

# Battery-Embedded Products (SB 1215) Informal Rulemaking Workshop

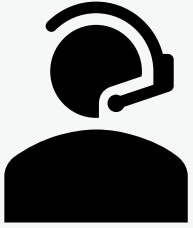
August 19, 2024

California Department of Resources  
Recycling and Recovery

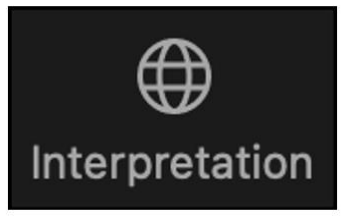
**CalRecycle** 



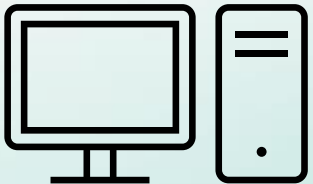
# Interpretation Services | Servicios de Interpretación



**In Person – Ask our team for a translation headset**  
**En persona – Solicite asistencia si necesita audífonos de traducción**



**Zoom – Select your language on the Interpretation icon**  
**Zoom – Seleccione su idioma en el icono Interpretación**



**Webcast – <https://video.calepa.ca.gov/#/>**  
**Transmisión en línea – <https://video.calepa.ca.gov/#/>**

# Disclaimer

This communication is neither intended to, nor does it constitute definitive legal counseling, conclusions, or advice in any way. Instead, the contents of this communication and any analysis, guidance, or other information is intended to objectively address the questions presented based on the current existing, known facts and legal authority as described to and understood by the author and/or CalRecycle at the time of this communication. Please be advised that any relevant facts or legal authority or authorities that are undisclosed or unknown at the time of this communication may affect or alter any analysis, guidance, or other information herein. Please be further advised that any analysis, guidance, or other information herein may be subject to change and/or correction based on changed facts or legal authority, actual or understood, subsequent to the time of this communication. No analysis, guidance, or other information herein should be construed as a waiver of any rights or remedies available to CalRecycle. Recipients of this communication are encouraged to seek the assistance of legal counsel to comply with applicable state law based on current facts and circumstances.

# **AGENDA**

## **Emergency Rulemaking Process & SB 1215 Statutory Overview**

Meagan Wilson, Senior Counsel, Legal Office

Martine Boswell, Branch Chief, E-Waste Branch

## **Draft Regulations**

### **Topic 1: Definitions**

Ashley Harley, Senior Environmental Scientist, E-Waste Branch

### **Topic 2: Document Submittals & Required Information**

Ashley Harley

### **Topic 3: Cancellation of Battery-Embedded Products**

Martine Boswell

## **Comments & Feedback**

Moderated by Ashley Harley

# Emergency Rulemaking Process

---

Department of Resources Recycling and Recovery

---

**Meagan Wilson**  
Senior Counsel, Legal Office

# CalRecycle Has Emergency Rulemaking Authority

*Public Resources Code (PRC) Section 42475.2*



- **Emergency regulations can be effective for two years, unless revised earlier by CalRecycle**
- **Emergency regulations may be readopted**

# Emergency Rulemaking Process

## 1 Issue Notice

- At least five working days before submitting action to the Office of Administrative Law (OAL).
- Notice sent to everyone who has filed a request with CalRecycle.

## 2 File Rulemaking Package with OAL

- OAL posts Notice on website, *five-day comment period begins*.
- OAL has 10 days to review the rulemaking package.

## 3 Regulations Become Effective

- OAL completes review and files approved regulations with the Secretary of State.
- *Regulations must be effective by January 1, 2025.*





# Finalizing Emergency Regulations

- CalRecycle will finalize emergency regulations via the formal rulemaking process after they go into effect.



# **SB 1215 Statutory Overview**

---

Department of Resources Recycling and Recovery

---

**Martine Boswell**  
Branch Chief, E-Waste Branch

# Electronic Waste Recycling Act of 2003

- The Act established a consumer funding mechanism at the point of purchase for the proper management of covered electronic devices.
- CalRecycle administers the fund to provide payments to approved collectors and recyclers of covered electronic waste to offset the average cost of cancellation.
- CalRecycle implements the Act in partnership with the Department of Toxic Substances Control (DTSC) and the Department of Tax and Fee Administration (CDTFA).
- **SB 1215 (Newman, Chapter 370, Statutes of 2022) amends and expands the Electronic Waste Recycling Act of 2003 (Act) to include battery-embedded products.**

# **Loose Batteries Are Not Included in SB 1215**

- Loose batteries will be managed by an EPR program created pursuant to AB 2440, the Responsible Battery Recycling Act (Irwin, Chapter 351, Statutes of 2022).

