials	0	0%	0	0%	0%
Claim Amounts	2022 # of Cases	2022 % of Cases	2023 # of Cases	2023 % of Cases	Change in % (% points)
Handling Fees Claimed	\$185,391.35	100%	\$580,598.96	100%	213%
Handling Fees Denied	\$40,296.35	22%	\$28,920.46	5%	-17%
Handling Fees Released	\$145,095.00	78%	\$551,678.50	95%	17%
Post-Payment Assessments	\$0.00	0%	\$0.00	0%	0%
Restitution & Civil Penalty Assessments	2022 # of Cases	2022 % of Cases	2023 # of Cases	2023 % of Cases	Change in % (% points)
Total Assessments	\$10,581.74	100%	\$500.00	100%	0%
Restitution	\$6,531.74	62%	\$0.00	0%	-62%
Civil Penalties	\$4,050.00	38%	\$500.00	100%	62%

Inspection Case Statistics January 2023 - December 2023

Cases Statistics	2022 # of Cases	2022 % of Cases	2023 # of Cases	2023 % of Cases	Change in % (% points)
Total Inspections	3,559	100%	2,881	100%	-19%
Recycling Centers (RC) Inspections	1,195	34%	903	31%	-3%
RC - Notices on Non-Compliance Issued	334	28%	242	27%	-1%
RC - Notices of Violation Issue	218	18%	162	18%	0%
RC - No Violation	685	57%	538	60%	3%
Dealer (DL) Inspections	2,364	66%	1,978	69%	3%
DL - Notices on Non-Compliance Issued	459	19%	546	28%	9%
DL - Notices of Violation Issue	844	36%	608	31%	-5%
DL - No Violation	1,087	46%	859	43%	-3%
Complaints Resolved	368	45%	447	55%	10%
Civil Penalty Assessments	2022 \$ Amount	2022 % of Amount	2023 \$ Amount	2023 % of Amount	Change in % (% points)
Total Assessments	\$426,500.00	100%	\$366,750.00	100%	-14%
RC - Civil Penalty Assessments	\$100,850.00	24%	\$72,300.00	20%	-28%
DL - Civil Penalty Assessments	\$325,650.00	76%	\$294,450.00	80%	-10%

Investigation and Processor Oversight Case Statistics January 2023 - December 2023

Case Statistics	2022 # of Cases	2022 % of Cases	2023 # of Cases	2023 % of Cases	Change in % (% points)
Cases Initiated	309	100%	352	100%	14%
Investigations Initiated	88	29%	114	32%	4%
Fraud Detection Reviews Initiated	22	7%	66	19%	12%
Claimed Volume Reports Initiated	157	51%	121	34%	-16%
Recycler Load Inspection Initiated	23	7%	11	3%	-4%
Call Center Cases Initiated	19	6%	40	11%	5%
Cases Closed	416	100%	300	100%	-28%
Investigations Closed	125	30%	93	31%	1%
Fraud Detection Reviews Closed	39	9%	44	15%	5%
Claimed Volume Reports Closed	182	44%	124	41%	-3%
Recycler Load Inspection Closed	7	2%	9	3%	1%
Call Center Cases Closed	63	15%	30	10%	-5%
Load Inspection/Reviews Conducted:	3,961	100%	1,687	100%	-57%
Denied	9	0.2%	6	0.4%	0.2%
Reduced	25	0.6%	10	0.6%	0.0%

Investigation and Processor Oversight Case Statistics January 2023 - December 2023 (Continued)

Case Statistics	2022	2023	% Change
Forensic Reviews Completed	30	34	13%
Participants Placed on Prepayment Control Status	6	48	700%
Notices of Noncompliance Issued	77	123	60%
Notices of Violation Issued	36	51	42%
Recommendation to Revoke / Terminate Certification	2	32	1500%
Recommendation to Deny Certification Applications	0	83	100%
DOJ Referrals	4	9	125%

Imported Material Report (IMR) Statistics January 2023 - December 2023

IMR Statistics	2022 # of Cases	2022 % of Cases	2023 # of Cases	2023 % of Cases	Change in % (% points)
Total IMRs Received	2,761	100%	2,615	100%	-5%
Type of Vehicle (TOV)	2,761	100%	2,615	100%	0%
TOV - Semi-Trucks	2,665	97%	2,534	97%	0%
TOV - Other	96	3%	81	3%	0%
Form of Material (FOM)	2,761	100%	2,615	100%	0%
FOM - Baled	1,146	42%	1,126	43%	1%
FOM - Loose	1,612	58%	1,475	56%	-2%
FOM - Other	3	0%	14	1%	1%
Material Type (MT)	2,761	100%	2,615	100%	0%
MT - Single-Stream	1,625	59%	1,367	52%	-7%
MT - Other	1,136	41%	1,248	48%	7%
Point of Origin - State (POS)	2,761	100%	2,615	100%	0%
POS - Nevada	1,676	61%	1,444	55%	-6%
POS - Washington	339	12%	305	12%	0%
POS - Arizona	475	17%	260	10%	-7%
POS - Oregon	91	3%	282	11%	8%
POS - Other	180	7%	324	12%	5%

Department of Justice (DOJ) Case Statistics January 2023 - December 2023

Items	Totals
In-State Surveillance	108
Out-of-State Surveillance	11
Search Warrants	33
Investigations Initiated	4
Investigations Closed	7
Arrests	17
Material lbs. (Aluminum, PET, Glass)	42,247
Material Value	\$68,029.14

Status of Accusations January 2024 – March 2024

Status of Filed and Served Accusations		
Participant Name	Date Filed	Amount Assessed
Accusations filed & served January 1, 2024 to March 31, 2024		
None	N/A	N/A
Accusations resolved January 1, 2023 to March 31, 2023		
Mission Wine and Spirit	01/29/24	\$5,000.00
Z & M Recycling Center	03/08/23	\$549,234.40