# SB 1215 Overview

- ***Public Resources Code (PRC) section 42463(f)(1)-(4)***: Added a definition of “Covered Battery-Embedded Product” and specified several exclusions.
- ***PRC section 42463(g)(1)(B)***: Updated the definition of “Covered Electronic Device,” which previously only included certain video display devices, to include battery-embedded products.
- ***PRC section 42478(b)(1)***: Requires CalRecycle to adopt regulations, effective January 1, 2025, to establish a process for approved recyclers to submit payment claims for covered electronic waste, that include battery embedded products.

# SB 1215 Overview: Definition of “Covered Battery-Embedded Product”

- ***PRC section 42463(f)(1)*** “Covered battery-embedded product” means a product containing a battery from which the battery is not designed to be easily removed from the product by the user of the product with no more than commonly used household tools.

# SB 1215 Overview: Covered Battery-Embedded Product Exclusions

*PRC section 42463(f)(2)* “Covered battery-embedded product” does not include any of the following:

- **A video display device** that is covered by the Act.
- **Certain medical devices.**
- **An energy storage system**, as defined in subdivision (a) of Section 2835 of the Public Utilities Code.
- **An electronic nicotine delivery system**, as defined in Section 375(7) of Title 15 of the United States Code.



# SB 1215 Overview: Manufacturer Responsibilities

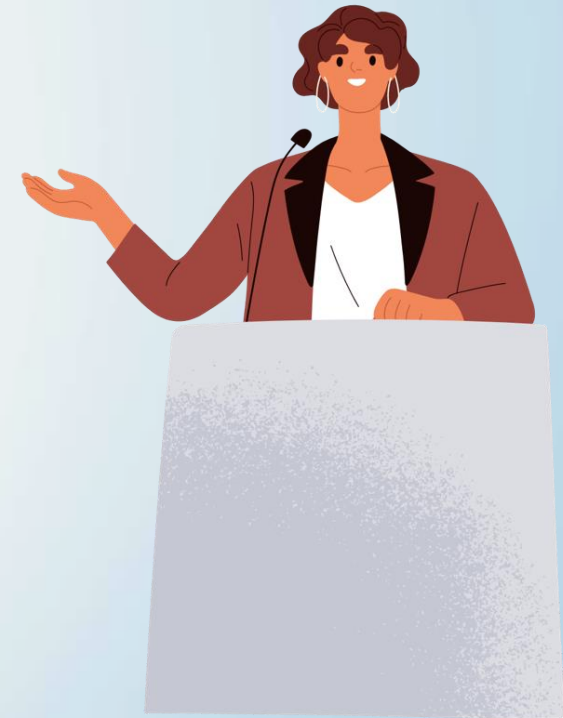
- **PRC section 42466.1(a)-(b):** On and after January 1, 2026, manufacturers must label battery-embedded products with the name of the manufacturer or the manufacturer's brand label. Battery chemistry information must be on either the label or the manufacturer's website.
- **PRC section 42466.2(a)-(c):** On or before July 1, 2025, and annually thereafter, manufacturers of covered battery-embedded products subject to a covered battery-embedded waste recycling fee must send a notice with the brand and model number of the devices to CalRecycle and to retailers selling those products, as well as a notice for devices that are exempt.
- **PRC section 42467:** On or before July 1, 2027, and at least once annually thereafter, battery-embedded product manufacturers must submit a report to CalRecycle.

# Today's Workshop Scope

- Pursuant to PRC section 42478(b)(1), CalRecycle must adopt regulations, effective January 1, 2025, to establish a process for approved recyclers to submit payment claims for battery-embedded products.
- The draft regulations:
  - Modernize the Covered Electronic Waste Recycling Program by requiring electronic submission of payment claims.
  - Add application requirements for collectors and recyclers seeking to recover and/or cancel battery-embedded products.
  - Specify an approved cancellation method for dismantling battery-embedded products.
- **Please Note** – CalRecycle will not discuss battery-embedded product determinations or regulatory concepts regarding “commonly used household tools” or “designed to be easily removed” in today's workshop.

# Stakeholder Input on SB 1215 Implementing Regulations

- CalRecycle welcomes comments and feedback.
- CalRecycle staff reads every comment.
- CalRecycle wants to hear how potential regulations impact industry, the environment, and the public.
- Stakeholder comments influence regulations.
- **Presenters in this workshop will pause after each topic for input from the audience.**



# **Proposed Regulatory Text**

---

Department of Resources Recycling and Recovery

---

**Ashley Harley**

Senior Environmental Scientist, E-Waste Branch

# Summary of Proposed Regulations

## Topic One: Definitions

- New definitions added for “Authorized Signatory” and “Primary Authorized Signatory,” among others.

## Topic Two: Document Submittals & Required Information

- Electronic document submittal and signatures.
- Changes to information contained in an approved application.
- Changes to general requirements for recycling payment claims.

## Topic Three: Cancellation of Battery-Embedded Products

- Proposed battery-embedded product cancellation method and required demonstration at DTSC inspection.

---

# Topic 1: Definitions

---



# Proposed Definitions

***Title 14, California Code of Regulations (CCR) Section 18660.5(a)(~~16~~)(17)*** "Covered Electronic Device" or "CED"; ~~through and including December 31, 2024,~~ has the same meaning, for the purposes of this Chapter, as a covered electronic device specified in Section 42463(g)(1)(A)-(B) of the Public Resources Code.

***14 CCR Section 18660.5(a)(~~17~~)(18)*** "Covered Electronic Waste" or "CEW"; ~~through and including December 31, 2024,~~ means a discarded device that DTSC has determined to be a covered electronic device, as specified by Section 42463(g)(1)(A)-(B) of the Public Resources Code.

# Proposed Definitions, cont.

## ***14 CCR Section 18660.5(a)(31)(33) . . .***

"Product Category", ~~through and including December 31, 2024,~~ means the types of covered electronic devices as defined in Section 42463(g)(1)(A)-(B) of the Public Resources Code. These categories include, but are not limited to, the following:

. . .

(H) Covered battery-embedded products pursuant to Section 42463(f)(1) of the Public Resources Code.

# Proposed Definitions, cont.

***14 CCR Section 18660.5(a)(5)***  
“Authorized Signatory” or  
“Signatory Authority” means the  
person(s) who has authority to  
legally bind a person, collector,  
recycler, dual entity, local  
government, or manufacturer to  
a contract.

***14 CCR section 18660.5(a)(31)***  
“Primary Authorized Signatory”  
means a person(s) who is the  
main contact for  
communicating with CalRecycle  
and who has the authority to  
legally bind a person, collector,  
recycler, dual entity, local  
government, or manufacturer to  
a contract.

# Proposed Definitions, cont.

## **14 CCR Section 18660.5(a)(42)**

“Signature” or “signed” means either of the following:

(A) An original handwritten signature; or

(B) An electronic signature. An electronic signature includes an electronic sound, symbol, or process attached to or logically associated with an electronic record, executed or adopted by a party with the intent to represent an original handwritten signature.

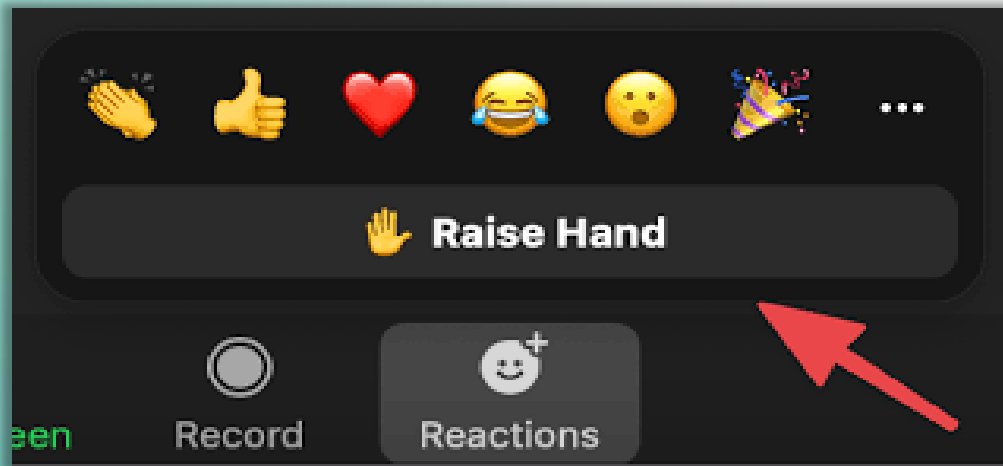
(1) For claims submitted through CalRecycle’s electronic portal, a username and password shall be considered an electronic signature.

(2) An electronic signature may not be denied legal effect, validity, or enforceability solely on the ground that it is electronic.

(3) An electronic signature shall be binding on all persons and for all purposes under the law, as if the signature had been handwritten on an equivalent paper document.

# Submitting Comments and Feedback

- To make a comment in-person, please line up at the microphone.
- To make an oral comment via Zoom, please raise your hand and the host will unmute you.
- Submit written feedback after the workshop by **September 3, 2024**, via:
  - CalRecycle's public comment portal: [Battery-Embedded Products Informal Rulemaking Comment Period](#).
  - Email: [regulations@calrecycle.ca.gov](mailto:regulations@calrecycle.ca.gov) with subject line "SB 1215 Implementing Regulations."



---

# **Topic 2: Document Submittals & Required Information**

---



# Document Submittals

## *14 CCR Section 18660.7*

...

(b) CalRecycle shall only accept collector, recycler or dual entity applications bearing the signatures of ~~all persons with the Primary sSignatory aAuthority~~ designated pursuant to Section 18660.11. ~~CalRecycle shall accept claims and reports bearing a signature by any person with signatory authority designated pursuant to Section 18660.11.~~

(c) CalRecycle shall accept claims and reports bearing a Signature by any person with Signatory Authority designated pursuant to Section 18660.11. Claims and reports bearing a Signature by any person that does not have Signatory Authority designated pursuant to Section 18660.11, shall be deemed incomplete and not be accepted for review or evaluation by CalRecycle.

# Document Submittals, cont.

## ***14 CCR Section 18660.7***

~~(c)(d)~~ CalRecycle shall only accept manufacturer registrations, claims and reports containing all the required information and bearing an original ~~s~~Signature of the ~~p~~Primary Authorized Signatory authority registrant, or a person with signature Signatory aAuthority as designated by the primary registrant pursuant to Section 18660.35 of this Chapter.

# Document Submittals, cont.

## ***14 CCR Section 18660.7***

(e) Beginning April 1, 2026, except where specifically provided otherwise, if a person, collector, recycler, dual entity, local government, or manufacturer, seeks to submit documentation, including an application, payment claim, report, or to provide other information pursuant to the Act and this Chapter, the applicable information shall be submitted to CalRecycle using CalRecycle's electronic portal that is utilized for the purposes of implementing the Covered Electronic Waste Recycling Program.

(1) Beginning April 1, 2026, all applications, application renewals, claims, and reports must be submitted electronically to CalRecycle using CalRecycle's designated electronic information submittal system and bear the Signature of a person with Signatory Authority designated pursuant to Sections 18660.11 or 18660.35.

# Document Submittals, cont.

---

## ***14 CCR Section 18660.7***

(2) Manufacturer registrations, claims, and reports bearing a Signature by any person that does not have Signatory Authority designated pursuant to Section 18660.35, shall be deemed incomplete and not be accepted for review or evaluation by CalRecycle.

(3) Except where specifically provided otherwise, information submitted outside CalRecycle's specified electronic information submittal system will not be accepted by CalRecycle for review or consideration.

(4) Notwithstanding any other law, any electronically filed report, notice, claim, or other document shall be deemed to be a valid, original document, including reproductions of the document made by CalRecycle onto paper or other media.

(5) Nothing in this subsection shall eliminate the need for compliance with record keeping and record retention provisions required by these regulations.

# Document Submittals, cont.

## **14 CCR Section 18660.7**

~~(d)~~(f) CalRecycle shall provide forms upon request that may be used to meet the requirements for the applications, registrations, and payment claims specified in this Chapter.

~~(e)~~(g) A collector, a recycler, a dual entity, a Local Government, or a manufacturer shall ensure that applications, registrations, claims, reports, Proofs of Designation, and all applicable supporting documentation are accurate, complete, and typed or legibly handwritten in English using permanent ink. A collector, a recycler, a dual entity, or a Local Government may void errors only by using a single line through the error. A collector, a recycler, a dual entity, or a Local Government shall not use correction fluid, correction tape or erasures for correcting errors on any document required by or submitted to CalRecycle.

# Document Submittals, cont.

## **14 CCR Section 18660.7**

(f)(h) Any person, including but not limited to, collectors, recyclers, dual entities, local governments, manufacturers, or a handlers, shall not make false statements or representations in any document filed, submitted, maintained, or used for purposes of compliance with the Act and this Chapter.

(1) If CalRecycle determines that any information that is filed, submitted, maintained, or used for the purposes of compliance with the Act and this Chapter contains one or more false statements, CalRecycle may do any of the following:

(A) Conclude its review of the information submitted and deem it incomplete or insufficient for evaluation or consideration;

(B) Adjust or deny all or any portion of the payment claim by reducing the total dollar amount claimed in a payment claim that is supported by or otherwise relies upon the false statement(s); and

(C) Follow the procedures set forth in Section 18660.44. of this Chapter.



# General Application Requirements

## ***14 CCR Section 18660.11(b)***

(2)(C) If the organization is a corporation, the applicant shall provide the corporate number and Articles of Incorporation and name and position of all current corporate officers, and directors, as filed with the Secretary of State, any fictitious business name statement, and the agent for service of process.

...

(2)(E) If the organization is a ~~husband and wife~~ co-ownership, the applicant shall provide ~~both~~ all names and any fictitious business name statement.

...

(2)(G) If the organization is a limited liability company (LLC), the applicant shall provide a copy of the Articles of Organization and Statement of Information as filed with the Secretary of State, the name of the current managing member(s), any operating agreement, any fictitious business name statement, and the agent for service of process.

...

# General Application Requirements, cont.

## **14 CCR Section 18660.11(b)**

- (4) Name of the ~~contact person~~ Primary Authorized Signatory.
- (5) Telephone number(s) of the ~~contact person~~ Primary Authorized Signatory and any other persons included on the application.
- (6) ~~An e-mail~~ E-mail address of the ~~contact person~~ Primary Authorized Signatory or organization, if available.
- (7) List(s) of the ~~persons (if any)~~ Authorized Signatories, who, in addition to the ~~p~~ Primary applicant Authorized Signatory, who are authorized to sign:
  - (A) Payment claims.
  - (B) Net cost reports.
  - (C) Other payment related documents and correspondence with CalRecycle.
- (8) An indication of whether the collector or recycler wishes to be included in an ~~on-line registry~~ online directory. ...

# Additional Application Requirements for Collectors

## *14 CCR Section 18660.12*

...

(a)(3)(B) "The undersigned collector certifies under penalty of perjury under the laws of the State of California that the information provided herein is true and correct and that the undersigned has the authority to legally bind the collector to the terms and requirements of the application."

(a)(4) The name and ~~s~~Signature of the ~~p~~Primary applicant Authorized Signatory ~~who has the authority to sign and bind the collector to this application.~~

...

(d) Prospective new collectors that are not currently approved participants in the Covered Electronic Waste Recycling Program and are seeking approval to recover only battery embedded products may not submit an application pursuant to Article 2.1 of this Chapter until June 1, 2025.

# Additional Application Requirements for Recyclers

## *14 CCR Section 18660.13(a)*

...

(2)(A) The method(s) of cancellation used by the recycler, pursuant to Section 18660.32 of this Chapter.

...

(3)(B)(1) The facility has been inspected by DTSC within the past 12 months, as specified in Section 42479(b)(2)(A). The inspection must include a demonstration of all CEW cancellation methods pursuant to 18660.32 for which payment claims may be submitted.

...

# Additional Application Requirements for Recyclers

## *14 CCR Section 18660.13(a)*

...

(5) A completed "Payee Data Record" STD. 204 form (Rev. 6-2003 or as revised) -- Department of Finance, State of California with an original ~~Signature of the pPrimary applicant~~ Authorized Signatory. The form will be provided by CalRecycle and is hereby incorporated by reference.

...

# Additional Application Requirements for Recyclers, cont.

## *14 CCR Section 18660.13*

...

(a)(6)(B) "The undersigned certifies under penalty of perjury under the laws of the State of California that the information provided herein is true and correct and that the undersigned has the authority to legally bind the recycler to the terms and requirements of the application."

(a)(7) The name and ~~s~~Signature of the ~~p~~Primary applicant Authorized Signatory.

...

(c) CalRecycle will accept applications only on or after September 1, 2025, from prospective new recyclers that are not currently approved participants in the Covered Electronic Waste Recycling Program and are seeking approval to submit only battery embedded products payment claims to CalRecycle.

# Additional Application Requirements for Dual Entities

## ***14 CCR Section 18660.14***

(b) In addition to completing and submitting one copy of the General information required in Section 18660.11 of this Chapter, all dual entity approval applications shall contain the information and Signatures required in Sections 18660.12 and 18660.13 for collector applications and recycler applications, respectively.

...

(e) CalRecycle will accept applications only on or after September 1, 2025, from prospective new dual entities that are not currently approved participants in the Covered Electronic Waste Recycling Program and are seeking approval to submit only battery embedded products payment claims to CalRecycle.

# CalRecycle Review of Applications

## *14 CCR Section 18660.15*

...

(c) After CalRecycle determines that an application is complete, CalRecycle will notify the applicant within 30 calendar days whether the application has been:

(1) Approved, and if so, issue a proof of approval stating the type of approval granted:

(A) Approved collector, ~~or~~

(B) Approved recycler, or

(C) Approved dual entity.

...



# Prohibited Activities

## ***14 CCR Section 18660.17***

(a) CalRecycle may deny an application or revoke or suspend the approval of a collector, ~~or recycler,~~ or dual entity for any of the following prohibited activities:

...

(12) Failure to secure, maintain, submit, or transfer documentation as specified by this Chapter.

(13) Failure to notify CalRecycle of changes to information contained in the approved application as specified in Section 18660.18.

(14) Making a false statement or representation in any claim, report, or document filed, submitted, maintained or used for purposes of compliance with this chapter or Division 30, Part 3, Chapter 8.5 of the Public Resources Code.

...

# Changes to Information Contained in an Approved Application

## *14 CCR Section 18660.18*

...

(c) Beginning June 1, 2025, an approved collector seeking to recover types of CEWs that are different from, or in addition to, the CEWs identified in their application must notify CalRecycle as follows:

(1) The collector shall submit the following information to CalRecycle electronically:

(A) Name of organization and CEWID number.

(B) Mailing address and physical address.

(C) Name of the Primary Authorized Signatory.

(D) Telephone number(s) of the Primary Authorized Signatory and any other persons identified in the application.

# Changes to Information Contained in an Approved Application, cont.

## ***14 CCR Section 18660.18***

(E) E-mail address of the Primary Authorized Signatory.

(F) The types of CEWs the approved collector intends to recover.

(G) The anticipated start date of recovery of the CEWs.

(H) A statement, signed under penalty of perjury by an Authorized Signatory, that the information submitted is true and correct, and that the collector has satisfied the requirements of section 18660.12 of this Chapter.

(2) Submission of this notification pursuant to this subsection shall not modify the expiration date of the collector's existing approved application.

# Changes to Information Contained in an Approved Application, cont.

## ***14 CCR Section 18660.18***

(d) Beginning September 1, 2025, an approved recycler seeking to add new cancellation method(s) or the type(s) of CEWs cancelled pursuant to 18660.32 that were not identified in their approved application must notify CalRecycle as follows:

(1) The recycler shall submit the following information to CalRecycle electronically:

(A) Name of organization and CEWID number.

(B) Mailing address and physical address.

(C) Name of the Primary Authorized Signatory.

(D) Telephone number(s) of the Primary Authorized Signatory and any other persons identified in the application.

(E) E-mail address of the Primary Authorized Signatory.

# Changes to Information Contained in an Approved Application, cont.

## **14 CCR Section 18660.18**

(F) If applicable, the additional or different types of CEWs cancelled, along with the anticipated start date of cancellation.

(G) If applicable, the additional or different cancellation methods the approved recycler intends to use, along with the anticipated start date of when these methods will be utilized.

(H) A copy of the DTSC inspection report demonstrating that the recycler has satisfied the requirements of section 18660.13

(a)(3)(B)(1) of this Chapter.

(I) A statement, signed under penalty of perjury, that the information submitted is true and correct, and that the recycler has satisfied the requirements of section 18660.13 (a)(3)(B)(1) of this Chapter.

# Changes to Information Contained in an Approved Application, cont.

## **14 CCR Section 18660.18**

(2) An approved recycler shall not claim recycling payments for different or additional types of CEWs cancelled prior to compliance with this subsection.

(3) Submission of this notification pursuant to this subsection shall not modify the expiration date of the recycler's existing approved application.

# Changes to Information Contained in an Approved Application, cont.

## ***14 CCR Section 18660.18***

(e) Beginning September 1, 2025, a dual entity seeking to add new cancellation method(s) or the type(s) of CEWs cancelled pursuant to 18660.32 that were not identified in their approved application must notify CalRecycle as follows:

(1) The dual entity shall submit the following information to CalRecycle electronically:

(A) Name of organization and CEWID number.

(B) Mailing address and physical address.

(C) Name of the Primary Authorized Signatory.

(D) Telephone number(s) of the Primary Authorized Signatory and any other persons identified in the application.

# Changes to Information Contained in an Approved Application, cont.

## ***14 CCR Section 18660.18***

(E) E-mail address of the Primary Authorized Signatory.

(F) If applicable, the additional or different types of CEWs the dual entity intends to recover and cancel, along with the anticipated start date of recovery and cancellation.

(G) If applicable, the additional or different cancellation methods the dual entity intends to use, along with the anticipated start date of when these methods will be utilized.

(H) A copy of the DTSC inspection report demonstrating that the recycler has satisfied the requirements of section 18660.13

(a)(3)(B)(1) of this Chapter.



# Changes to Information Contained in an Approved Application, cont.

## **14 CCR Section 18660.18**

(I) A statement, signed under penalty of perjury, that the information submitted is true and correct, and that the dual entity has satisfied the requirements of sections 18660.12 and 18660.13 (a)(3)(B)(1) of this Chapter.

(2) A dual entity shall not claim recycling payments for different or additional types of CEWs cancelled prior to compliance with this subsection.

(3) Submission of this notification pursuant to this subsection shall not modify the expiration date of the dual entity's existing approved application.

(f) CalRecycle shall review the notice specified in subsections (c), (d), and (e) using the procedures set forth in section 18660.15 for review, approval, or denial of the notice.

# General Requirements for Recycling Payment Claims

## *14 CCR Section 18660.22*

...

(a)(7) The ~~§Signature and title of an person with signature authority~~  
Authorized Signatory for payment claims as designated pursuant to Section 18660.11 of this Chapter...

...

(e) Prior to April 1, 2026, A~~an~~ approved recycler shall deliver recycling payment claims to CalRecycle's main business office, to the attention of the Accounting Section. An approved recycler shall mark the outside of the package containing the claims clearly with "Covered Electronic Waste Claim Enclosed." On and after April 1, 2026, all recycling payment claims shall be submitted using CalRecycle's electronic portal system pursuant to Section 18660.7.

# General Requirements for Recycling Payment Claims, cont.

## ***14 CCR Section 18660.22***

(f) An approved recycler shall submit timely recycling payment claims so that CalRecycle receives each claim within 45 days of the end of the reporting month, as specified by Sections 18660.24 or 18660.25 of this Chapter. CalRecycle may return without payment any claim received more than 45 days after the end of the reporting month, as specified by Sections 18660.24 or 18660.25 of this Chapter. CalRecycle shall determine a claim's date of receipt as ~~either the date of the postmark on the claim package, or the date the claim package was physically received by CalRecycle, whichever is earlier.~~

...

# Manufacturer Registration

## 14 CCR Section 18660.35

...

(b) In an application for registration, manufacturers shall provide the following general information:

...

(6) Name of the employee or officer of the manufacturer who is the ~~pPrimary applicant~~ Authorized Signatory ~~authorized to sign~~:

...

(7) Name of the employee or officer of the manufacturer (if any), in addition to the ~~pPrimary applicant~~ Authorized Signatory, ~~who is authorized to sign~~:

(A) Payment claims.

(B) Reports.

(C) Other-payment related documentation or correspondence required by CalRecycle.

...

# Manufacturer Registration, cont.

## 14 CCR Section 18660.35

...

(e) In an application for registration, a manufacturer shall make the following certification statements:

...

(2) "The undersigned manufacturer certifies under penalty of perjury under the laws of the State of California that the information provided herein is true and correct and that the undersigned has the authority to legally bind the manufacturer to the terms and requirements of the application."

(A) The name and ~~s~~Signature of the ~~p~~Primary applicant who has the authority to sign and bind the manufacturer to this applicationAuthorized Signatory.

(B) The date and location of application execution.

# Manufacturer Registration, cont.

## ***14 CCR Section 18660.35***

(f) In an application for registration, a manufacturer shall submit a completed "Payee Data Record" STD. 204 Form (Rev. 6-2003 or as revised) -- Department of Finance, State of California ~~with an original signature of the primary applicant.~~ The form will be provided by CalRecycle and is hereby incorporated by reference.

...

# Manufacturer Payment Claims

## 14 CCR Section 18660.37

...

(b) A registered manufacturer shall submit all of the following general information in a claim for manufacturer payments from CalRecycle:

...

(4) The number of CEW devices claimed:

(A) In each ~~p~~Product ~~e~~Category as defined in Section 18660.5(a)(~~31~~33).

(B) By screen size as listed in Section ~~424~~64(a) of the Public Resources Code.

...

(6) The ~~s~~Signature and title of a person with ~~s~~Signatoryre aAuthority for payment claims

...

# Manufacturer Payment Claims, cont.

## *14 CCR Section 18660.37*

...

(e) A registered manufacturer shall attach ...

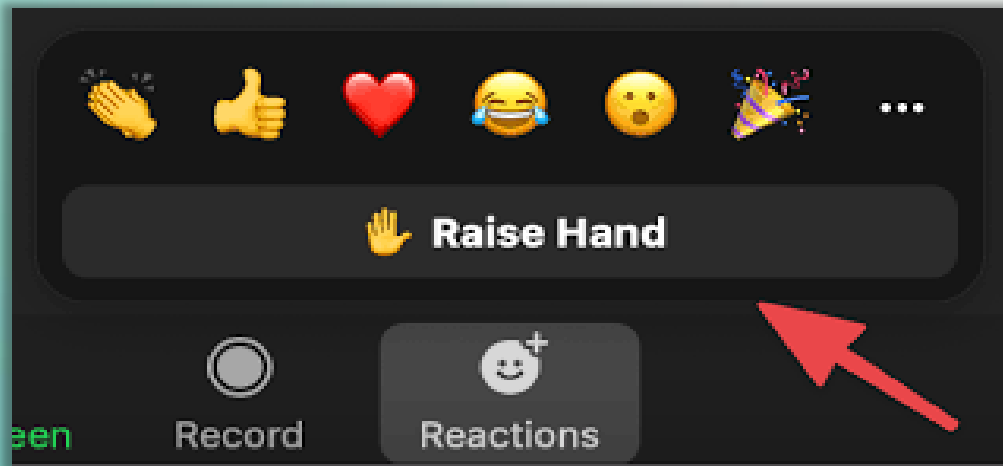
(1) A written description of the take back program that collected the CEWs for which payment is being claimed, including the type of consumers from whom CEWs were accepted, and a record of the number of CEWs collected by ~~the~~ Product Categories, as defined in Section 18660.5(a)(~~31~~33) of this Chapter.

...



# Submitting Comments and Feedback

- To make a comment in-person, please line up at the microphone.
- To make an oral comment via Zoom, please raise your hand and the host will unmute you.
- Submit written feedback after the workshop by **September 3, 2024**, via:
  - CalRecycle's public comment portal: [Battery-Embedded Products Informal Rulemaking Comment Period](#).
  - Email: [regulations@calrecycle.ca.gov](mailto:regulations@calrecycle.ca.gov) with subject line "SB 1215 Implementing Regulations."



---

# **Topic 3: Cancellation of Battery-Embedded Products**

---

**Martine Boswell**  
Branch Chief, E-Waste Branch

# Cancellation of Battery-Embedded Products

## ***14 CCR Section 18660.32***

- (a) CalRecycle shall not pay recycling payment claims unless the cancellation method used meets the requirements of this Section.
- (b) An approved recycler shall not use or propose cancellation methods that are inconsistent with any DTSC requirements for handling or otherwise processing CEWs, and must comply with all international, federal, state, and local laws.

# Cancellation of Battery-Embedded Products, cont.

## ***14 CCR Section 18660.32***

(c) An approved recycler may use the following standard CEW cancellation methods that qualify for recycling payments as specified in the requirements of this Chapter:

- (1) CRT or CRT-containing CEW cancellation through dismantling to a bare CRT after relieving the vacuum.
- (2) Cancellation of non-CRT-containing CEWs through dismantling to a bare panel.

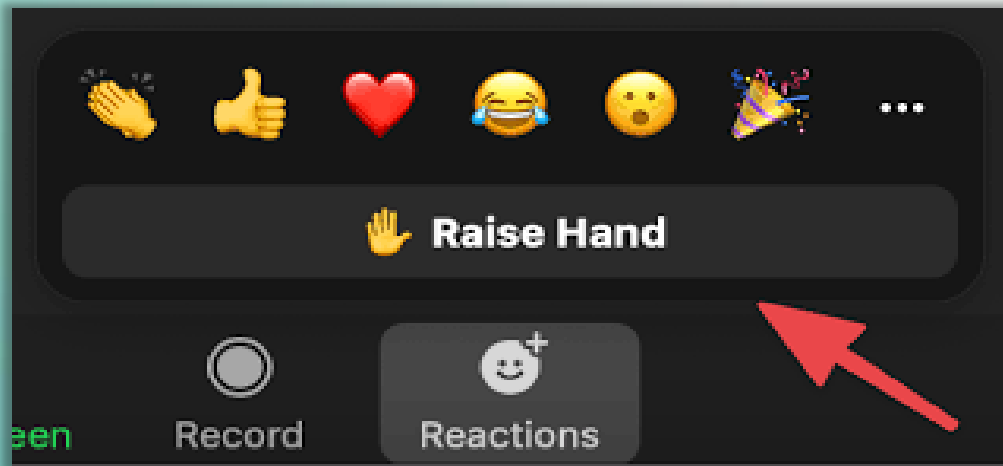
# Cancellation of Battery-Embedded Products, cont.

## ***14 CCR Section 18660.32***

(c)(3) Cancellation of battery-embedded CEW by removing the embedded battery in a manner that does not damage the battery and dismantling the CEW into its component parts such as plastics, metals, glass, and fibers.

# Submitting Comments and Feedback

- To make a comment in-person, please line up at the microphone.
- To make an oral comment via Zoom, please raise your hand and the host will unmute you.
- Submit written feedback after the workshop by **September 3, 2024**, via:
  - CalRecycle's public comment portal: [Battery-Embedded Products Informal Rulemaking Comment Period](#)
  - Email: [regulations@calrecycle.ca.gov](mailto:regulations@calrecycle.ca.gov) with subject line "SB 1215 Implementing Regulations."



# **Additional Engagement Opportunities**

---

Department of Resources Recycling and Recovery

---

# Next Steps

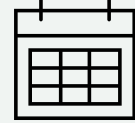
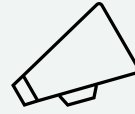
---

- CalRecycle anticipates filing the Notice of Proposed Emergency Action in late fall 2024.
- Additional workshops to discuss the covered battery-embedded waste recycling fee and related topics will be scheduled in the coming months.





## Ways to Stay Informed



- Sign-up for information related to regulations specific to the Covered Electronic Waste Recycling program: [E-Waste Listserv](#)
- More information is forthcoming and will be distributed through our listserv.
- Sign up for information related to all CalRecycle Proposed Regulations: [CalRecycle Proposed Regulations Listserv](#)
- Email: [Regulations@CalRecycle.ca.gov](mailto:Regulations@CalRecycle.ca.gov)